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4.1 Signal Analysis & Functions

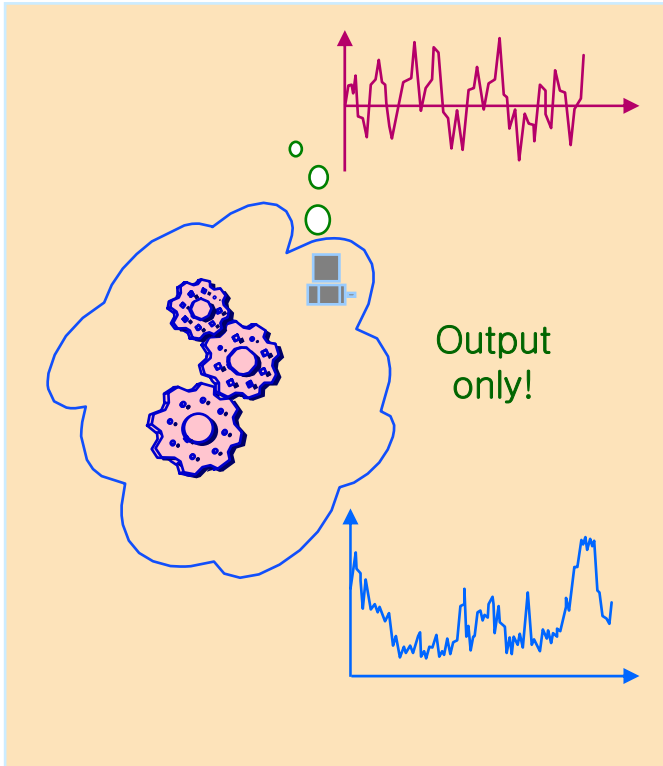
- Noise & Vibration analysis (Signal Analysis)
 - Signal analysis versus system analysis
 - Basic method for signal analysis
 - Stationary signal analysis
 - Non-stationary signal analysis

- Signal analysis ver System analysis
 - Signal analysis
 - analysis for running machine
 - System analysis
 - analysis unique feature for holding machine

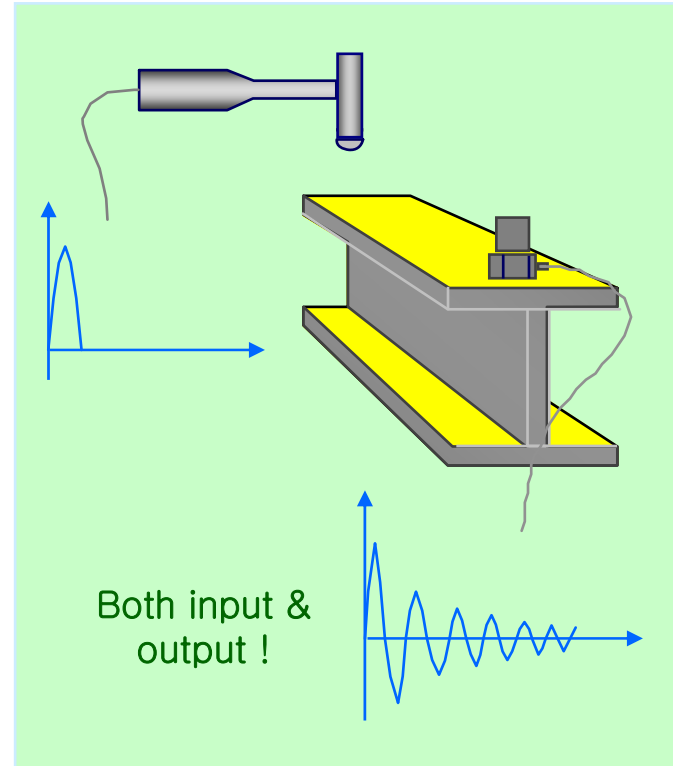
4.1 Signal Analysis & Functions

- Signal analysis & System analysis

Signal analysis



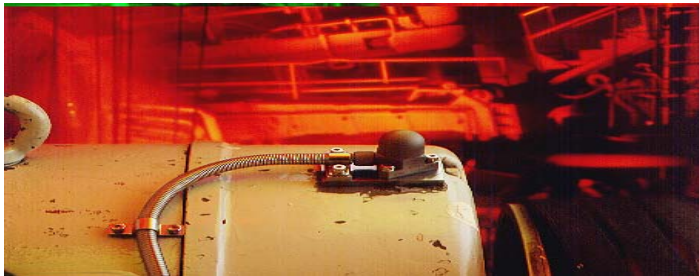
System analysis



4.1 Signal Analysis & Functions

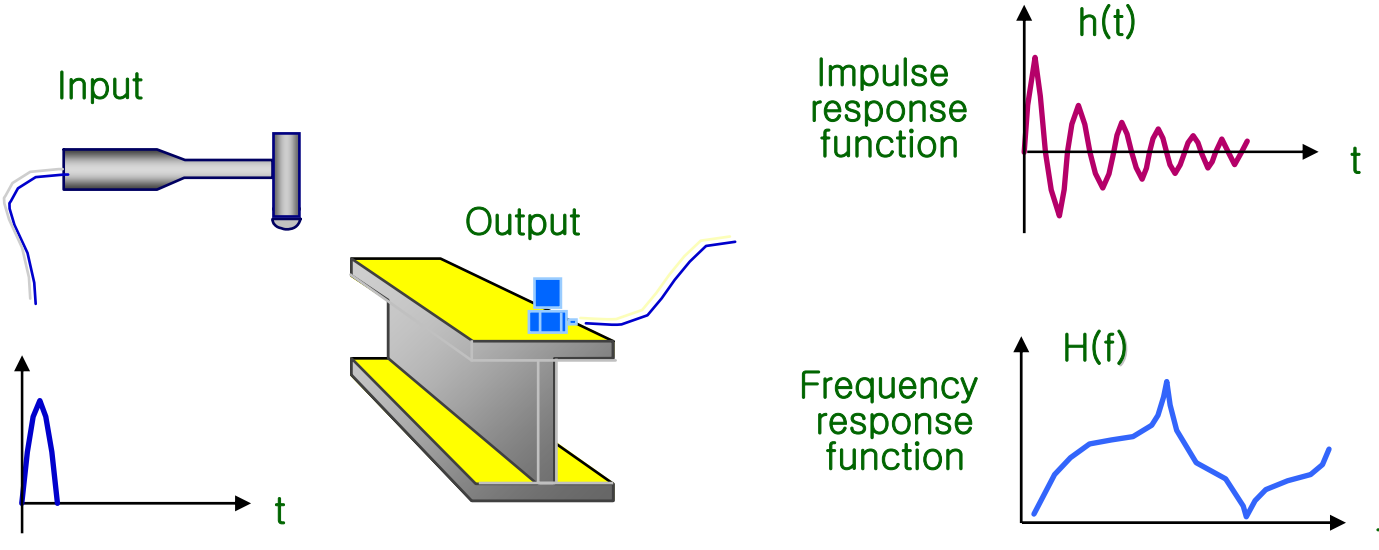
- Signal Analysis

- Analysis for output signal only
- Application
 - impossible condition to measure input signal
 - ex) machine condition diagnosis and monitoring
 - impossible condition not to separate source
 - ex) Environmental noise
 - focus on output signal only
 - ex) Balancing



4.1 Signal Analysis & Functions

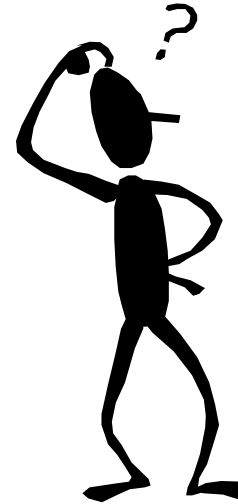
- System Analysis



- Simultaneous measure both input and output \Rightarrow Phase relation
- $h(t)$, $H(f)$ \Rightarrow system character
 \Rightarrow Predict system behavior for external excitation

4.1 Signal Analysis & Functions

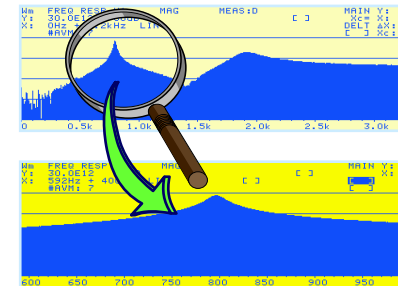
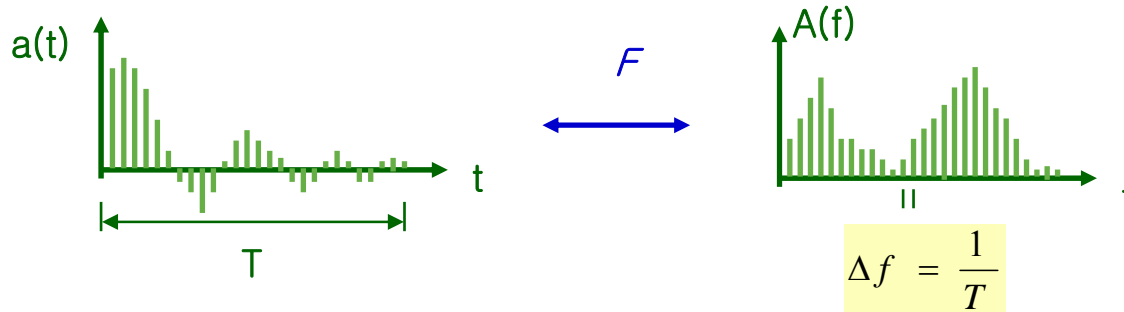
- The basic method of signal analysis
 - Signal type
 - Stationary, Non-stationary
 - Unit
 - RMS, PWR, PSD, ESD, Linear, dB...
 - Average signal
 - Lin, Exp, Peak...
 - Expression
 - Time, Frequency, Time & Frequency...
 - Measurement credit
 - Meas. error, Dynamic range, S/N ratio ...
 - Triggering
 - Free Run, Internal, External...



4.1 Signal Analysis & Functions

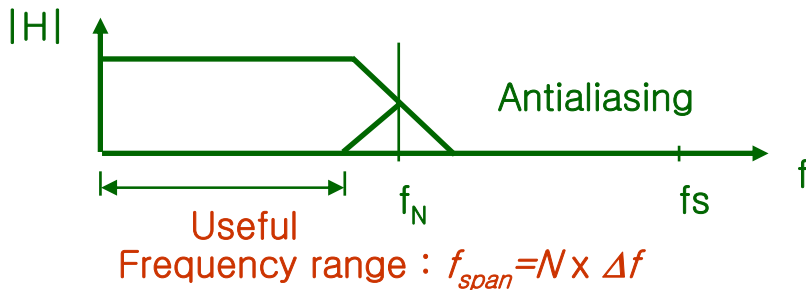
- Zoom analysis (Frequency resolution of FFT)

: Reduce Leakage, Picket fence effect error.
Increase frequency resolution.



Baseband analysis

Zoom analysis



Example : ($N_{span} = 800$ lines)

T[s]	Δf [Hz]	f_{span} [Hz]
512	0.00195	1.56
—	—	—
2	0.5	400
1	1	800
0.5	2	1600
—	—	—
0.031	32	25600

$N = 2048$
 $f_s = 2.56 \times f_{span}$

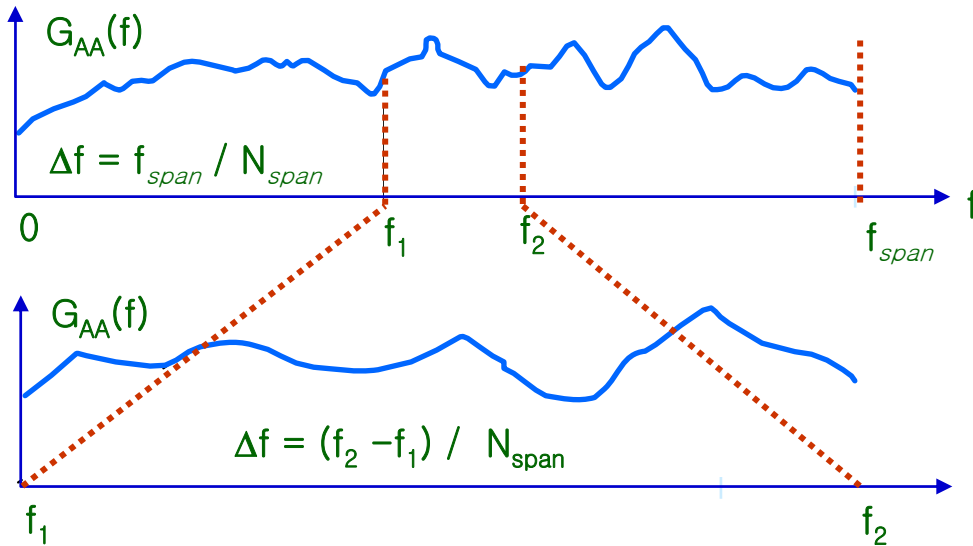
$\Delta f = \frac{f_s}{2048} = \frac{f_{span}}{800}$
 $N_{span} = 800$

f_{span} : Max freq. span without distortion
 N_{span} : No. of lines in f_{span}

4.1 Signal Analysis & Functions

- Zoom analysis (Real-time zoom)

- Apply available resolution $\Delta f = f_{span} / N_{span}$
- Move frequency span from $0 \rightarrow f_{span}$ to $f_1 \rightarrow f_2$



the sampling interval

$$\Delta t = 1 / f_s$$

and record length

$$T = N \Delta t = N / f_s$$

thus, analysis resolution

$$f = 1 / T = f_s / N$$

Reducing the resolution Δf ,

1) reduce the sampling frequency f_s

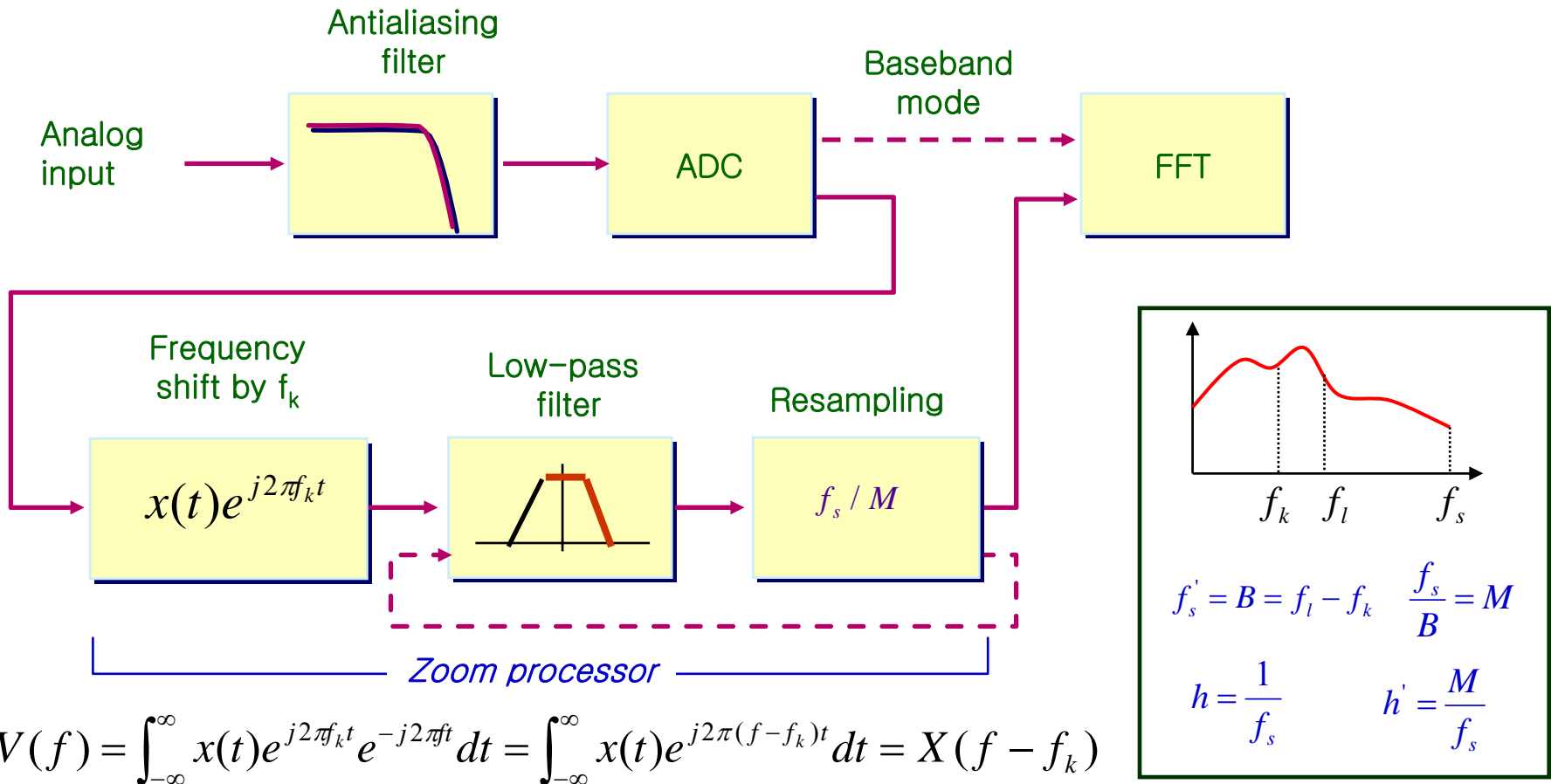
“ Real-time zoom “

2) increased the record length N

“ non-destructive zoom “

4.1 Signal Analysis & Functions

- Zoom analysis (Real-time Zoom)



$$V(f) = \int_{-\infty}^{\infty} x(t)e^{j2\pi f_k t} e^{-j2\pi f t} dt = \int_{-\infty}^{\infty} x(t)e^{j2\pi(f-f_k)t} dt = X(f - f_k)$$

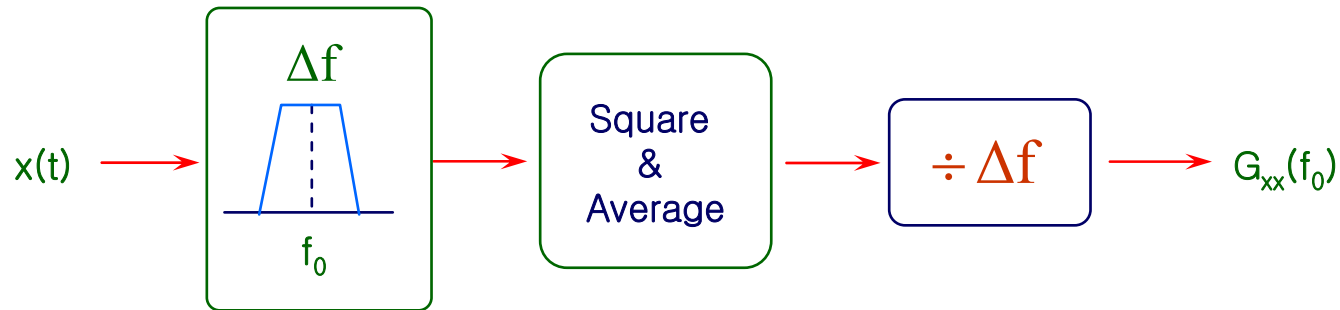
f_k : modulation frequency

The extra length of the time signal required to obtain the finer resolution is achieved by reducing the sampling rate.

4.1 Signal Analysis & Functions

- Power Spectrum

- Energy which is divided by filter bandwidth
- Unit
 - RMS : Deterministic signal
 - PSD : Random signal
 - ESD : Transient signal



Auto PSD :
$$S'_{xx}(f) = \frac{X^*(f) \cdot X(f)}{T} = \Delta f X^*(f) \cdot X(f)$$

4.1 Signal Analysis & Functions

- Definitions of PSD & CSD

- Average Power for random signal $x(t)$

$$P_{avg} = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} x^2(t) dt$$

- PSD in random process

$$S_{xx}(f) = \lim_{T \rightarrow \infty} \left\langle \frac{|X(f, T)|^2}{T} \right\rangle = \lim_{T \rightarrow \infty} \frac{1}{T} E[|X_k(f, T)|^2]$$

- Cross Spectral Density Function

$$S_{xy}(f) = \lim_{T \rightarrow \infty} \left\langle \frac{X^*(f, T)Y(f, T)}{T} \right\rangle = \lim_{T \rightarrow \infty} \frac{1}{T} E[X_k^*(f, T)Y_k(f, T)]$$

Properties

i) $S_{xy}(f)$ is a complex function

ii) $S_{xy}(-f) = S_{xy}^*(f) = S_{yx}(f)$: By definition of Fourier transform

iii) if $x = y$ $S_{xx}(f)$ is positive real $S_{xx}(-f) = S_{xx}(f)$ even fn

4.1 Signal Analysis & Functions

- Wiener–Khinchine Relations

$$S_{xf}(f) = \int_{-\infty}^{\infty} R_{xy}(\tau) e^{-j2\pi f\tau} d\tau$$

$$R_{xf}(\tau) = \int_{-\infty}^{\infty} S_{xy}(f) e^{j2\pi f\tau} df$$

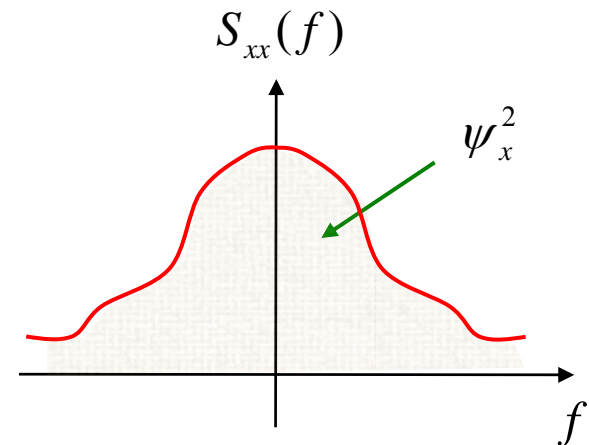
if $x(t) = y(t)$

$$S_{xy}(f) \rightarrow S_{xx}(f)$$

$$R_{xy}(\tau) \rightarrow R_{xx}(\tau)$$

Properties

i) $R_{xx}(0) = \psi_x^2$ mean square
 $= \int_{-\infty}^{\infty} S_{xx}(f) df$



4.1 Signal Analysis & Functions

- Proof of Wiener–Khinchine Relations

- Cross Correlation Function

$$R_{xy}(\tau) = E[x(t)y(t+\tau)] = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} x(t)y(t+\tau)dt$$

Fourier Transform of $R_{xy}(\tau)$

$$\int_{-\infty}^{\infty} R_{xy}(\tau)e^{-j2\pi f\tau}d\tau = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} \int_{-T/2}^{T/2} x(t)y(t+\tau)dt e^{-j2\pi f\tau}d\tau$$

$$= \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} \int_{-T/2}^{T/2} x(t)y(t+\tau)e^{-j2\pi f\tau}dt d\tau$$

Let $\tau = s - t$
 $d\tau = ds$

$$= \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} \int_{-T/2}^{T/2} x(t)y(s)e^{-j2\pi f(s-t)}ds d\tau$$

$$= \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} x(t)e^{j2\pi ft} \int_{-T/2}^{T/2} y(s)e^{-j2\pi fs}ds d\tau$$

$$= \lim_{T \rightarrow \infty} \frac{1}{T} X^*(f)Y(f) = S_{xf}(f) \quad : \text{Cross Spectral Density Function !!}$$

4.1 Signal Analysis & Functions

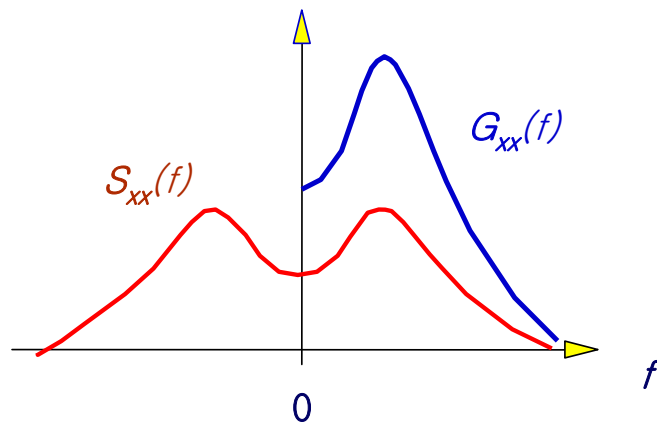
- Power spectrum

- Spectrum calculation of FFT

Auto PSD : $S'_{xx}(f) = \frac{X^*(f) \bullet X(f)}{T}$

$$S_{xx}(f) = X^*(f) \bullet X(f) \quad : \text{2-sided spectrum}$$

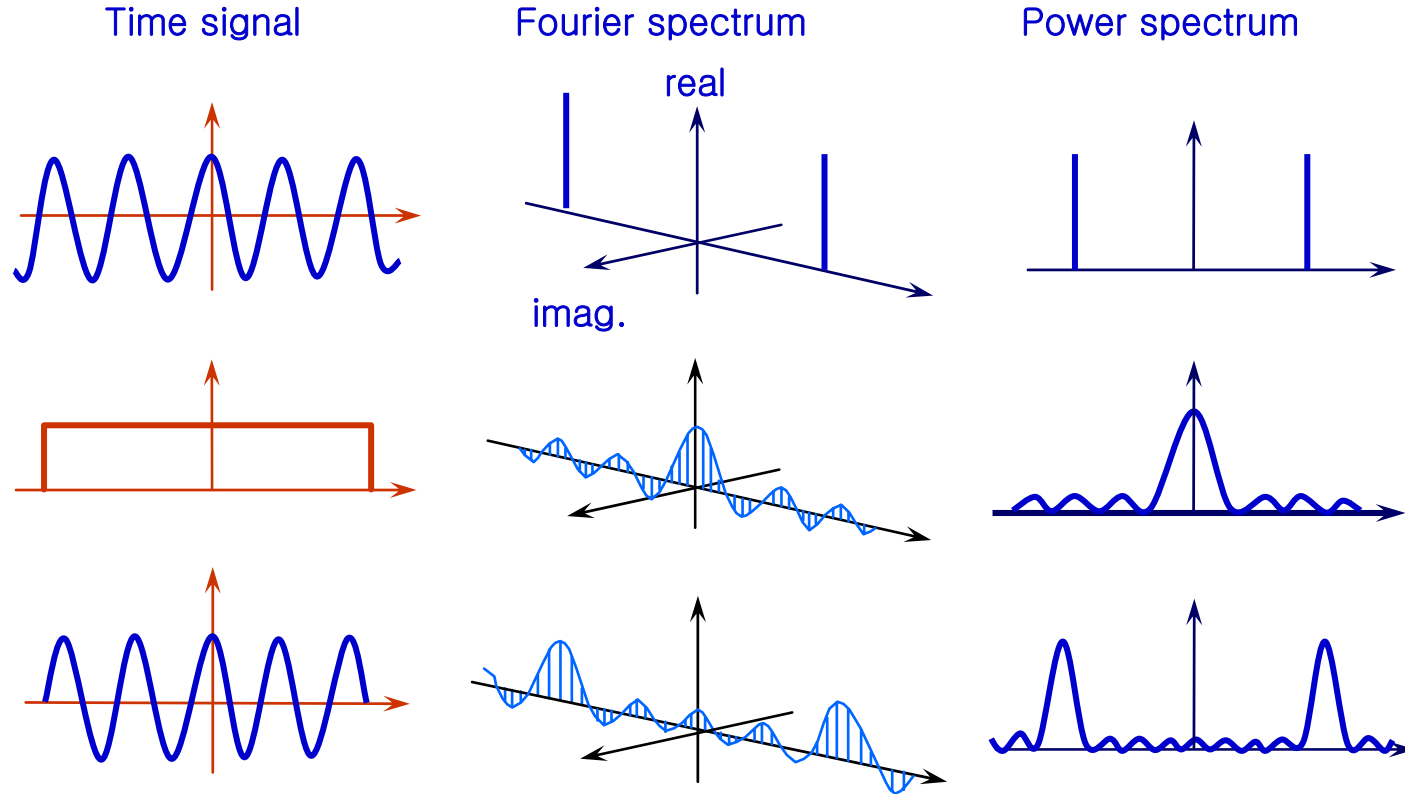
$$G_{xx}(f) = \begin{cases} 2S_{xx}(f), & f > 0 \\ S_{xx}(f), & f = 0 \\ 0, & f < 0 \end{cases} \quad : \text{1-sided spectrum}$$



- $X(f)$: (instantaneous) Fourier transform
- $X^*(f)$: complex conjugate of $X(f)$

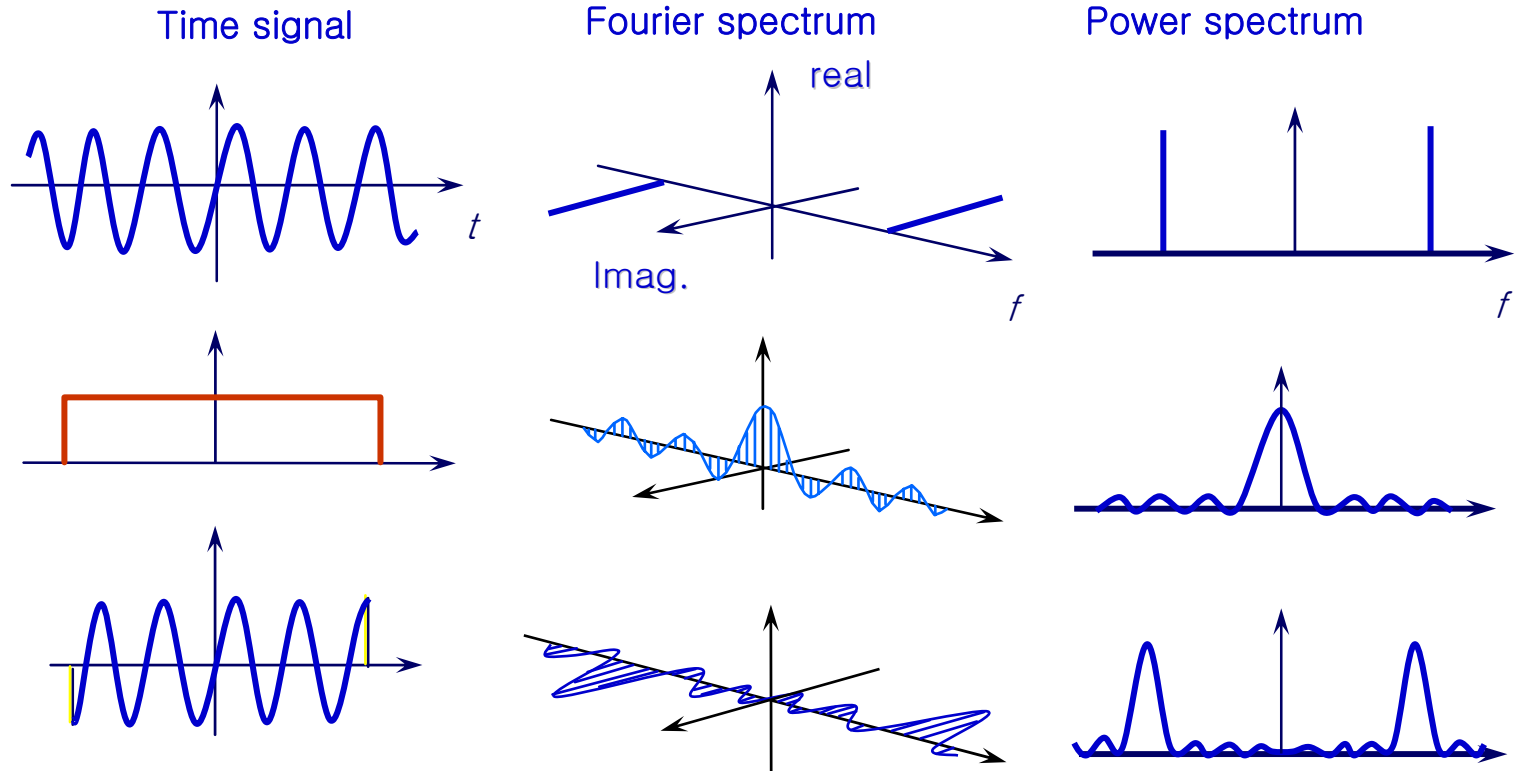
4.1 Signal Analysis & Functions

- Power Spectrum of Cosine



4.1 Signal Analysis & Functions

- Power Spectrum of Sine

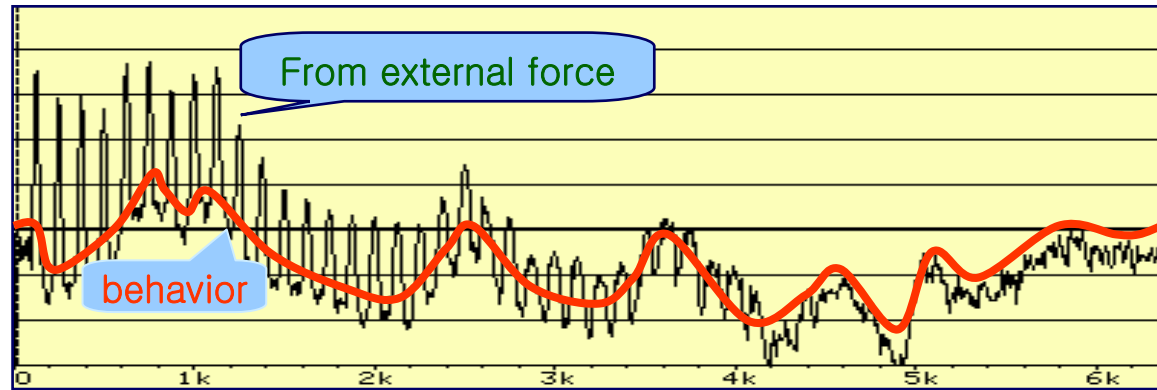
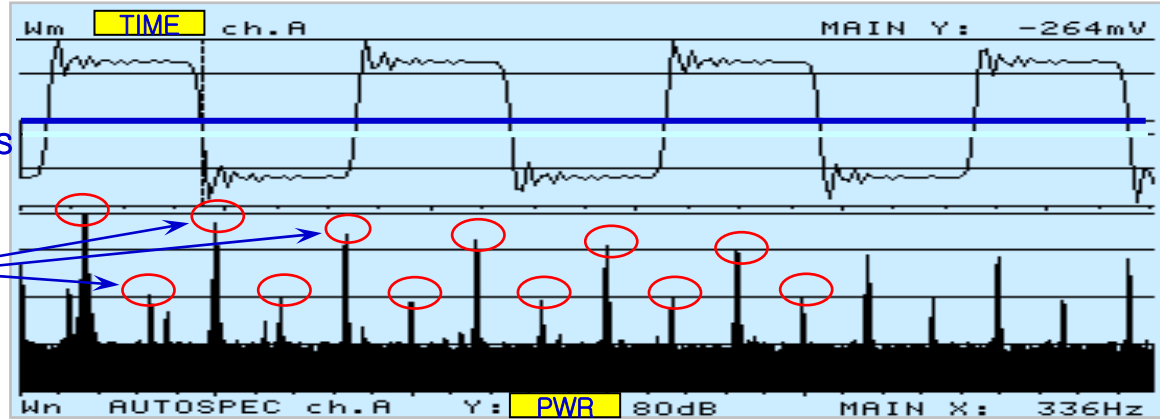
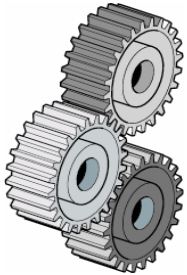


4.1 Signal Analysis & Functions

- Power spectrum of a periodic signal

There are harmonic components

harmonics



4.1 Signal Analysis & Functions

- Cross Power Spectrum(I)

$S_{XY}(f)$: cross spectrum from Y to X

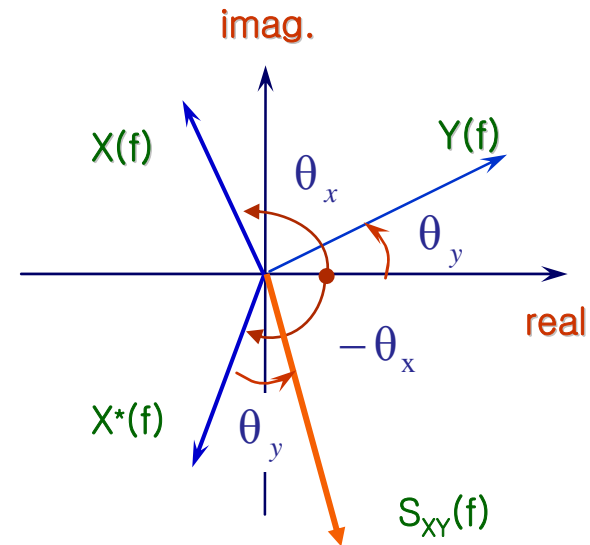
$$\begin{aligned} S_{xx}(f) &= X^*(f) \bullet X(f) \\ &= F[R_{xy}(\tau)] \end{aligned}$$

$$X(f) = |X(f)| e^{j\theta_x(f)}$$

$$Y(f) = |Y(f)| e^{j\theta_y(f)}$$

- ◆ Amplitude : $|X(f) \cdot Y(f)|$

- ◆ Phase : $\theta_y(f) - \theta_x(f)$



4.1 Signal Analysis & Functions

- Cross Power Spectrum(II)
 - Amplitude $|S_{xy}(f)|$: correlation of x and y signal
 - Phase $\angle S_{xy}(f)$: Phase difference (function of frequency)
 - Phase of $S_{xy}(f)$: $\theta_y(f) - \theta_x(f)$, Phase of $S_{yx}(f)$: $\theta_x(f) - \theta_y(f)$
 - $|S_{xy}(f)| = |S_{yx}(f)|$, $S_{xy}(f) = S_{yx}^*(f)$
-

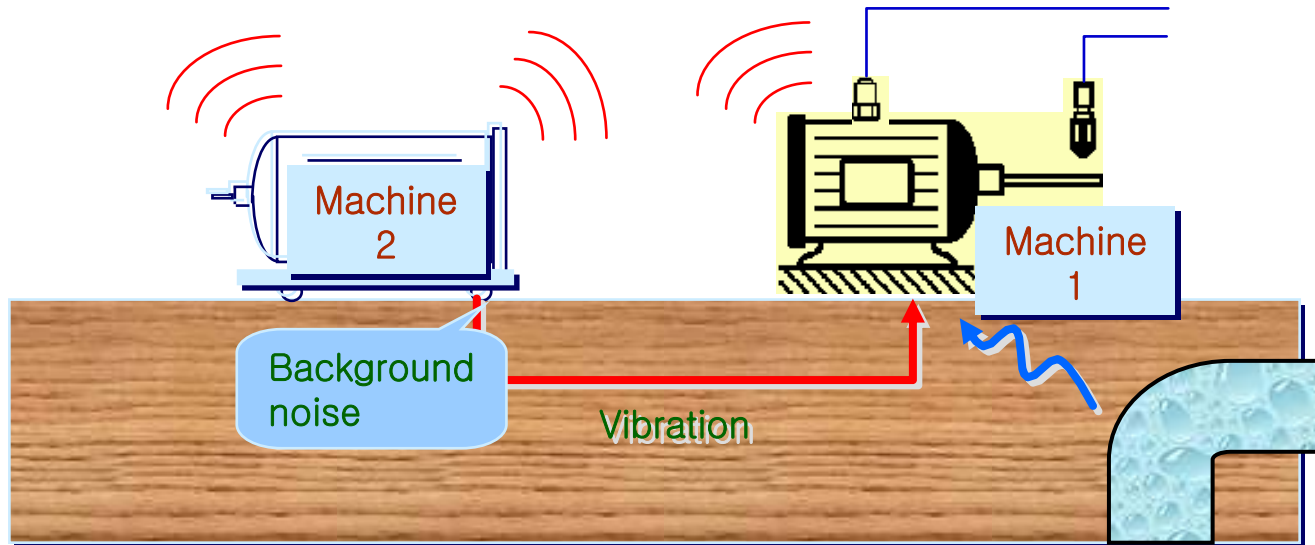
- Using of other function calculation
 - Frequency Response Function, Cross Correlation,
 - Coherence, Sound Intensity estimation
- Analysis of two signal phase relation
 - propagation delay
- Analysis of two signal correlation
 - cf) FRF

4.1 Signal Analysis & Functions

- Signal Enhancement (Time Averaging)
 - Extraction of a periodically repeating signal from additive contaminating noise
 - Applications :
 - Wave form analysis
 - Reduce background noise
 - Enhance orders
 - Separation of mechanical & electrical vibrations

4.1 Signal Analysis & Functions

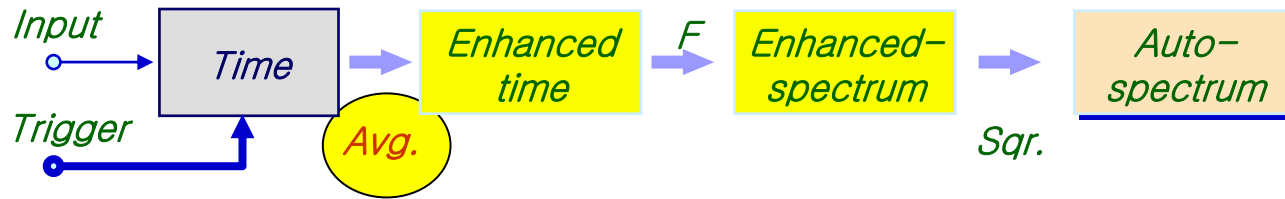
- Signal Enhancement Application Example



- Signal enhancement in machine analysis
- Vibration measurement on a machine shop floor

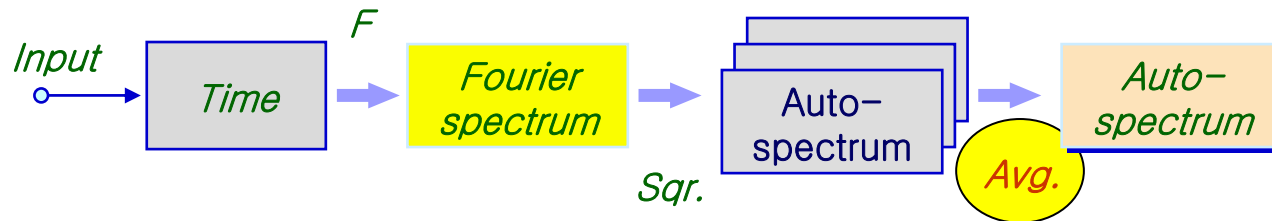
4.1 Signal Analysis & Functions

- Time vs Frequency Domain Averaging



- Synchronous time domain averaging
 - Requires a synchronous trigger signal
 - Fast averaging (no FFT needed)

The main feature of signal enhancement is that the averaging process takes place in the time domain



- Frequency domain averaging

4.1 Signal Analysis & Functions

- Signal Enhancement Theory I (Time domain)

- Time signal

$$x(t) = a(t) + m(t)$$

- $a(t)$ = Periodic signal
- $m(t)$ = Random noise signal
- $x(t)$ = Measured signal

- Time domain averaging

$$\begin{aligned}\overline{x(t)} &= \frac{1}{N_A} \cdot \sum [a(t) + m(t)] \\ &= \frac{1}{N_A} \cdot [N_A \cdot a(t) + \sqrt{N_A} \cdot m(t)] \\ &= \overline{a(t)} + \frac{1}{\sqrt{N_A}} \cdot m(t)\end{aligned}$$

Time records averaged N_A times will add coherently (amplitude summation), while the uncorrelated noise will add incoherently (power summation).

4.1 Signal Analysis & Functions

- Basic Concepts

$m(t)$: random noise with mean μ_m

Mean square error of the sample mean $\overline{m(t)}$

$$\begin{aligned}\psi_{mm}^2 &= E[(\overline{m} - \mu_m)^2] = E\left[\left(\frac{1}{N_A} \sum_{i=1}^{N_A} m_i - \mu_m\right)^2\right] = \frac{1}{N_A^2} E\left[\sum_{i=1}^{N_A} (m_i - \mu_m)^2\right] \\ &= \frac{1}{N_A^2} N_A \sigma_m^2 = \frac{\sigma_m^2}{N_A} \quad \therefore \psi_{mm} = \frac{\sigma_m}{\sqrt{N_A}}\end{aligned}$$

$$\mu_m = 0 \quad \rightarrow \quad \psi_{mm} = \frac{\psi_s}{\sqrt{N_A}}$$

ψ_s^2 : Mean square value of the sample

4.1 Signal Analysis & Functions

- Basic Concepts
 - Non-synchronous trigger signal

$$x(t) \text{ averaging} \equiv X(f) \text{ averaging}$$

If the trigger is not synchronized, both data, $x(t)$ and $X(f)$, will be distorted. Therefore, in this case, the averaging of power spectrum is only valid.

4.1 Signal Analysis & Functions

- Signal Enhancement Theory I (Time domain)

- *Enhanced auto-spectrum*

$$\begin{aligned} G_{\overline{X X}} &= \overline{X(f)} \cdot \overline{X^*(f)} \\ &= \left(\overline{A(f)} + \frac{1}{\sqrt{N_A}} \cdot M(f) \right) \cdot \left(\overline{A(f)} + \frac{1}{\sqrt{N_A}} \cdot M(f) \right)^* \\ &= \overline{A(f)} \cdot \overline{A^*(f)} + \frac{1}{N_A} \cdot M(f) \cdot M^*(f) \\ &= G_{\overline{A A}} + \frac{1}{N_A} \cdot G_{MM} \end{aligned}$$

A(t) and M(t) are mutually uncorrelated !!

- *Frequency domain averaging, Auto-spectrum*

$$G_{XX} = G_{AA} + G_{MM}$$

G_{mm} is not decreased by averaging method
because it is power that has positive real values.

4.1 Signal Analysis & Functions

- Signal Enhancement Theory I (Time domain)

< Signal to Noise Ratio >

- Time averaging

$$G_{\overline{X X}} = G_{\overline{A A}} + \frac{1}{N_A} \cdot G_{\overline{M M}}$$

- Frequency domain averaging

$$G_{XX} = G_{AA} + G_{MM}$$

- Signal to noise improvement

$$S/N \approx N_A \quad S/N = 10 \log \left(\frac{G_{AA}}{1/N_A G_{MM}} \right)$$

Equals to number of averages

$$S/N = 10 \log N_A \quad [dB]$$

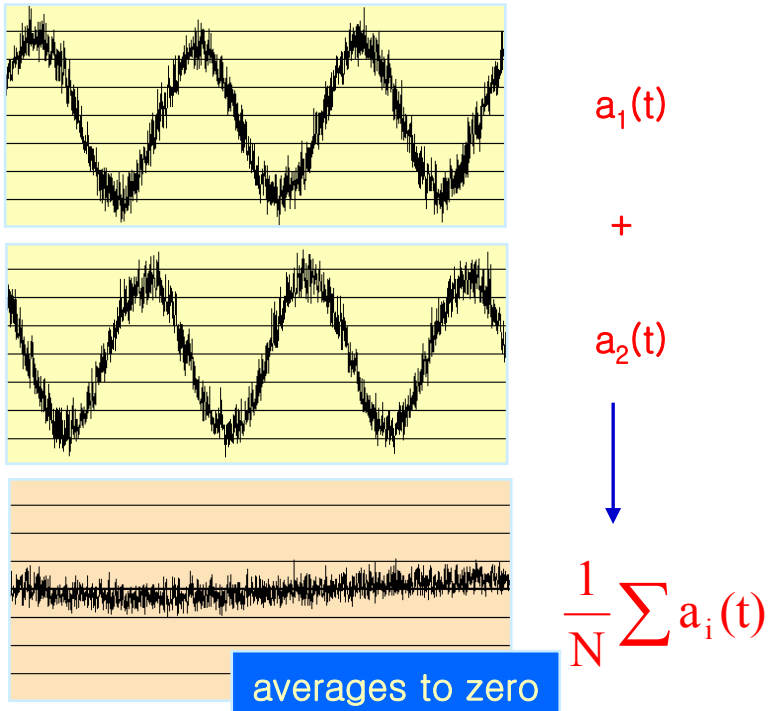
N_A	$10 \log_{10}(N_A)$
10	10 dB
100	20 dB
1,000	30 dB
10,000	40 dB
32,767	45 dB

* $m(t)$: uncorrelated random noise

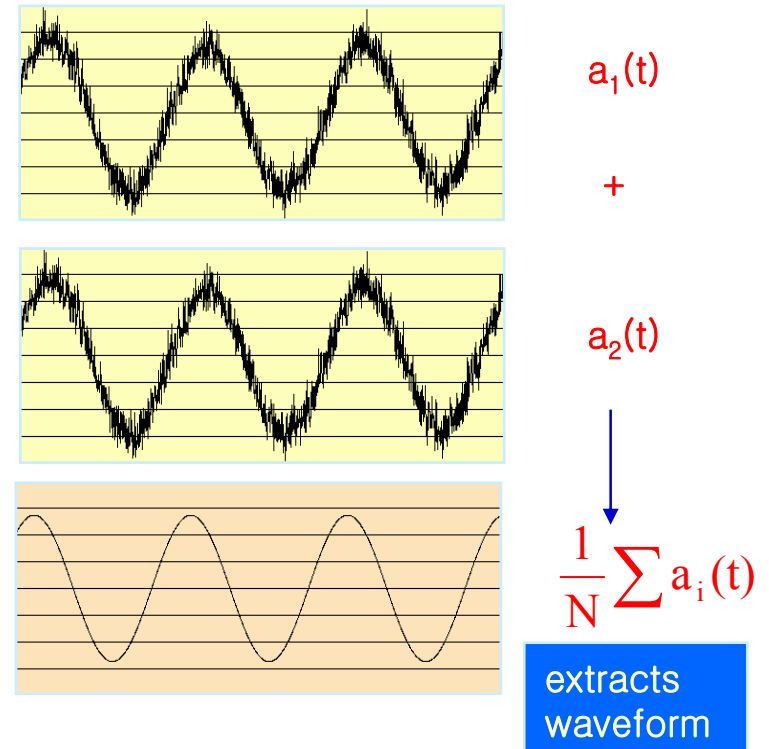
4.1 Signal Analysis & Functions

- Synchronous Time Averaging

- Time Averaging no Trigger



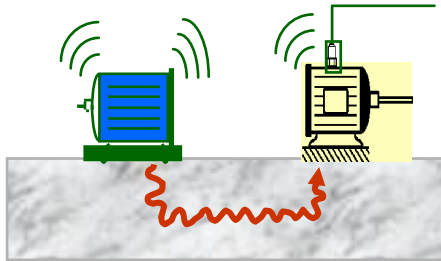
- Synchronous Time Averaging



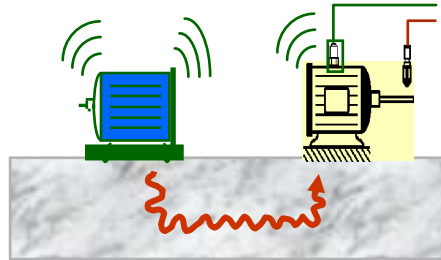
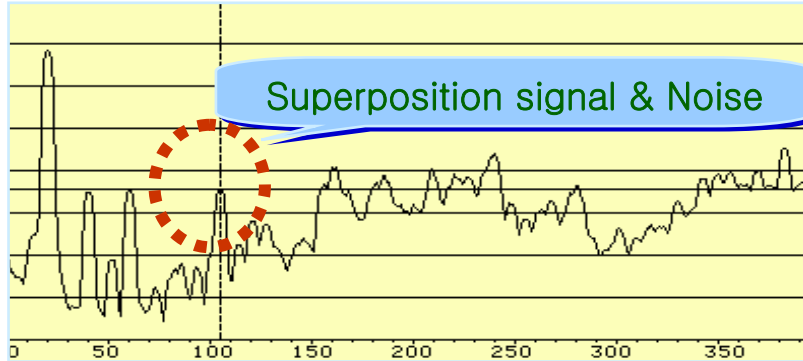
The difference between non-synchronous time averaging and synchronous time averaging is illustrated in Figures.

4.1 Signal Analysis & Functions

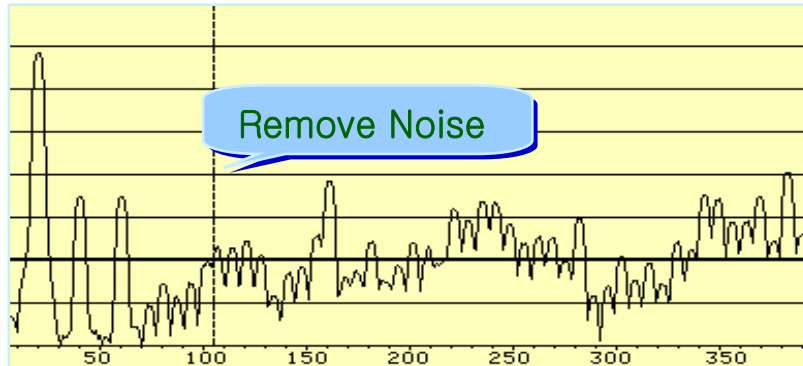
- Application of Signal Enhancement I



- Frequency averaging, Autospectrum

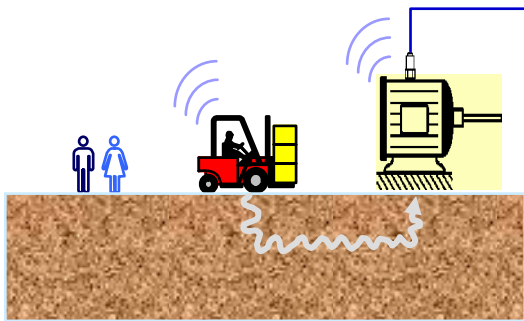


- Time averaging, Autospectrum

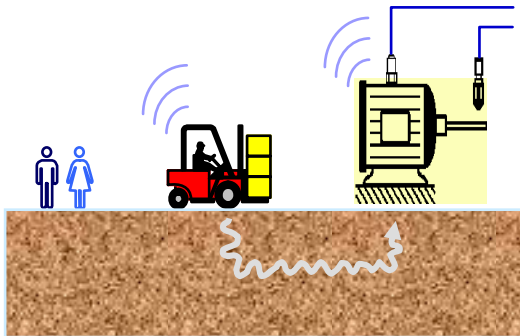


4.1 Signal Analysis & Functions

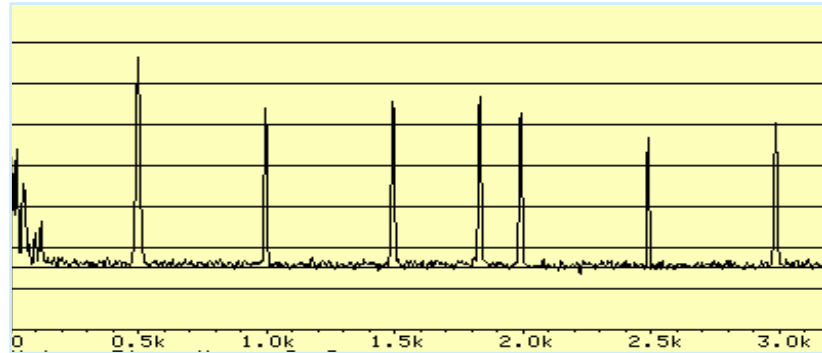
- Application of Signal Enhancement II



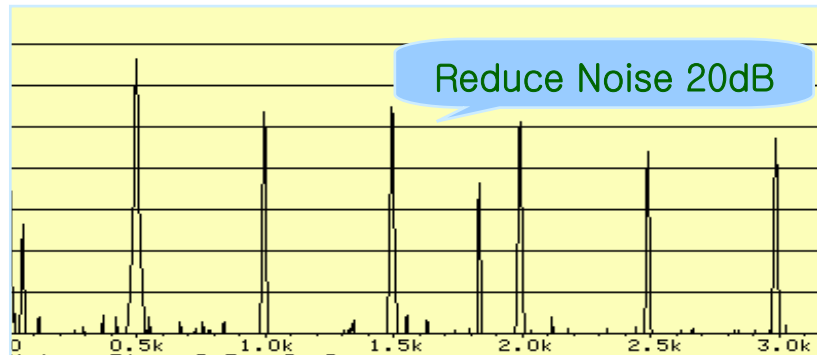
- $N_A = 100$ averages
- S/N improvement of 20dB



- Frequency averaging, Auto-spectrum

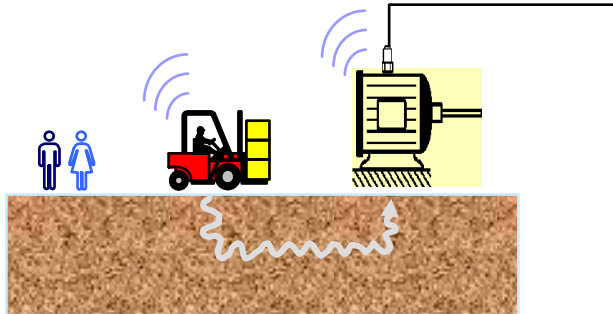


- Time averaging, Auto-spectrum



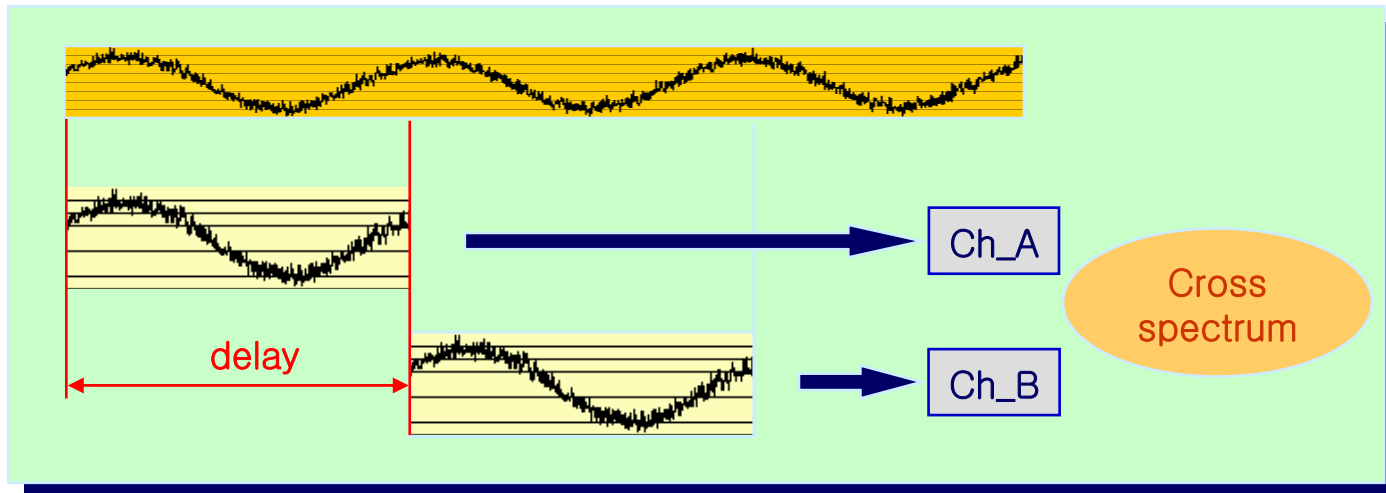
4.1 Signal Analysis & Functions

- Spectrum Enhancement (cross spectrum method)
 - Strong point :
 - Don't need Trigger signal.
 - Weak point :
 - Only random noise suppression
 - S/N improvement proportional to $\sqrt{N_A}$
 - Each signal needs dual channel analysis



4.1 Signal Analysis & Functions

- Spectrum Enhancement (cross spectrum method)
 - Embodiment :
 - Same signal in both channels
 - Delay between channels longer than
 - record length
 - length of autocorrelation of unwanted signal
 - Display of cross spectrum



4.1 Signal Analysis & Functions

- Spectrum Enhancement (cross spectrum method)

- $f(t)$ = Periodic signal
- $m(t)$ = Random noise signal
- $a(t), b(t)$ = Measured signal

- Time signal Ch_A

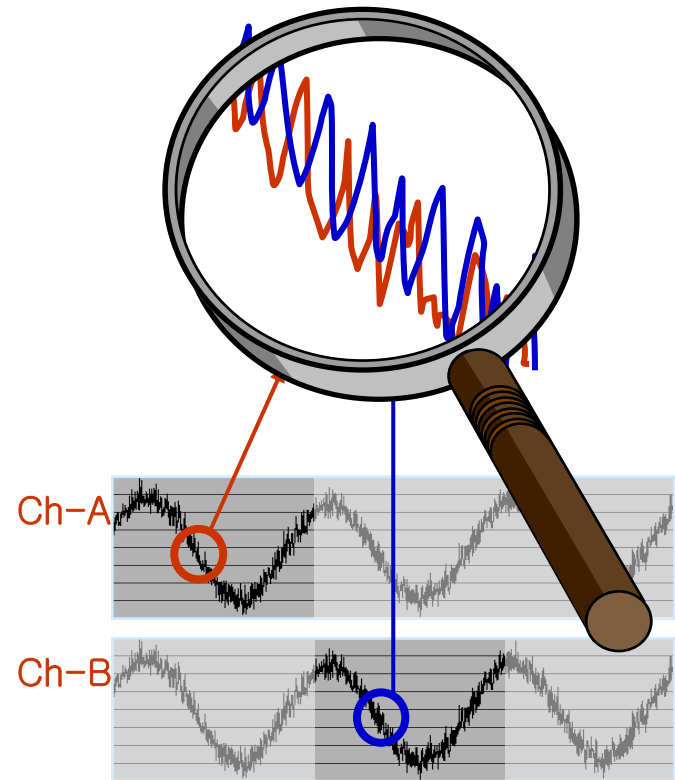
$$a(t) = f(t) + m(t)$$

- Spectrum Ch_A

$$A(f) = F(f) + M_1(f)$$

- Spectrum Ch_B (with time delay)

$$B(f) = F(f) \cdot e^{-j\Phi} + M_2(f)$$



4.1 Signal Analysis & Functions

- Spectrum Enhancement (cross spectrum method)

- Cross spectrum

$$\begin{aligned}G_{AB}(f) &= A^*(f) \cdot B(f) \\ &= F^*(f) \cdot F(f) \cdot e^{-j\Phi} + M_3(f)\end{aligned}$$

$$M_3(f) = M_1^*(f) \cdot M_2(f)$$

Random and Complex

- Cross spectrum after N_A averages

$$G_{AB}(f) = G_{FF} \cdot e^{-j\Phi} + \frac{1}{\sqrt{N_A}} \cdot G_{M_3}$$

4.1 Signal Analysis & Functions

- Spectrum Enhancement (cross spectrum method)

< Signal to Noise Ratio >

- Cross spectrum after N_A avg.

$$G_{AB}(f) = G_{FF} \cdot e^{-j\Phi} + \frac{1}{\sqrt{N_A}} \cdot G_{M3}$$

- Signal to noise improvement

$$S/N \approx \sqrt{N_A}$$

$$S/N = 10 \cdot \log \sqrt{N_A} \text{ dB}$$

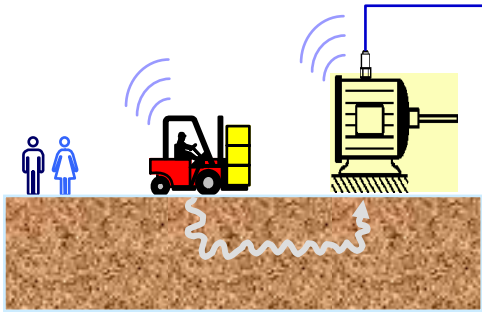
$$S/N = 5 \cdot \log N_A \text{ dB}$$

- Examples

N_A	$5 \log_{10}(N_A)$
10	5 dB
100	10 dB
1,000	15 dB
10,000	20 dB
32,767	23 dB

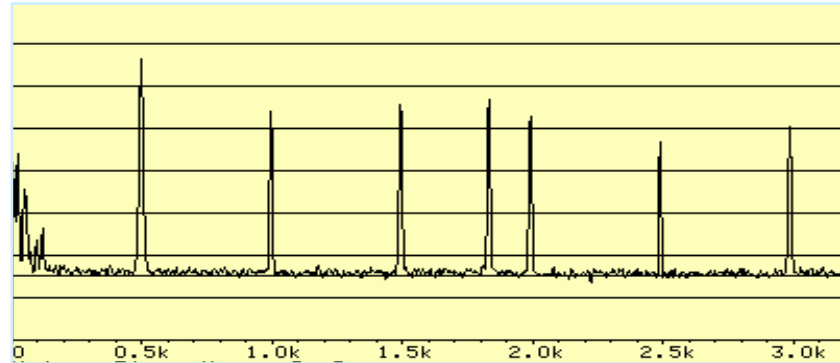
4.1 Signal Analysis & Functions

- Application of Spectrum Enhancement

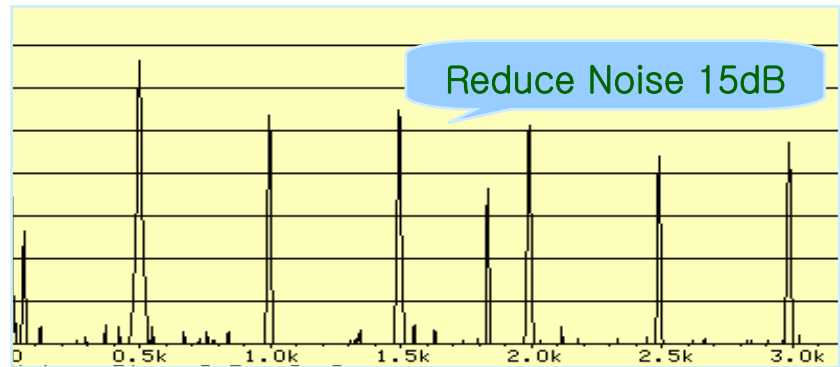


- NA = 1000 averages
- S/N improvement of 15dB

- Auto-spectrum



- Cross-spectrum



4.2 Order Analysis

- Non-Stationary Signal Analysis & Function

- Multi Spectrum Analysis

- Scan Analysis

- : Divide the signal into quasi-stationary segments by proper selection of analysis window

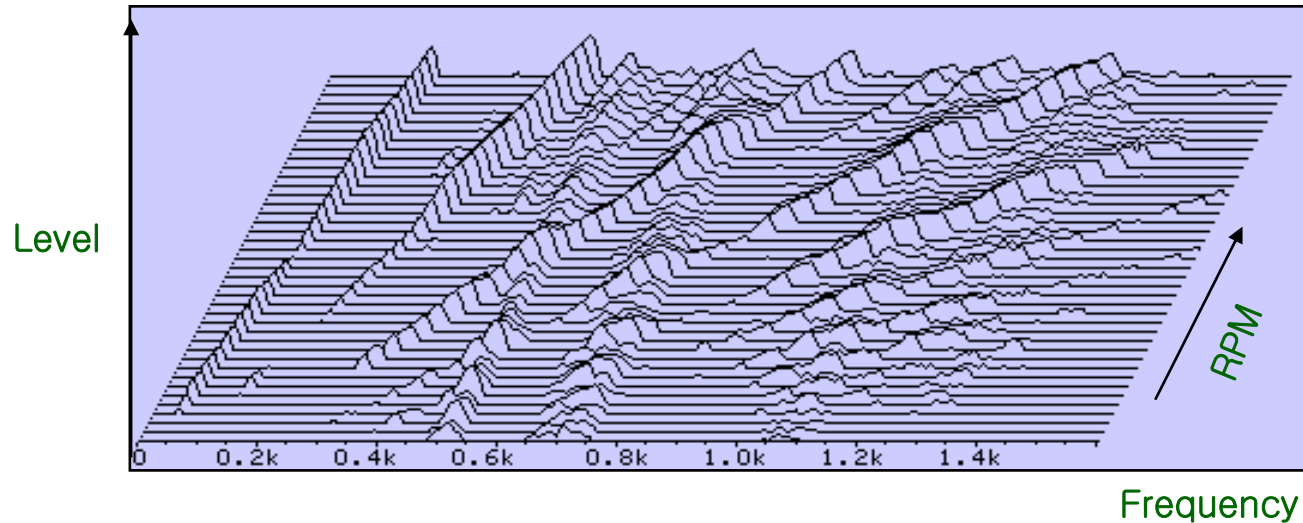
- STFT (Short Time Fourier Transform)

- Order Tracking

- : Sample the signal according to its frequency variations

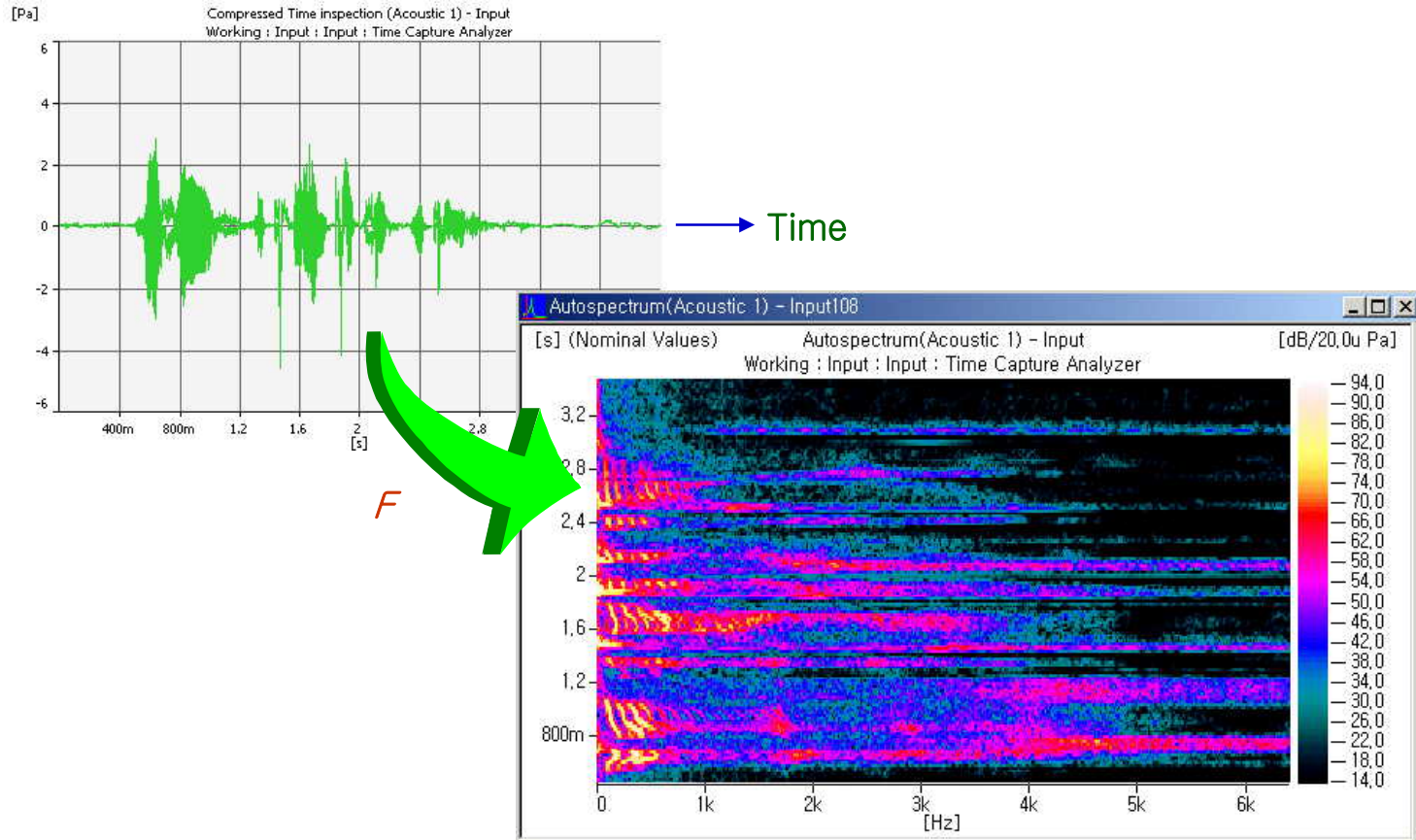
4.2 Order Analysis

- Multi Spectrum Analysis
 - Get continuous spectrum which is prefixed
 - Using total analysis for signal
 - ex) Spectrum trend according to rpm



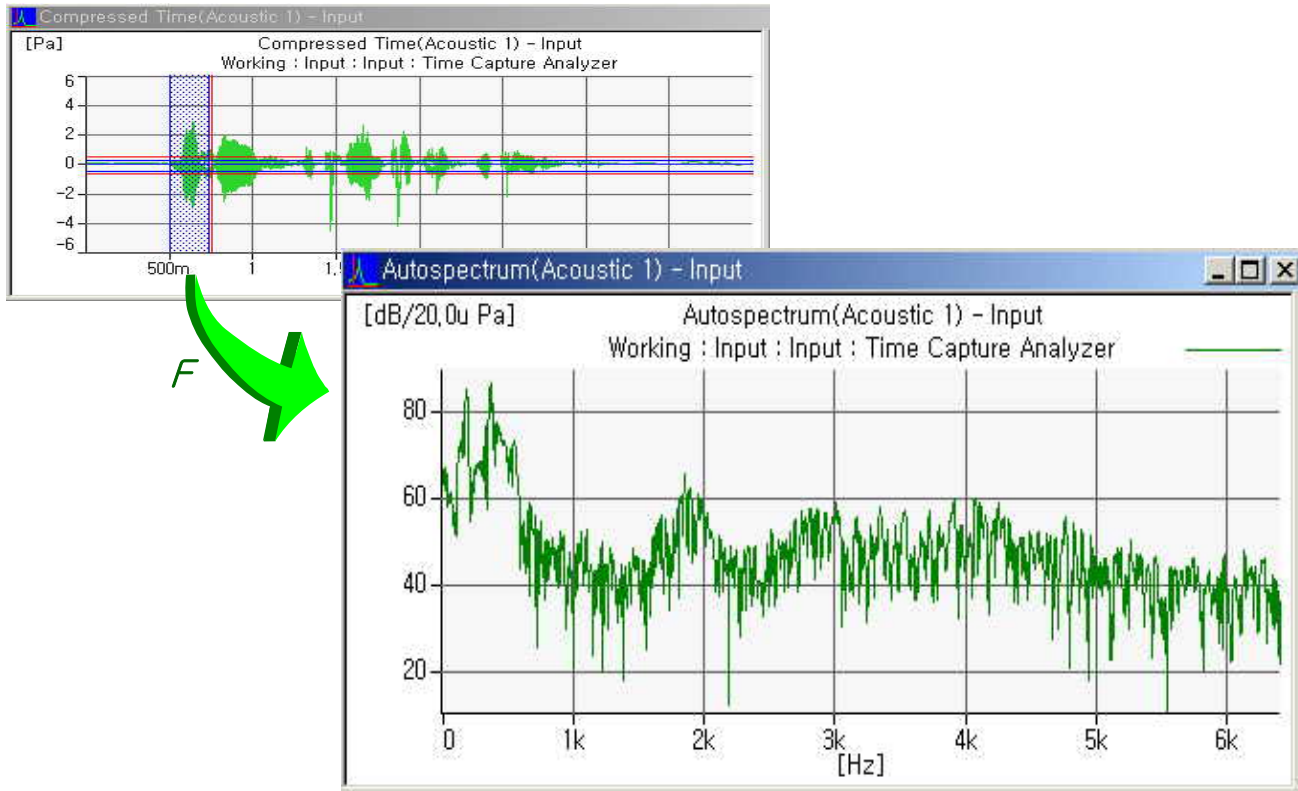
4.2 Order Analysis

- Scan Analysis of Non-stationary Signal I



4.2 Order Analysis

- Scan Analysis of Non-stationary Signal II



4.2 Order Analysis

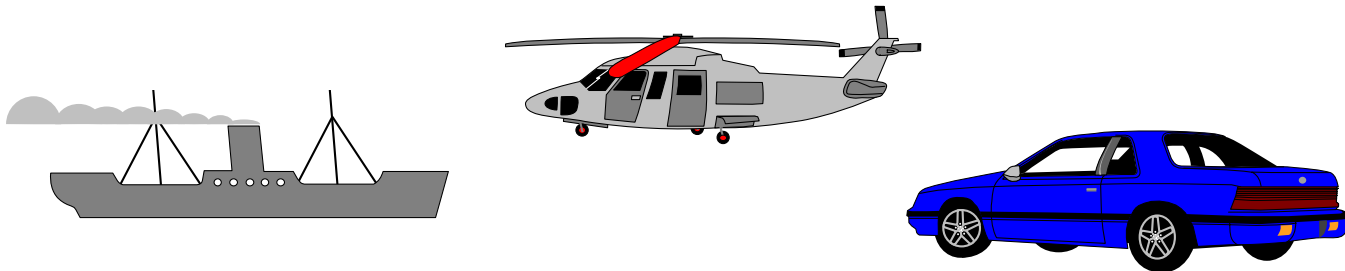
- Introduction

- What is Order Analysis?

- A technique for analysis of noise and vibration signals from rotating and reciprocating machinery to reveal imperfections in the moving parts

- What is special about Order Analysis?

- The measurement is related to the revolutions, the rotational speed, and the harmonic orders of the rotating parts



4.2 Order Analysis

- Typical Uses for Order Analysis

- Run-up/down

- Separation of rotational and structural noise and vibration phenomena
 - Determination of critical speeds and resonances
 - Investigation of instabilities in rotating machinery

- Machine Diagnostics

- Diagnostics on machines running at almost constant speed

(Using precise trigger per revolution)

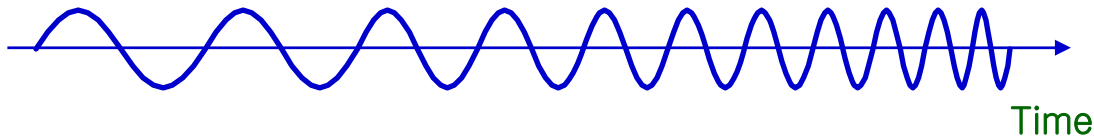
- Order Analysis – Tracking

- Noise and vibration signals from machines with varying speed are characterized by a frequency variation of their spectral components
 - Order analysis with tracking is a method where **the signal is sampled according to its frequency variation**
 - The method is also called order tracking

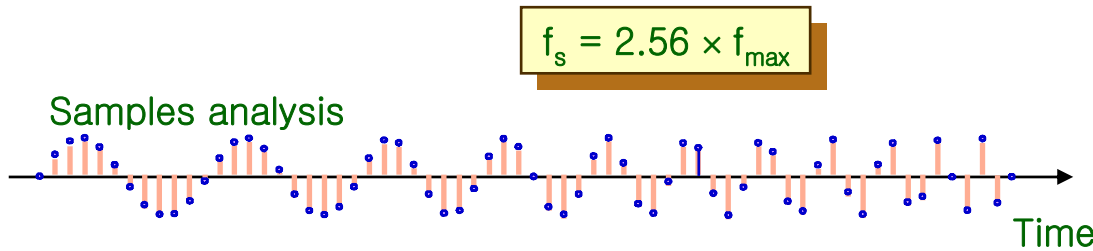
4.2 Order Analysis

- Analysis without and with Tracking

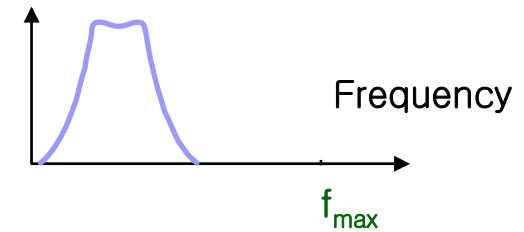
a) Original signal



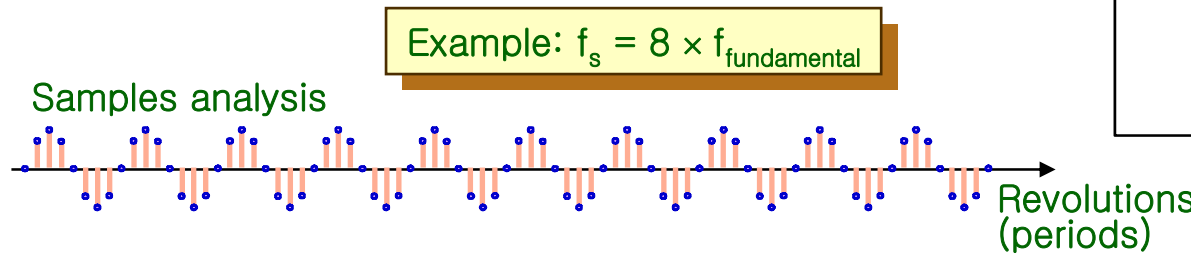
b) Fixed sampling frequency



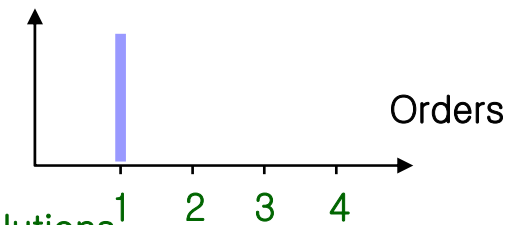
Spectrum without tracking



c) Sampling according to frequency variation



Spectrum with tracking



4.2 Order Analysis

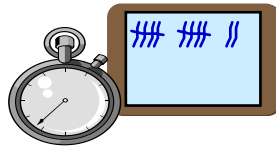
- Frequency Analysis versus Order Analysis

Frequency Analysis

Time: *When ?*
Referenced to
the clock



Frequency spectrum:
How often?
[per second]

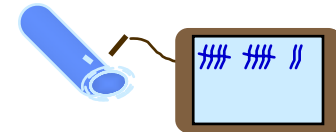


Order Analysis

Time: *When ?*
Referenced to
the revolution
of the shaft



Order spectrum:
How often?
[per revolution]

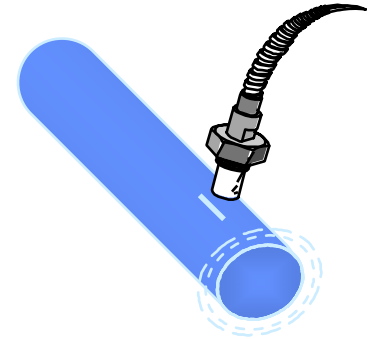


	Frequency Analysis	Order Analysis
Signal ref.	Time [sec]	Revolutions [Rev]
Spectrum	Frequency [Hz] [per sec]	Harmonics [Order] [per revolution]

4.2 Order Analysis

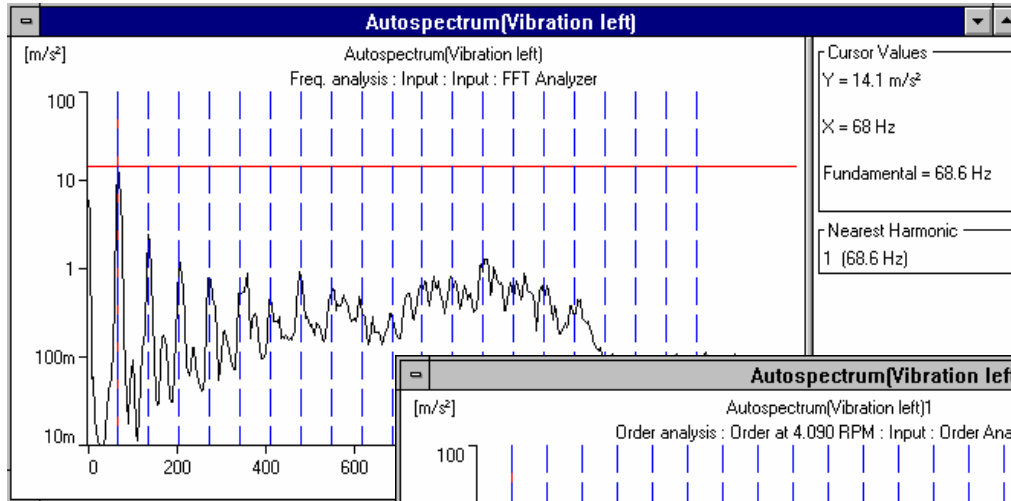
- Tacho Signal

- The key to order analysis is the measurement of the rotational speed (RPM)
- RPM is extracted from a tacho signal
- Tacho signal from:
 - key phasor
 - optical probe
 - shaft encoder
 - ...



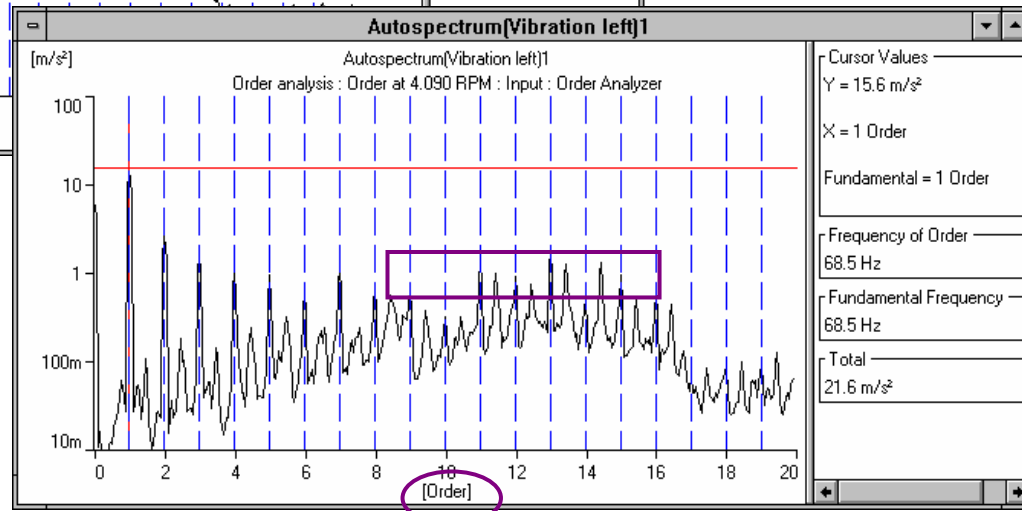
4.2 Order Analysis

- Analysis without and with Tracking



Without tracking

Analysis of a vibration signal from a machine with varying speed

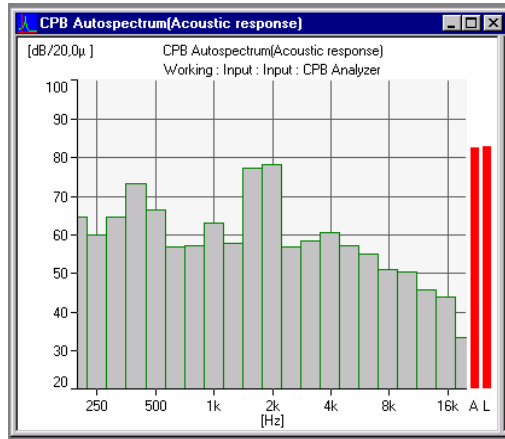


With tracking

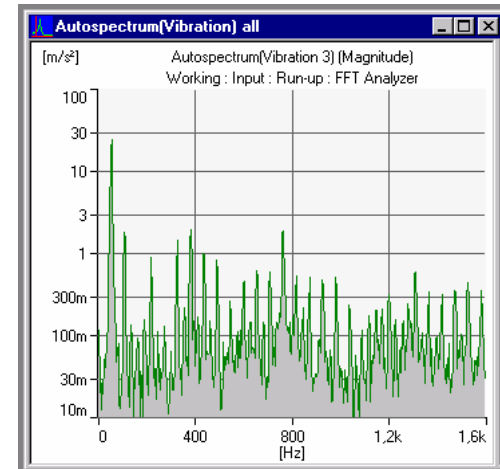
4.2 Order Analysis

- Overview of digital order analysis techniques

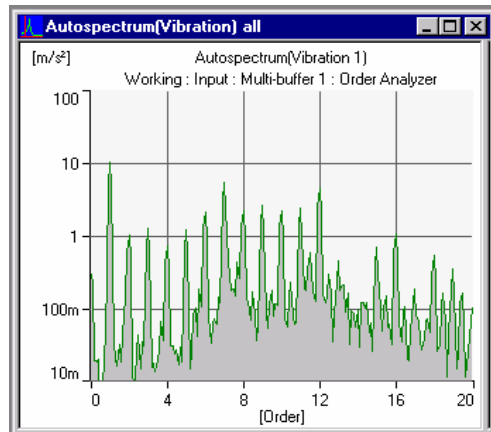
Digital
Filtering
based
analysis
including
Overall
levels



FFT
based
analysis



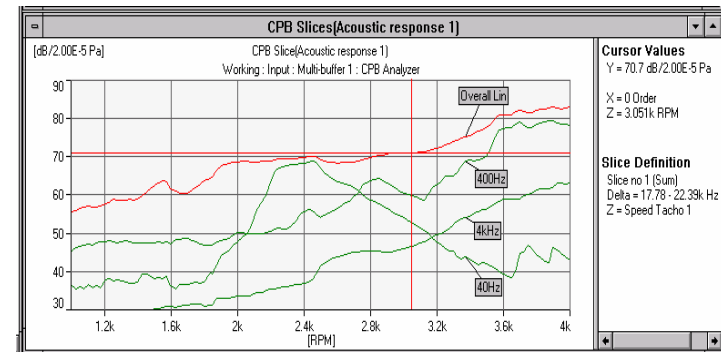
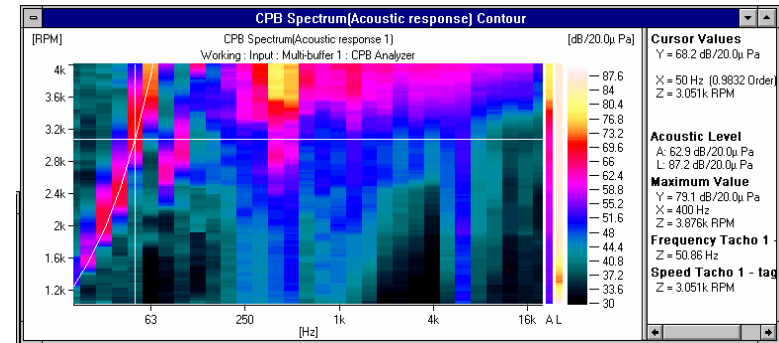
FFT/DFT
based
tracking
analysis



4.2 Order Analysis

- Run-up/down Test using Digital Filters

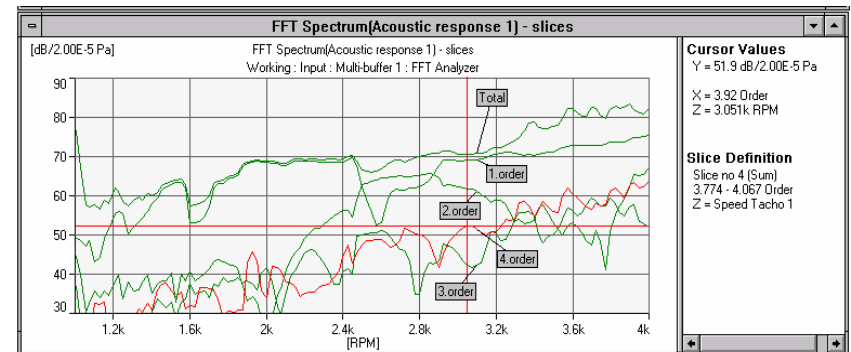
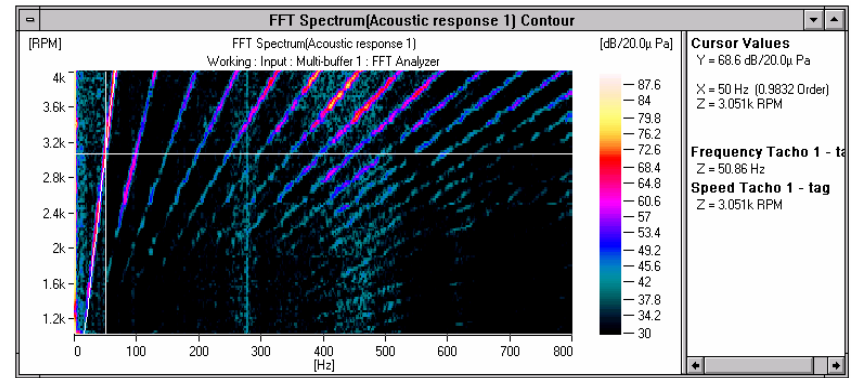
- Overall Lin, A, B, C, D levels as a function of RPM / time / other process parameters
- 1/1, 1/3, 1/12, 1/24 octave spectra as a function of RPM / time / etc.
 - low orders may be identified
 - overall and frequency slices can be extracted
- The technique can reveal frequency regions with annoying resonances
 - related to human perception of sound



frequency slices

4.2 Order Analysis

- Run-up/down Test using FFT Analysis
- FFT spectra as a function of RPM / time / etc.
 - fixed sampling frequency
 - fixed frequency range
- Advantages
 - fast, simple implementation
 - structural resonances parallel to RPM axis
 - order and frequency slices can be extracted
- Disadvantages
 - smearing of orders
 - limited RPM range
 - wide RPM range requires large FFT transform size



order slices

4.2 Order Analysis

- Run-up/down test using Digital Order Tracking

- FFT/DFT order spectra as a function of RPM / time / etc.

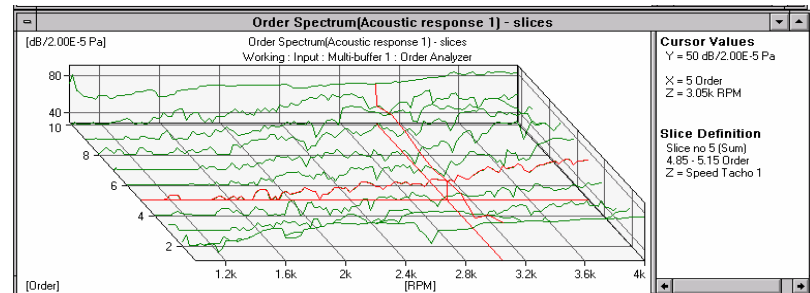
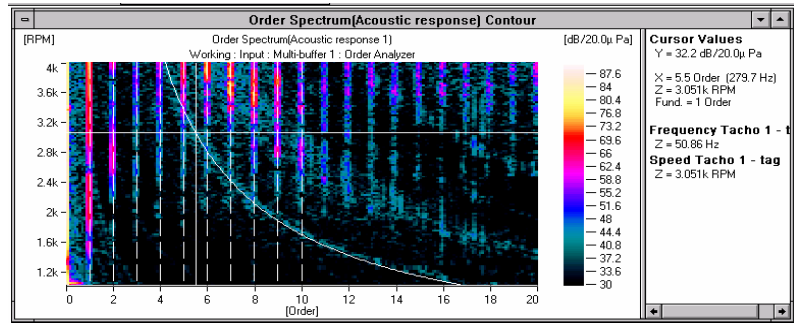
- fixed order span
- variable frequency span
- oversampling, interpolation, resampling technique

- Advantages

- leakage- and smearing-free
- identification of high orders
- small number of lines required
- easy order / slice extraction

- Disadvantages

- more complex processing
- requires accurate tacho estimation



order slices

4.2 Order Analysis

- Run-up/down Test without Tracking Measurement set-up considerations

Example: Analysis of the first 8 harmonic orders in a run-up from 600 RPM to 6000 RPM

a) *What is the required frequency resolution ?*

At lowest speed: 600 RPM ($f_{\text{fundamental}} = 10 \text{ Hz}$)

– For example 5 FFT lines per order

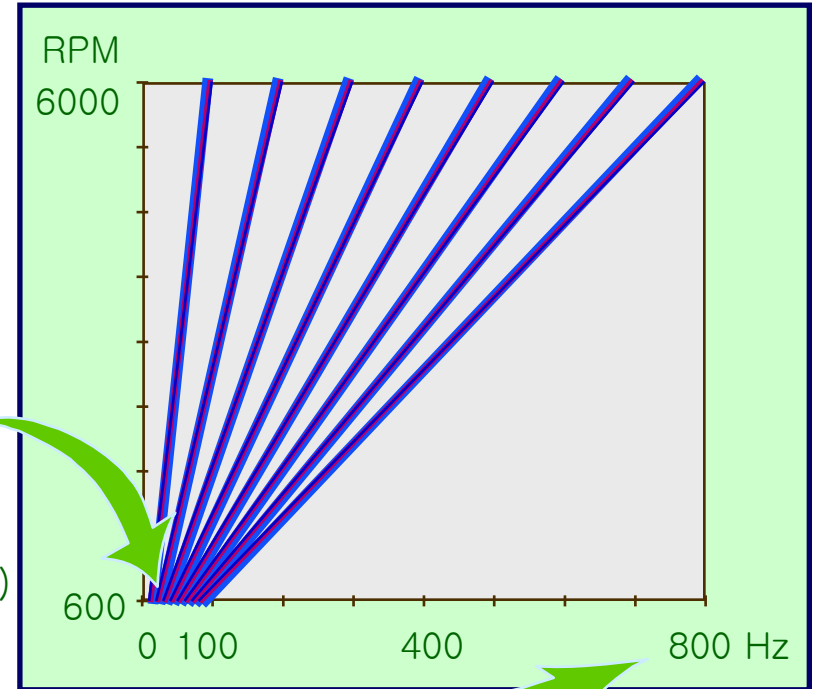
: $\Delta f = 2 \text{ Hz} \Rightarrow T_{\text{record}} = 0.5 \text{ sec}$

$\Delta f = 2 \text{ Hz}$

b) *What is the required frequency span ?*

At highest speed: 6000 RPM ($f_{\text{fundamental}} = 100 \text{ Hz}$)

– 8 harmonic orders included in the analysis : Frequency Span = 800 Hz

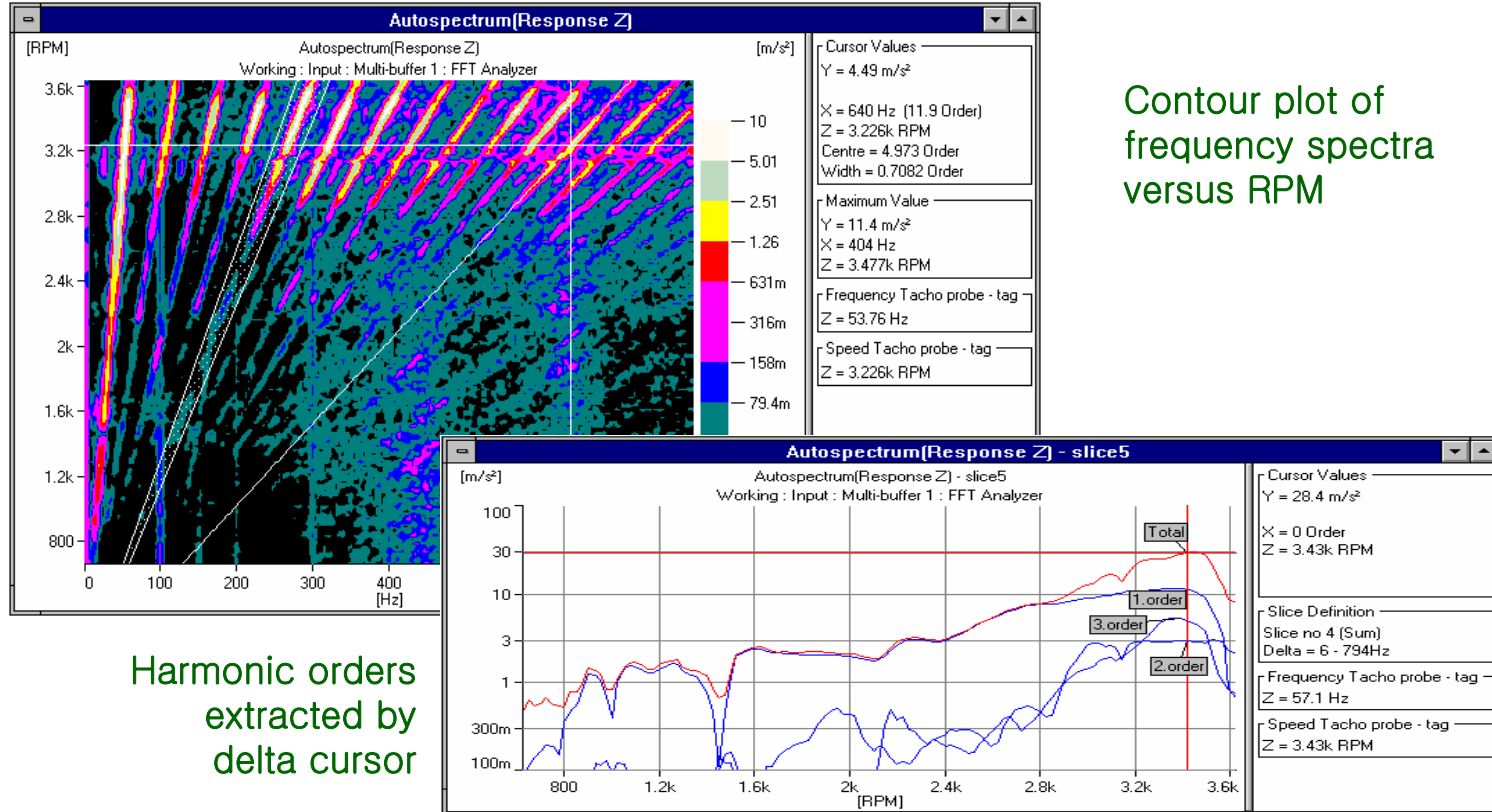


$\Delta f = 2 \text{ Hz}$
Frequency Span = 800 Hz

} 400 line frequency spectra (FFT)

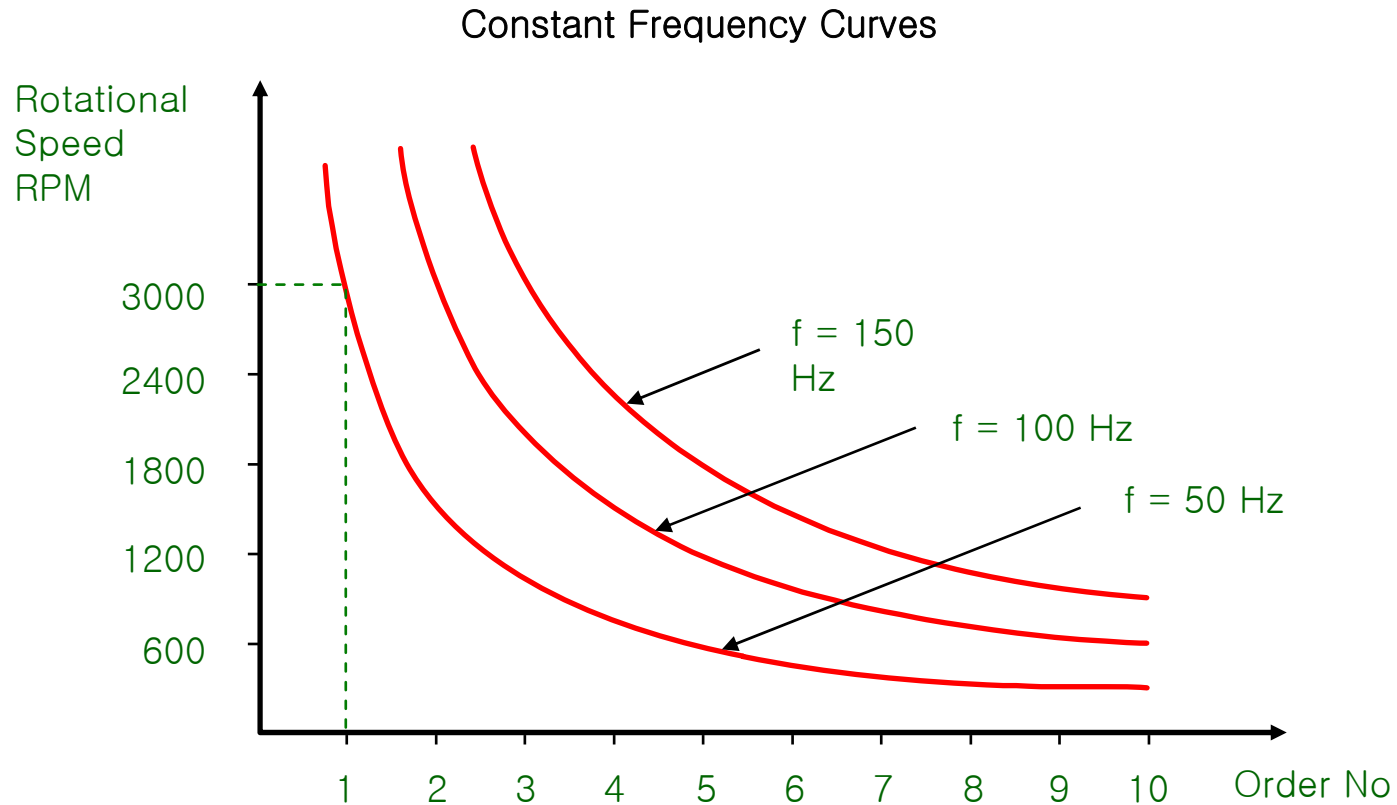
4.2 Order Analysis

- Run-up/down Tests without Tracking



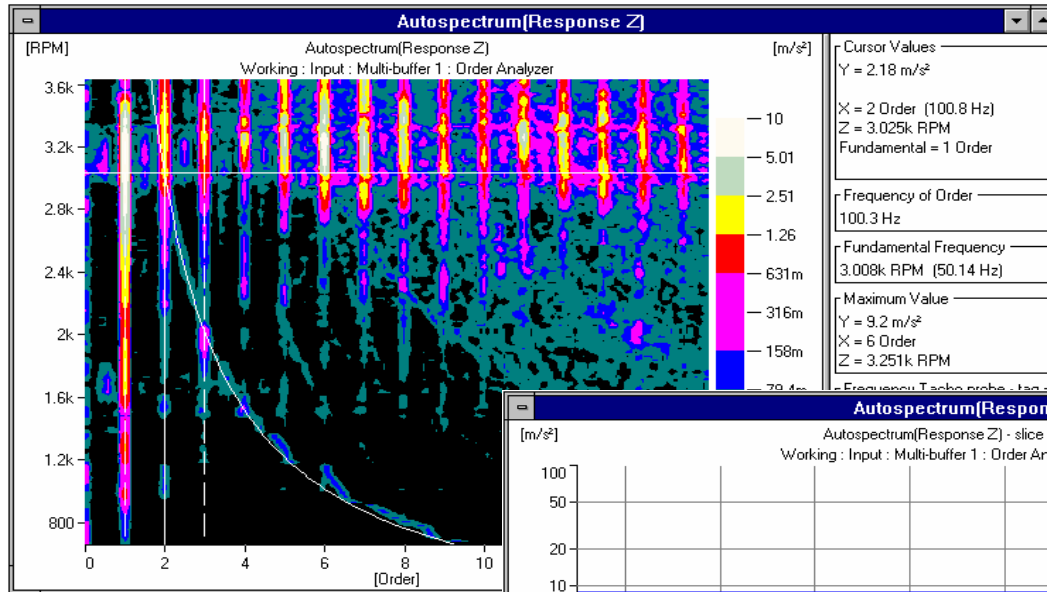
4.2 Order Analysis

- Run-up/down Tests with Tracking



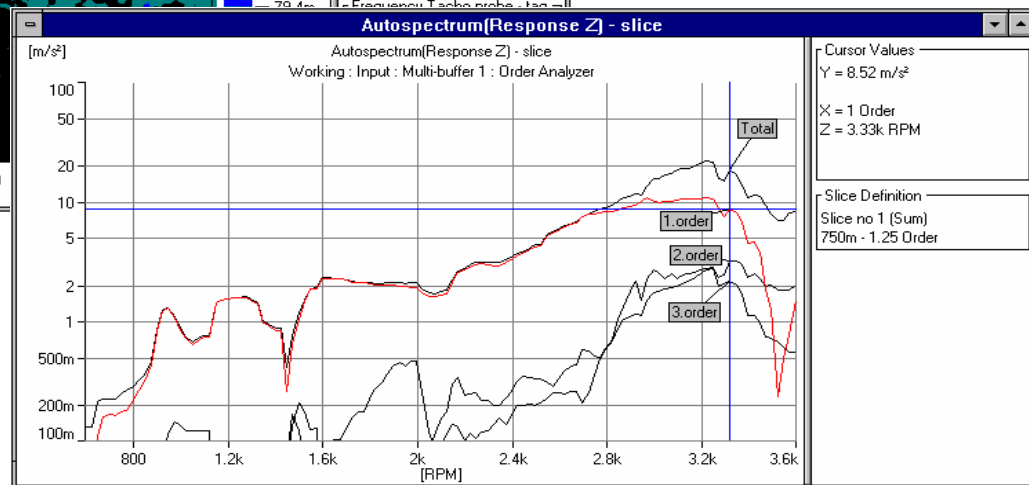
4.2 Order Analysis

- Run-up/down Tests with Tracking



Contour plot of order spectra versus RPM

Harmonic orders extracted by harmonic cursor



4.2 Order Analysis

- Conclusions Run-up/down

Run-up/down tests *without* tracking:

Advantages:

- Fast
- Easy to identify resonances (fixed frequencies)

Disadvantages:

- Smearing of components
- High no. of FFT lines if wide RPM and frequency range is required

Run-up/down test *with* tracking:

Advantages:

- No smearing
- Identification of higher harmonic orders

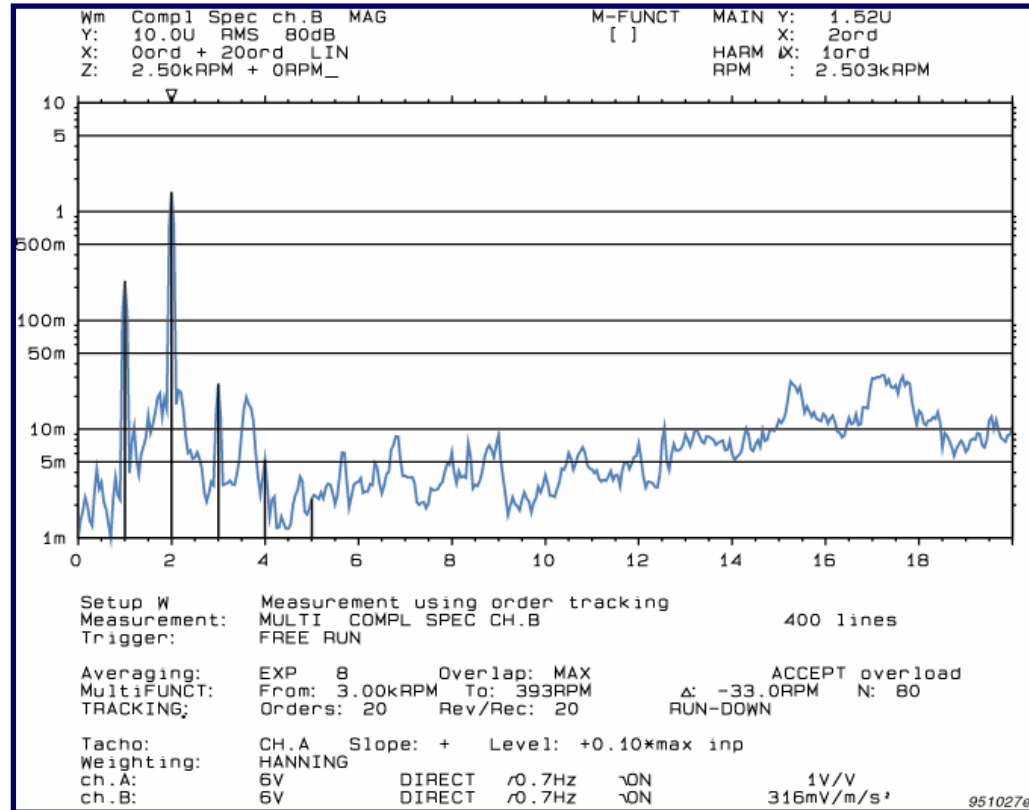
Disadvantages:

- More processing power required

4.2 Order Analysis

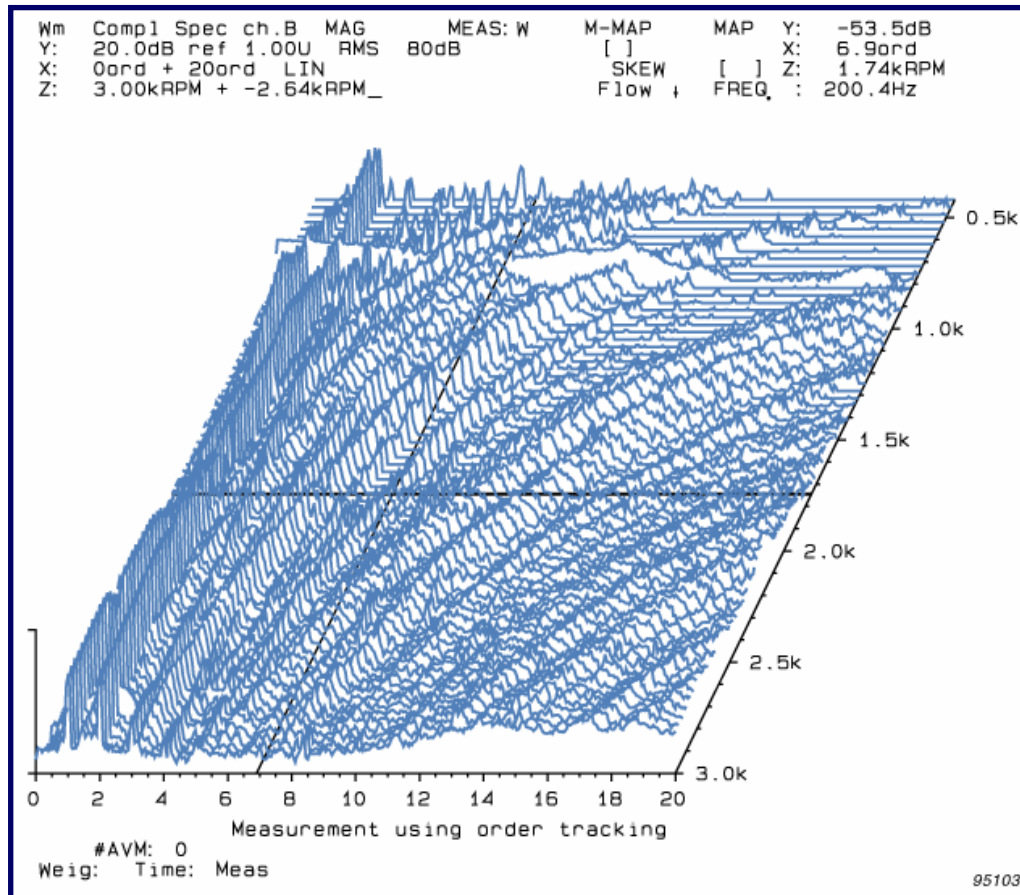
- Run-down of Generator

Order spectrum at
2503 RPM



4.2 Order Analysis

- Order Analysis of Lower Harmonics



c: Rotational speed [RPM]

n: Order no.

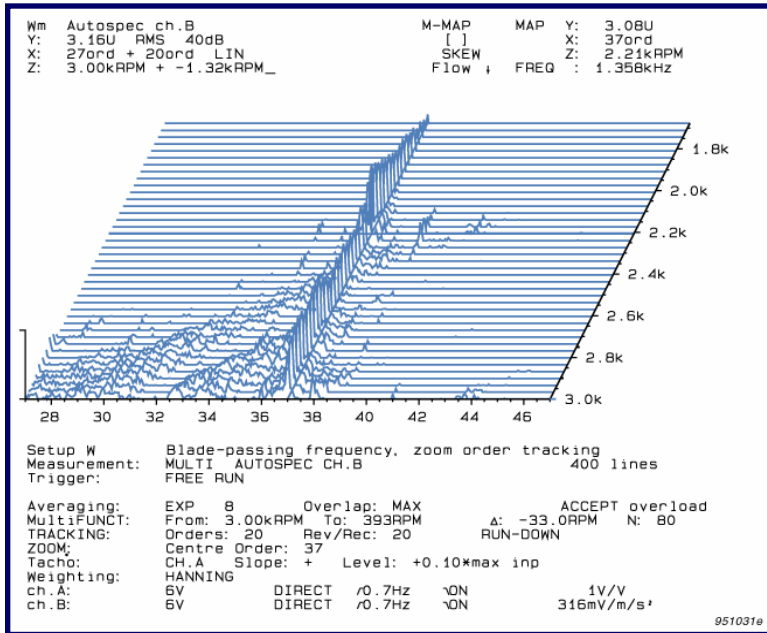
f: Frequency [Hz]

- Three significant orders
- Constant frequency lines

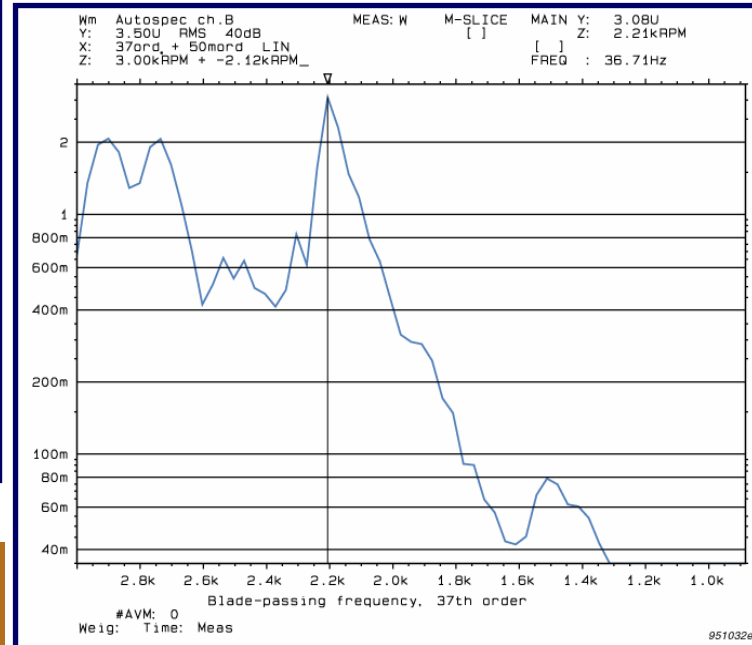
$$c \times n = f \times 60$$

4.2 Order Analysis

- Order Tracking diagnostics on higher harmonics



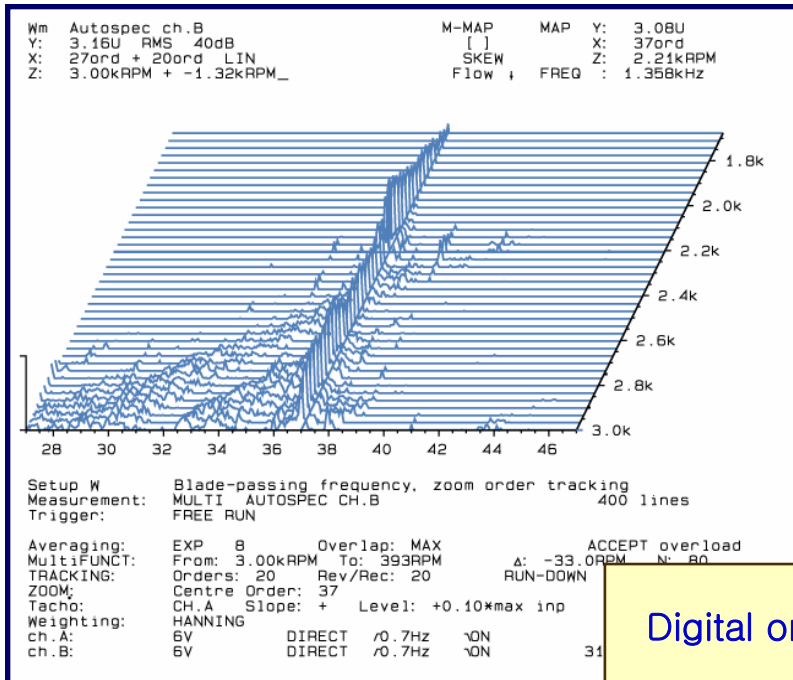
- Blade passing frequency of fan



- Identification of 37th harmonic

4.2 Order Analysis

- Conclusion

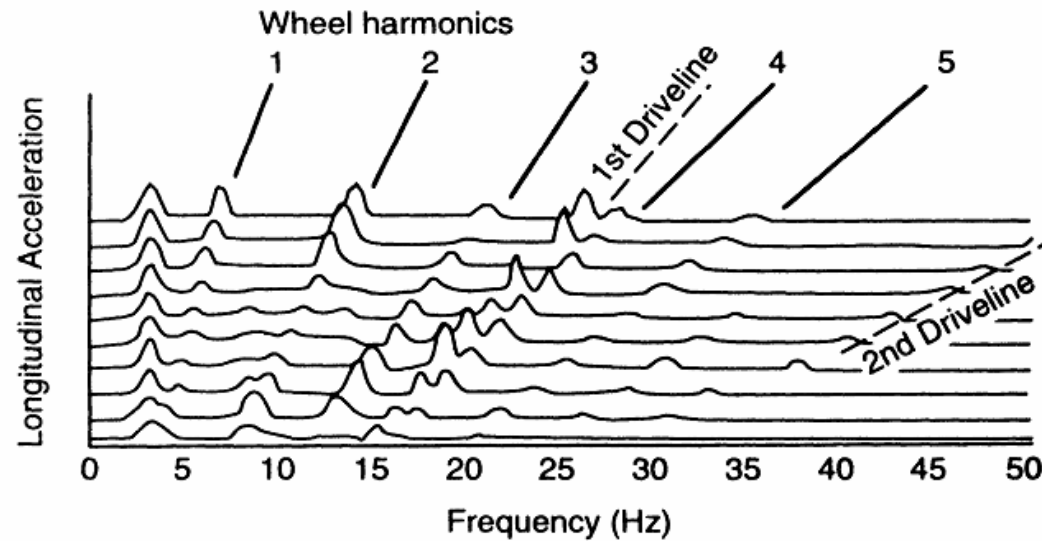
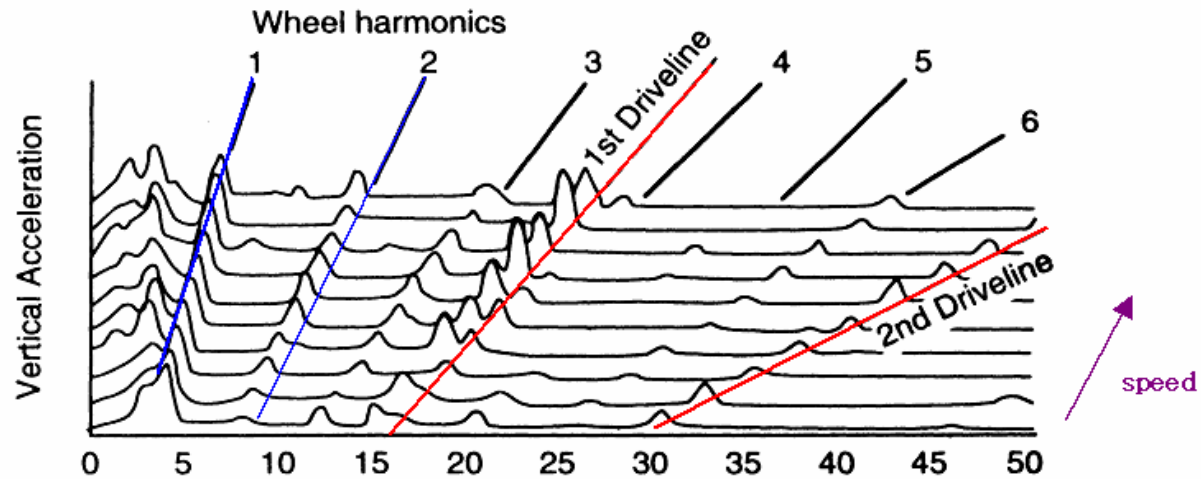


Digital order tracking identified

- Vibration caused by 37 blade fan
- Vibration NOT caused by 38 teeth gearbox

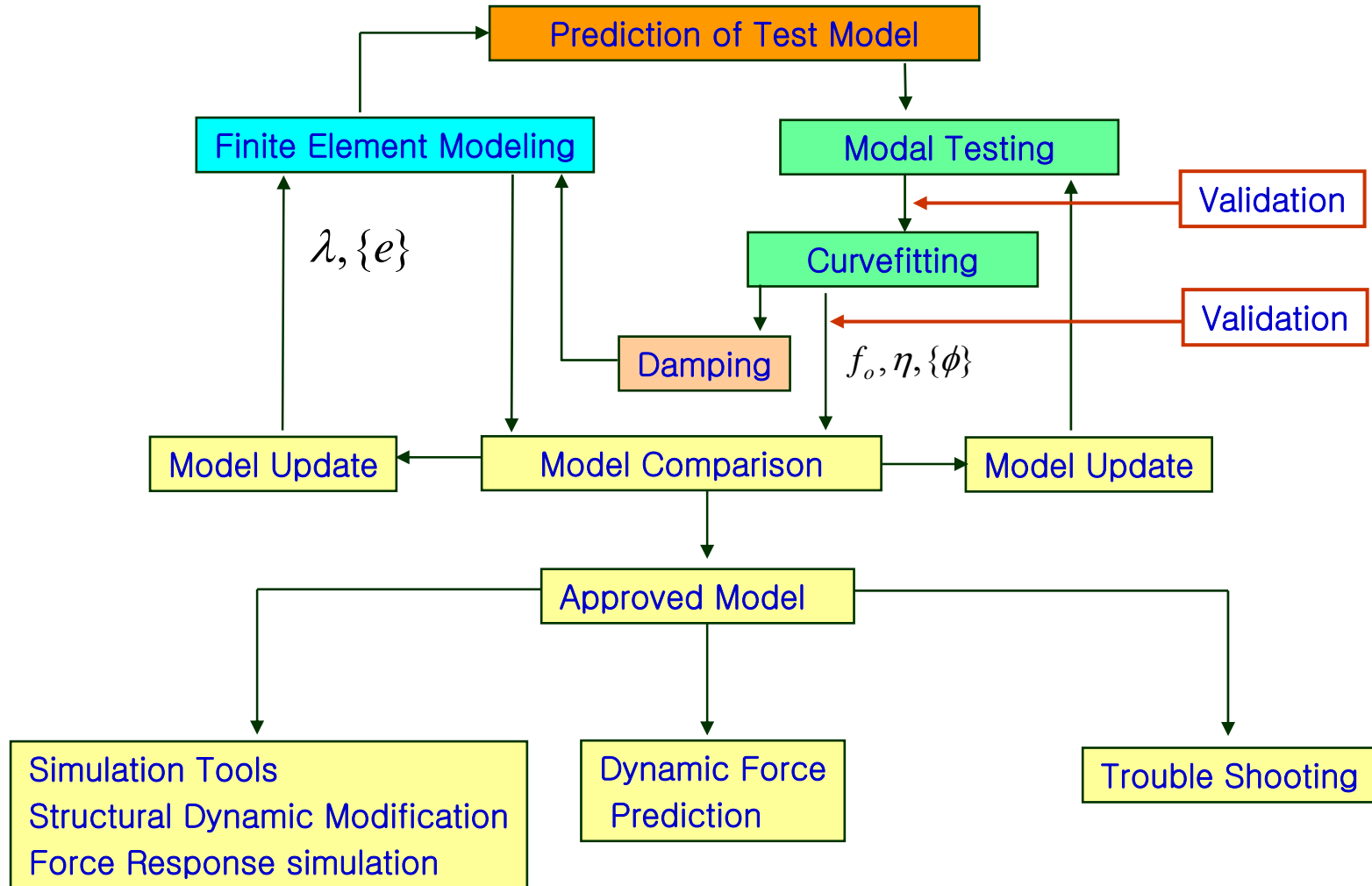
4.2 Order Analysis

- Vehicle vibration



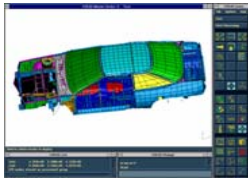
4.3 Modal Testing

- System Identification



4.3 Modal Testing

- Modal Testing



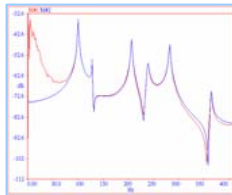
Modeling

- Geometry
- Degree of Freedom definition
- X or XYZ direction



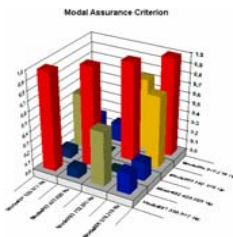
Measurements

- Frequency Response Functions
- Hammer or shaker excitation
- Coherence Function, Autospectra etc. for validation



Curve fitting

- Frequency
- Damping
- Modeshape



Validation

- MAC (Modal Assurance Criteria)
- Synthesis
- Phase Scatter
-

4.3 Modal Testing

- Purpose of lecture (curve-fitting)
 - To describe how the modal parameters are estimated from the measurements
 - To explain how the computer performs “least square fitting”
 - To discuss the use of Residual terms

Curve Fitting:

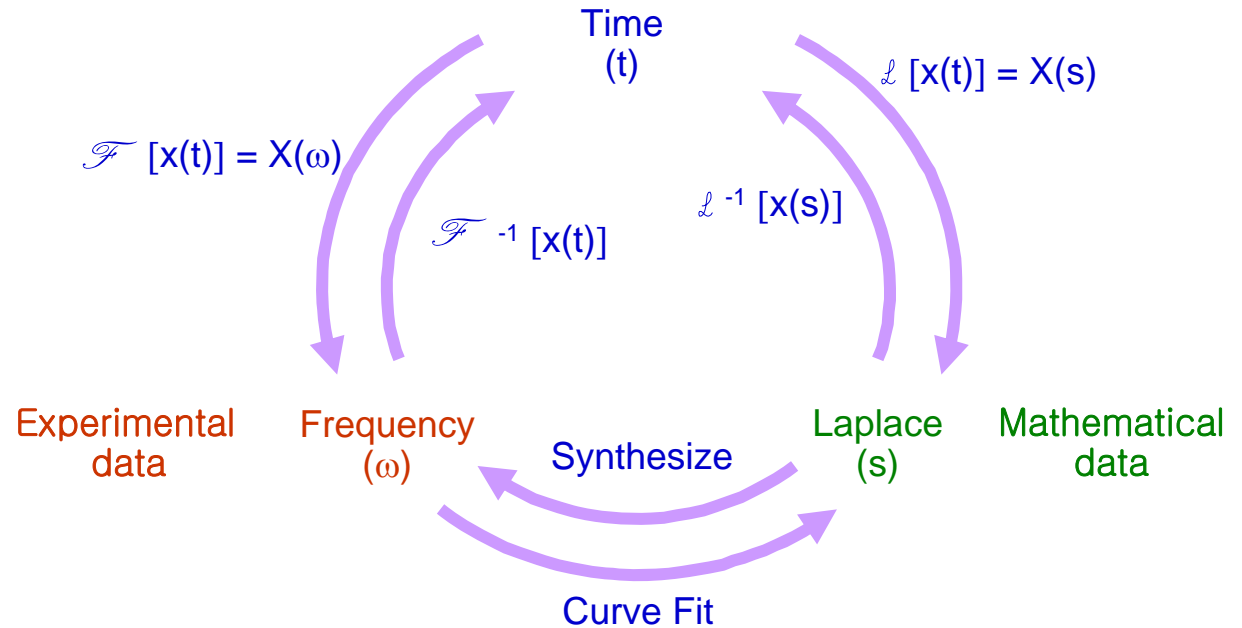
The process of estimating the Modal Parameters from the measurements

Curve Fitting is a Data Reduction Technique

4.3 Modal Testing

- Transformation

“Choice of domain for independent variables to simplify computations and interpretations”



- Transforms convert from one Domain into another without loss or gain of information
- Transforms are generally reversible
- Curve fitting processes are irreversible thus information may be lost
 - The lost information is hopefully noise!

4.3 Modal Testing

- Dynamic System Identification

$$\begin{array}{|c|} \hline \text{FORCE} \\ \hline F(f) \\ \hline \end{array} \times \begin{array}{|c|} \hline \text{SYSTEM} \\ \hline H(f) \\ \hline \end{array} = \begin{array}{|c|} \hline \text{RESPONSE} \\ \hline X(f) \\ \hline \end{array}$$

Case	F(f)	H(f)	Y(f)	Field
1	○	○	×	Analysis
2	○	×	○	System Identification Calibration
3	×	○	○	Synthesis

4.3 Modal Testing

- Mathematical Models: FRF

- Undamped system

$$\alpha_{ij}(\omega) = \sum_{r=0}^N \frac{{}_r R_{ij}}{\omega_r^2 - \omega^2} \quad {}_r R_{ij} = \frac{\phi_{ir} \phi_{jr}}{M_r} \quad : \text{ real value}$$

- Proportional damping system

$$\alpha_{ij}(\omega) = \sum_{r=0}^N \frac{{}_r R_{ij}}{\omega_r^2 - \omega^2 + j2\zeta_r \omega_r \omega} \Rightarrow \sum_{r=0}^N \left[\frac{{}_r r_{ij}}{j\omega - p_r} + \frac{{}_r r_{ij}^*}{j\omega - p_r^*} \right]$$

- Viscous damping system

: General form of curve-fitting

$$\alpha_{ij}(\omega) = \sum_{r=0}^N \left[\frac{{}_r r_{ij}}{j\omega - p_r} + \frac{{}_r r_{ij}^*}{j\omega - p_r^*} \right] \quad {}_r r_{ij} = \frac{-j {}_r R_{ij}}{2\omega_{dr}} = \frac{-j \phi_{ir} \phi_{jr}}{2\omega_{dr} M_r}$$

: imaginary value

$$\begin{aligned} p_r &= -\zeta_r \omega_{nr} + j\sqrt{1 - \zeta_r^2} \omega_{nr} \\ &= -\zeta_r \omega_{nr} + j\omega_{dr} \end{aligned}$$

$${}_r r_{ij} = \psi_{ir} \psi_{jr} \quad : \text{ complex value}$$

4.3 Modal Testing

- Real Mode System : Proportional damping system

$$\alpha_{ij}(\omega) = \sum_{r=1}^n \frac{\phi_{ir}\phi_{jr}}{K_r - \omega^2 M_r + j\omega C} = \sum_{r=0}^N \frac{{}_r R_{ij}}{\omega_r^2 - \omega^2 + j2\zeta_r \omega_r \omega}$$

where ${}_r R_{ij} = \frac{\phi_{ir}\phi_{jr}}{M_r}$

$$= \sum_{r=0}^N \left[\frac{{}_r r_{ij}}{j\omega - p_r} + \frac{{}_r r_{ij}^*}{j\omega - p_r^*} \right]$$

where ${}_r r_{ij} = \frac{-j{}_r R_{ij}}{2\omega_{dr}} = \frac{-j\phi_{ir}\phi_{jr}}{2M_r\omega_{dr}}$: *imaginary value*

4.3 Modal Testing

- Least Squares Method

Mathematical Model:

$$Y_k - b \cdot X_k = N_k$$

where N_k is a random error

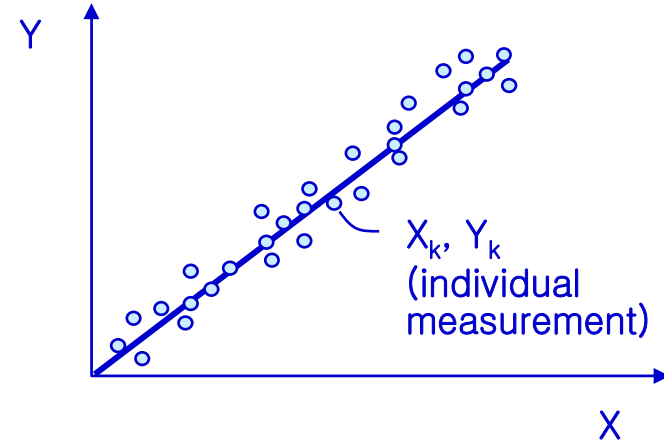
Random Error: $N_k = Y_k - bX_k$

$$\sum N_k^2 = \sum (Y_k - bX_k)^2$$

$$\frac{\partial \sum N_k^2}{\partial b} = -2 \sum (Y_k - bX_k) X_k$$

$\frac{\partial \sum N_k^2}{\partial b} = 0$ gives estimate \hat{b} of b which minimizes $\sum N_k^2$

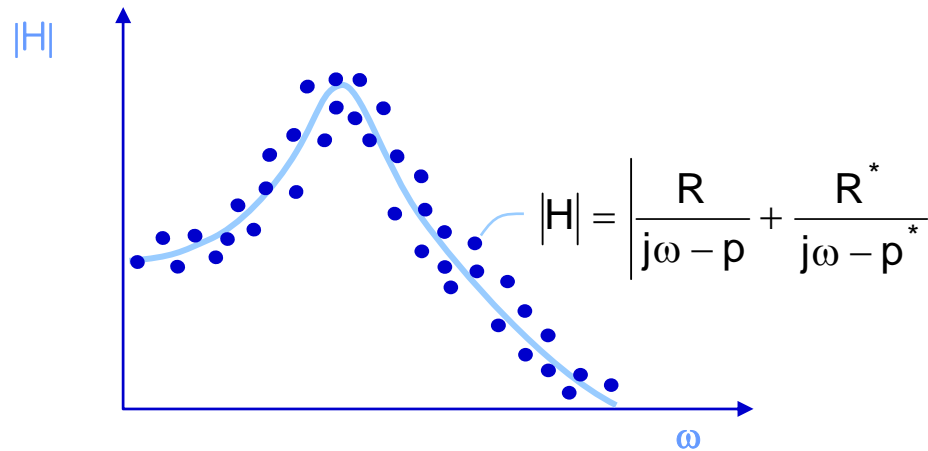
$$\hat{b} = \frac{\sum Y_k X_k}{\sum X_k^2}$$



LSM – technique removes random noise

4.3 Modal Testing

- Least Squares Method



Mathematical Model:

$$H = \frac{R}{j\omega - p} + \frac{R^*}{j\omega - p^*}$$

Method of Least Squares gives \hat{R} , \hat{p}

4.3 Modal Testing

- Curve Fitting Methods

Based upon measuring 1 row or 1 column of the frequency response matrix

Single Mode Methods

- Fast
- Easy to use
- Errors can occur with heavy Modal Coupling

Multiple Mode Methods

- Handle Modal Coupling
- Require more operator skill

- Curve Fitting Techniques

Single Degree of Freedom (SDOF)

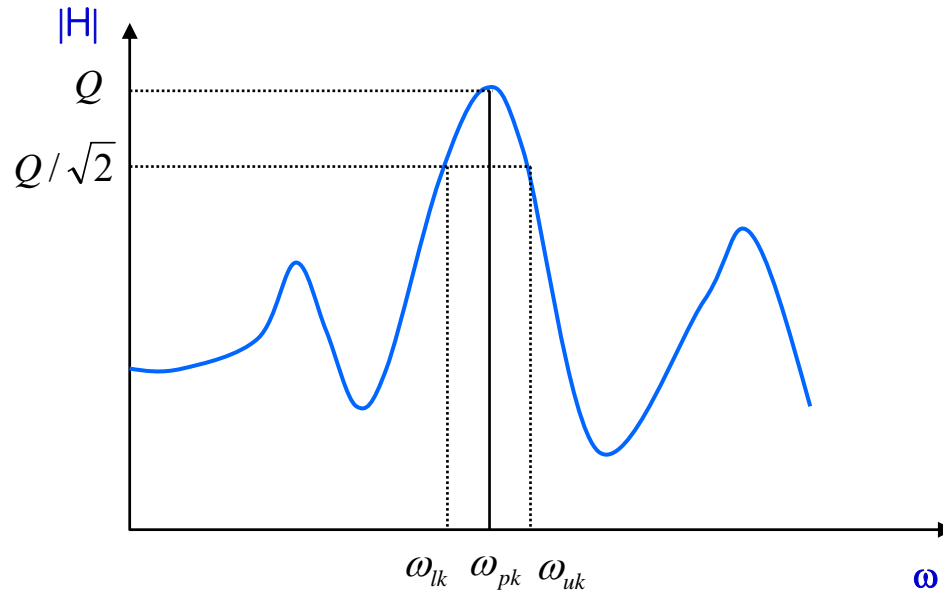
- SDOF Polynomial
- Circle Fit

Multi Degree of Freedom (MDOF)

- Complex Exponential
- Direct Parameter
- Poly-reference

4.3 Modal Testing

- Quadratic Picking Method
 - Estimation of natural frequency and damping ratio



- k-th natural frequency : $\omega_{nk} \approx \omega_{pk}$
- k-th damping ratio : $\zeta_k \approx \frac{\omega_{uk} - \omega_{lk}}{2\omega_{pk}}$

4.3 Modal Testing

- Estimation of mode vector

$$\hat{\alpha}_j(\omega) = \begin{Bmatrix} \alpha_{1j}(\omega) \\ \alpha_{2j}(\omega) \\ \vdots \\ \alpha_{nj}(\omega) \end{Bmatrix} : j\text{-th column of } \hat{H}(\omega)$$

$$\text{Im}[\hat{\alpha}_j(\omega)]_{\omega=\omega_{pk}} \propto \begin{Bmatrix} \phi_{1k} \\ \phi_{2k} \\ \vdots \\ \phi_{nk} \end{Bmatrix} : k\text{-th mode shape vector}$$

This method is more precise when the mode is lightly damped and real.

4.3 Modal Testing

- Proof)

m-th DOF

$$\alpha_{mj}(\omega) = \sum_{r=0}^N \left[\frac{{}_r r_{mj}}{j\omega - p_r} + \frac{{}_r r_{mj}^*}{j\omega - p_r^*} \right] \quad p_r = -\zeta_r \omega_{nr} + j\sqrt{1 - \zeta_r^2} \omega_{nr}$$

$$\frac{{}_r r_{mj}}{j\omega - p_r} + \frac{{}_r r_{mj}^*}{j\omega - p_r^*} = \frac{{}_r r_{mj}(j\omega - p_r^*) + {}_r r_{mj}^*(j\omega - p_r)}{-\omega^2 - j\omega(p_r + p_r^*) + p_r p_r^*} \quad (\text{let } {}_r r_{mj} = a + jb)$$

$$= \frac{j\omega({}_r r_{mj} + {}_r r_{mj}^*) - ({}_r r_{mj} p_r^* + {}_r r_{mj}^* p_r)}{-\omega^2 + j2\zeta_r \omega_r \omega + \omega_r^2} = \frac{2j\omega a - 2(-\zeta_r \omega_r a + \sqrt{1 - \zeta_r^2} \omega_r b)}{\omega_r^2 - \omega^2 + j2\zeta_r \omega_r \omega}$$

If ${}_r r_{mj} = \psi_{mr} \psi_{jr}$, $\omega = \omega_r$, $\zeta \cong 0 \Rightarrow a \approx 0$

$$\alpha_{mj}(\omega) = \frac{2j\omega_r a - 2\omega_r b}{j2\zeta_r \omega_r \omega} = \frac{a + jb}{\zeta_r \omega_r} = \frac{\psi_{mr} \psi_{jr}}{\zeta_r \omega_r}$$

$$\text{Im}[\hat{\alpha}_j(\omega)]_{\omega=\omega_{pk}} = \left\{ \begin{array}{c} \frac{\psi_{1r} \psi_{jr}}{\zeta_r \omega_r} \\ \frac{\psi_{2r} \psi_{jr}}{\zeta_r \omega_r} \\ \vdots \\ \frac{\psi_{nr} \psi_{jr}}{\zeta_r \omega_r} \end{array} \right\}$$

4.3 Modal Testing

- SDOF Polynomial Curve Fit

$$H(\omega) = \left(\frac{R}{j\omega - p} + \frac{R^*}{j\omega - p^*} \right) + A_0 + A_1\omega + A_2\omega^2$$

$R = R_1 + jR_2$ is the residue

$$p = \sigma + j\omega_d$$

σ is the Decay Rate

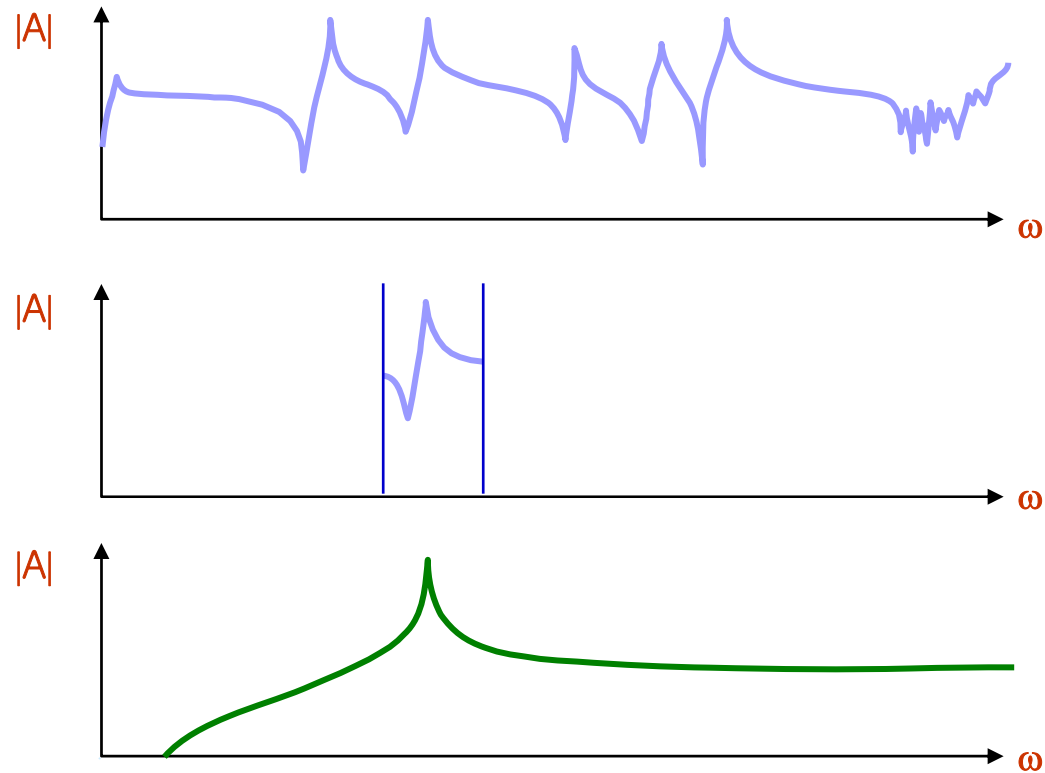
ω_d is the Damped Natural Frequency

A_0, A_1, A_2 are Residual function constants
(Effect of out-of-band modes)

4.3 Modal Testing

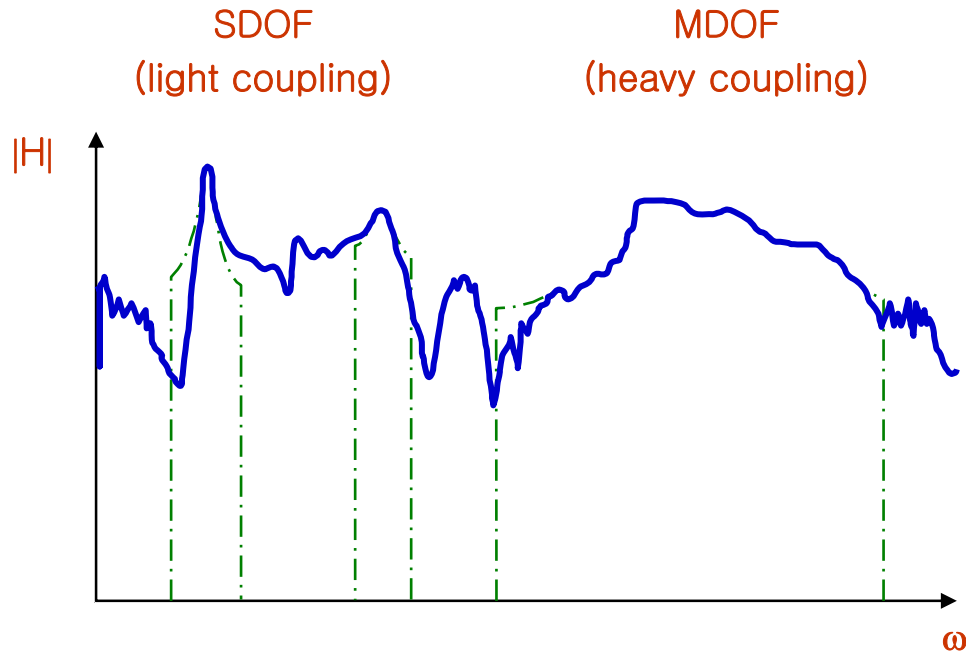
- SDOF Polynomial Curve Fit

Effect of out of band modes



4.3 Modal Testing

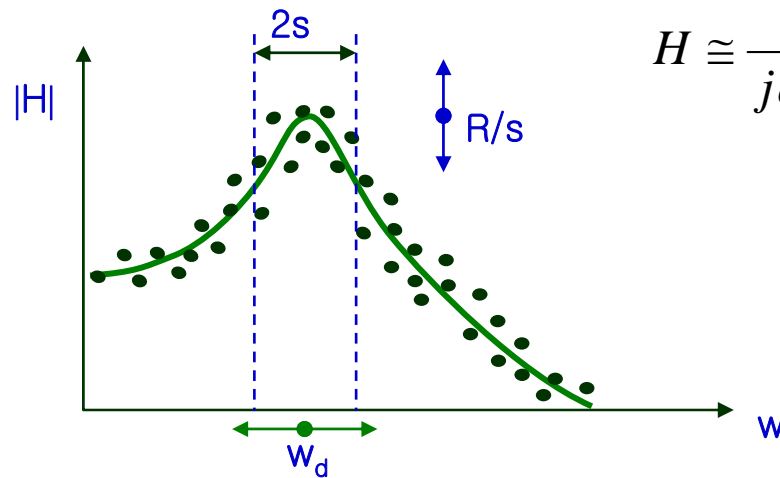
- Combining SDOF and MDOF Methods
 - use SDOF methods on LIGHTLY COUPLED modes
 - use MDOF methods on HEAVILY COUPLED modes



4.3 Modal Testing

- Summary

- Curve Fitting is the process of estimating the Modal Parameters from the measurements



$$H \cong \frac{R}{j\omega - (-\sigma + j\omega_d)} + \frac{R^*}{j\omega - (-\sigma - j\omega_d)}$$

where

$$\sigma = \omega_o \cdot \zeta$$

$$\omega_d = \omega_o \sqrt{1 - \zeta^2}$$

Curve Fitting is a *data reduction* technique

- Thus information may be lost
- The lost information is hopefully noise!

4.3 Modal Testing

- Modal Testing Validation Tools

- Testing

- Coherence

- Autospectrum at Driving Point

$$H(f, F_1) = H(f, F_2)$$

- Reciprocity

$$H_{ij}(f) = H_{ji}(f)$$

- Driving Point

- Linearity

- Curve-fitting

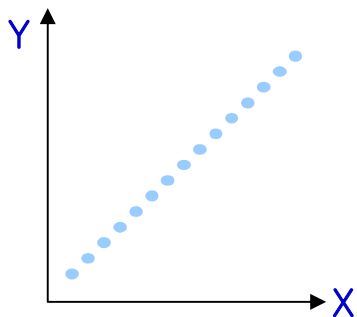
- Synthesis

- Modal Assurance Criteria

- Phase Scatter

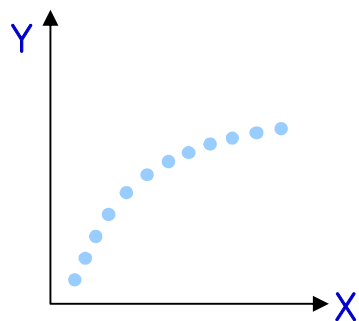
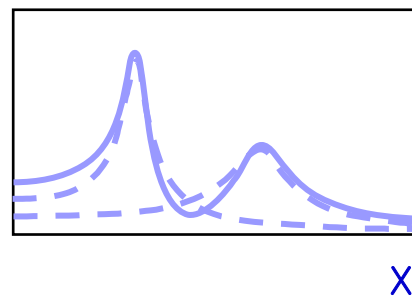
4.3 Modal Testing

- Linearity

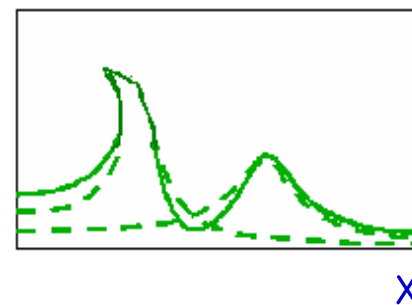


Linear

$$Y(\omega) = H(\omega)X(\omega)$$



Nonlinear



4.3 Modal Testing

- The Coherence Function

- Input-Output Relationship

$$[\text{Cross Power}]^2 \leq [\text{Input Power}] \cdot [\text{Output Power}]$$

$$|G_{AB}(f)|^2 \leq |G_{AA}(f)| \cdot |G_{BB}(f)|$$

- Definition

$$\gamma^2(f) \equiv \frac{|G_{AB}(f)|^2}{|G_{AA}(f)| \cdot |G_{BB}(f)|}$$

$$A(t) = a(t) + m(t)$$

$$B(t) = b(t) + n(t)$$

$$\gamma^2(f) \equiv \frac{|G_{ab}(f)|^2}{|G_{aa}(f) + G_{mm}(f)| \cdot |G_{bb}(f) + G_{nn}(f)|}$$

- It expresses degree of *linear* relationship between A(f) and B(f)

$$0 \leq \gamma^2(f) \leq 1$$

4.3 Modal Testing

- Proof)

$$G_{xy}(f) = |G_{xy}(f)|e^{-j\theta_{xy}(f)} \quad G_{yx}(f) = G_{xy}^*(f) = |G_{xy}(f)|e^{j\theta_{xy}(f)} \quad \theta_{xy} = \theta_x - \theta_y$$

Consider now the quantities $X(f)$ and $Y(f)e^{j\theta_{xy}}$

For any real constants a, b : $\rightarrow a/b$: real value

$$(aX + bYe^{j\theta_{xy}})(aX^* + bY^*e^{-j\theta_{xy}}) \geq 0$$

$$a^2 X^* X + ab(X^* Ye^{j\theta_{xy}} + XY^* e^{-j\theta_{xy}}) + b^2 Y^* Y \geq 0$$

$$a^2 G_{xx} + ab(G_{xy} e^{j\theta_{xy}} + G_{yx} e^{-j\theta_{xy}}) + b^2 G_{yy} \geq 0$$

$$a^2 G_{xx} + 2ab|G_{xy}| + b^2 G_{yy} \geq 0$$

$$G_{xx} \left(\frac{a}{b} \right)^2 + 2|G_{xy}| \left(\frac{a}{b} \right) + G_{yy} \geq 0 \quad \Rightarrow \quad |G_{xy}|^2 - G_{xx} G_{yy} \leq 0$$

4.3 Modal Testing

- Coherence vs Correlation Coefficient

- Coherence

$$\gamma^2(f) \equiv \frac{|G_{AB}(f)|^2}{G_{AA}(f) \cdot G_{BB}(f)} \quad 0 \leq \gamma^2(f) \leq 1$$

- Correlation Coefficient

$$\rho_{xy}^2 \equiv \frac{\sigma_{xy}^2}{\sigma_x^2 \cdot \sigma_y^2} \quad 0 \leq \rho_{xy}^2 \leq 1$$

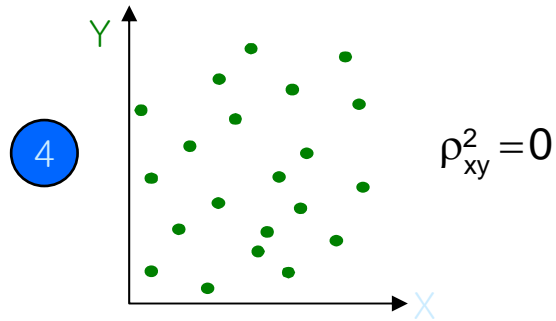
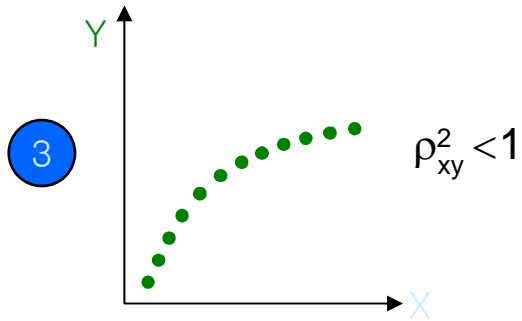
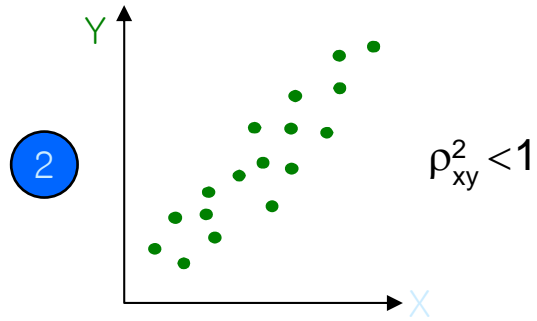
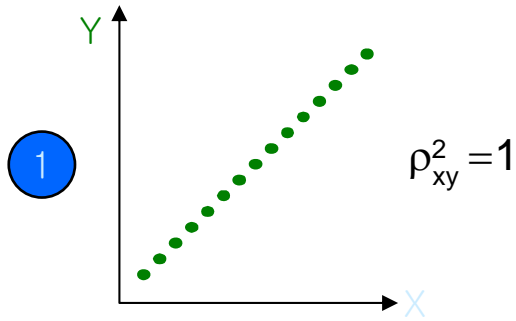
Variance ~ Autospectrum

Covariance ~ Cross Spectrum

4.3 Modal Testing

- The Correlation Coefficient

$$\rho_{xy}^2 = \frac{\sigma_{xy}^2}{\sigma_x^2 \cdot \sigma_y^2}$$



4.3 Modal Testing

- The Coherence Function

$$\gamma^2(f) \equiv \frac{|G_{AB}(f)|^2}{G_{AA}(f) \cdot G_{BB}(f)}$$

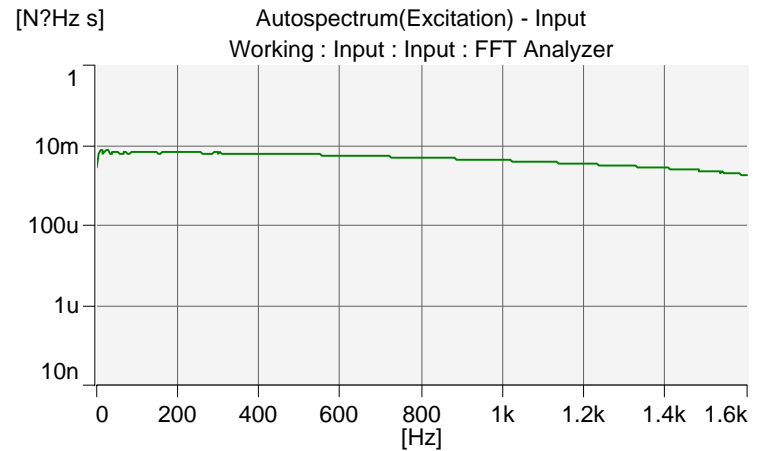
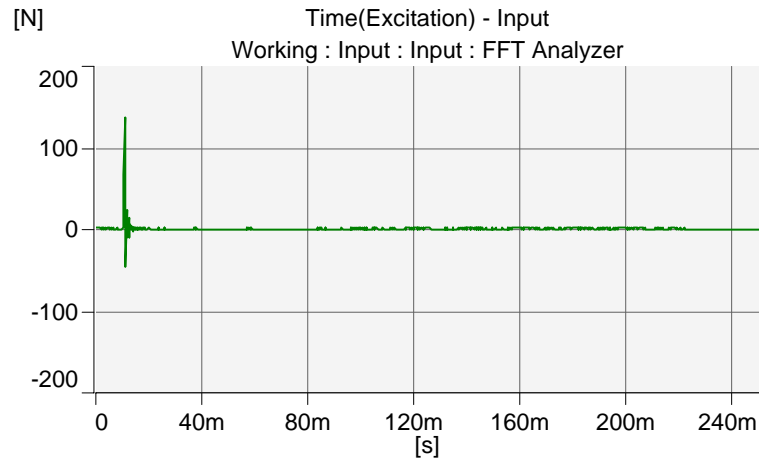
Expresses the degree of linearity

The coherence only provides useful information when $G_{AB}(f)$, $G_{AA}(f)$ and $G_{BB}(f)$ are averaged over many records

- Difficult measurements:
 - Noise in measured output signal
 - Noise in measured input signal
 - Other inputs not correlated with measured input signal
- Bad measurements:
 - Leakage
 - Time varying systems
 - Non-linearities of system
 - DOF-jitter
 - Propagation time not compensated for

4.3 Modal Testing

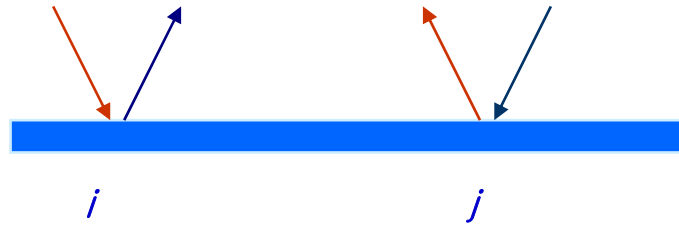
- Autospectrum at Driving Point
 - Ensure the desired frequency range is excited



4.3 Modal Testing

- Reciprocity

$$H_{ij} = H_{ji}$$



Validation of

- Linearity
- Homogenous
- Time invariance

4.3 Modal Testing

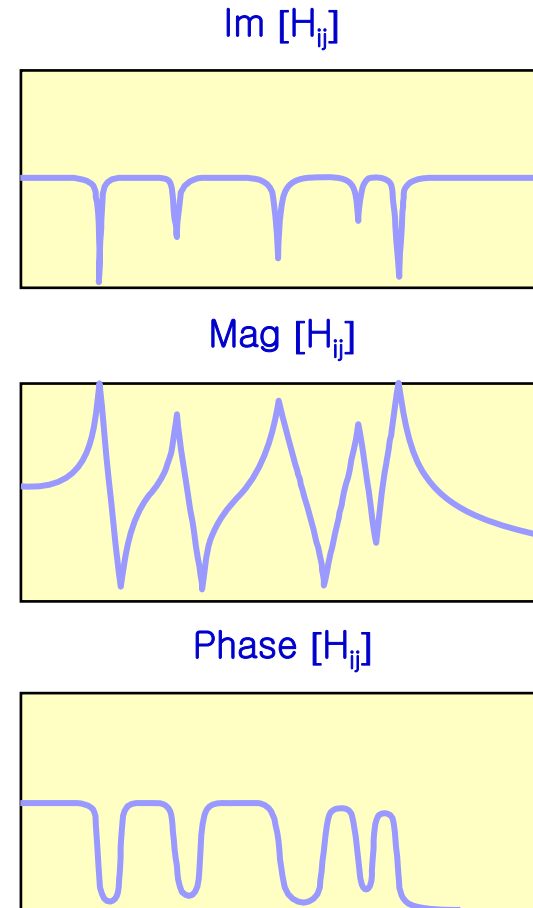
- Check of Driving Point Measurement

- All peaks in

$$\text{Im} \left[\frac{X(f)}{F(f)} \right], \text{Re} \left[\frac{\dot{X}(f)}{F(f)} \right] \text{ and } \text{Im} \left[\frac{\ddot{X}(f)}{F(f)} \right]$$

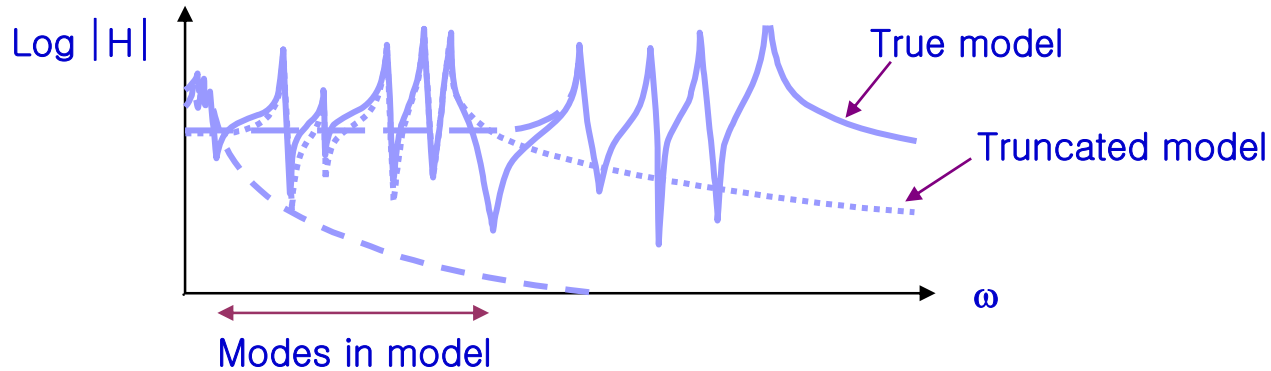
- An anti-resonance in $\text{Mag} [H_{ij}]$ must be found between every pair of resonances

- Phase fluctuations must be within 180°



4.3 Modal Testing

- Synthesis
 - Truncated Models (Modes)



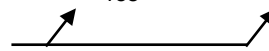
Truncated Model:

$$H_{ij} = \sum_r \frac{R_{ijr}}{j\omega - p_r} + \frac{R_{ijr}^*}{j\omega - p_r^*}$$

“Improved” Model:

$$H'_{ij} = \frac{1}{m_{\text{res}}\omega^2} + H_{ij} + \frac{1}{k_{\text{res}}}$$

Residual terms

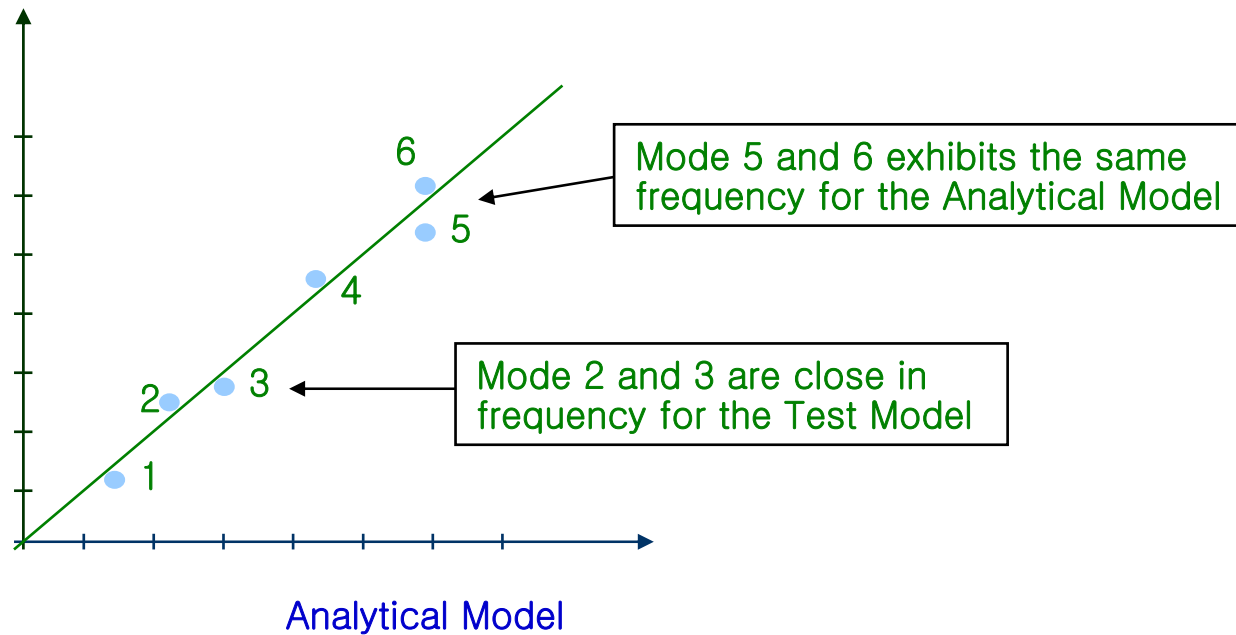


- The residual terms compensate for the out of band modes, but cannot be used in the synthesis of non-measured FRF's
- The Modal Model cannot be improved by adding residual terms
- Residual terms are used in curve fitting

4.3 Modal Testing

- Modal Comparison
 - Comparison of natural frequencies
 - Sorting the Modes by frequency
 - Tabular versus graphic results

Test Model



4.3 Modal Testing

- Modal Assurance Criteria (MAC)

The MAC function is a scalar constant relating two modeshapes

- From different models (as FE Model vs. Test model)
- From same model (Validation tool often called Auto MAC)

MAC function correlation of the two modeshapes

$\{\phi_x\}$ and $\{\phi_a\}$

$$MAC(a, x) = \frac{|\{\phi_x\}^T \{\phi_a\}|^2}{(\{\phi_x\}^T \{\phi_x\})(\{\phi_a\}^T \{\phi_a\})}$$

Orthogonality

$$\{\phi_x\}^T \{\phi_a\} = 0$$

MAC=0

Proportionality $\{\phi_x\} = k \{\phi_a\}$

$$MAC(a, x) = \frac{|k\{\phi_a\}^T \{\phi_a\}|^2}{(k\{\phi_a\}^T k\{\phi_a\})(\{\phi_a\}^T \{\phi_a\})} = 1$$

General case

$0 < MAC < 1$

4.3 Modal Testing

- Modal Assurance Criteria (MAC)

MAC and the Coherence function – Similarities

Coherence function

$$\gamma^2(f) \equiv \frac{|G_{AB}(f)|^2}{G_{AA}(f) \cdot G_{BB}(f)}$$

Coherence function
Expresses the degree of linearity
between signal A and B

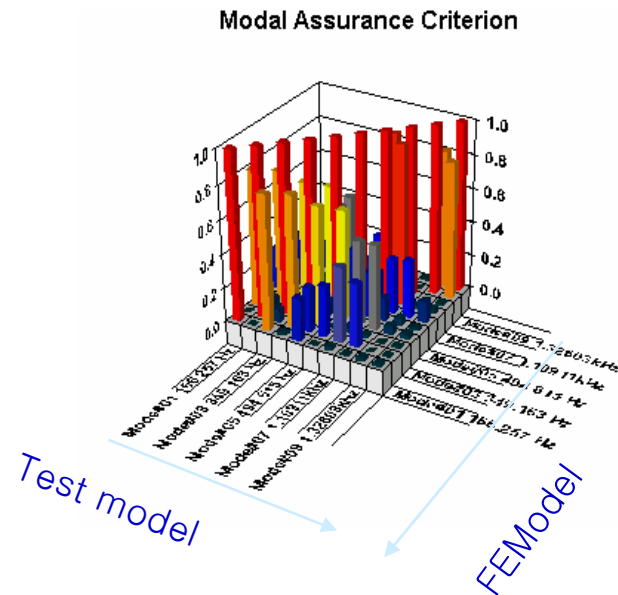
MAC function

$$MAC(a, x) = \frac{|\{\phi_x\}^T \{\phi_a\}|^2}{\{\phi_x\}^T \{\phi_x\} \{\phi_a\}^T \{\phi_a\}}$$

MAC function
Expresses the degree of linearity
between modeshape a and x

MAC matrix

- Tabular or graphic plot of MAC values
- Element $MAC_{a,x}$ denotes $MAC(a,x)$



4.3 Modal Testing

- Modal Assurance Criteria (MAC)

Interpretation of the MAC function

MAC $\ll 1$

- Non stationary
- Non-linearity
- Noise on the vectors (often from measurements)
- Improper curve-fitting

MAC close to 1 vectors are well correlated but may be

- Incompletely measured
- Result of harmonic excitation (rotating machinery etc.)
- Result of coherent noise (bias from the estimation)

4.3 Modal Testing

- Modal Assurance Criteria Auto MAC

MAC matrix properties

- Diagonal terms equals 1,
- Off diagonal terms:
 - Modeshapes are orthogonal with respect to mass and stiffness matrix
 - Off diagonal terms > 0 thus indicates a imperfect model

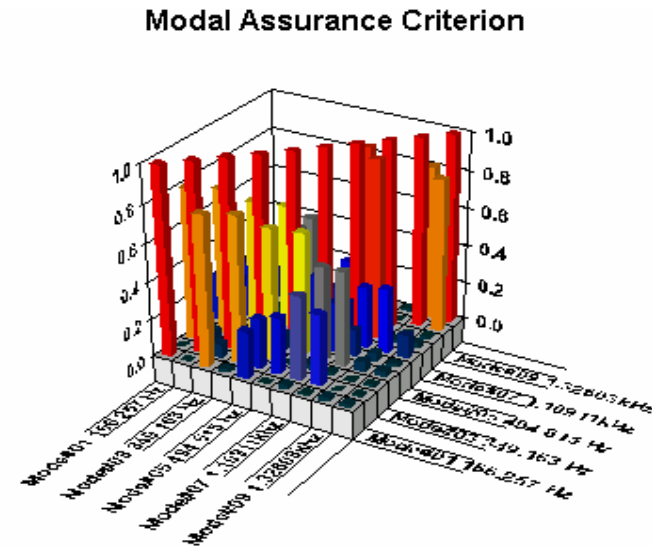
$$MAC(\{\phi_r\}, \{\phi_r\}) = 1$$

Orthogonality Property

$$\{\phi_r\}^T [M] \{\phi_s\} = 1 \text{ if } r = s$$

$$\{\phi_r\}^T [M] \{\phi_s\} = 0 \text{ if } r \neq s$$

$$[\phi]^T [M][\phi] = [\delta]$$



4.4 Structural Dynamic Modification

- Purpose of lecture
 - To describe basic physical modifications
 - Modification modelling

- Basic Modification Elements
 - Masses
 - Stiffeners
 - Rigid Links
 - Dampers
 - Tuned Absorbers
 - Ribs, Bars, Rods, Triangles, Quads, Tetras, Prisms, Bricks, etc.

4.4 Structural Dynamic Modification

- Hardware Modifications

Assumption: Only point mass, which means no rotational inertia loading

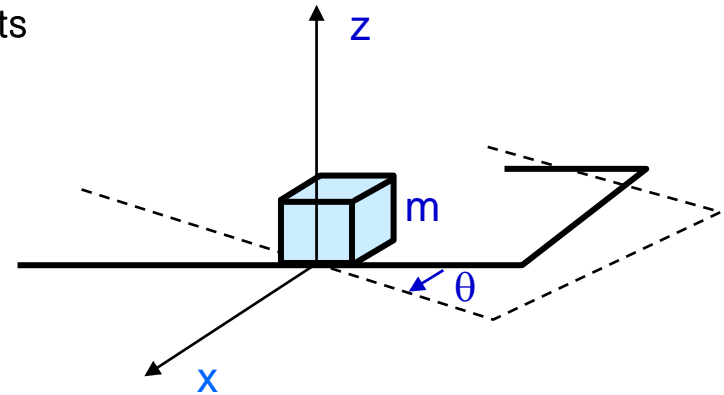
Rotational loading specially relevant near Node Points

Translatory $m \frac{d^2z}{dt^2} = f(t)$

Rotational $I \frac{d^2\theta}{dt^2} = f(t) \cdot r$ (Torque)

where $I = \int_m r^2 dm$

is the moment of inertia
of mass around x



Rotational DOFs in Model are required in order to handle rotational inertia modifications

4.4 Structural Dynamic Modification

- Hardware Modifications: Mass
 - *Point mass added or removed from a point or a DOF in Modal Model*
 - Notice that only Modal Model and no geometry of structure is required for the SDM calculations

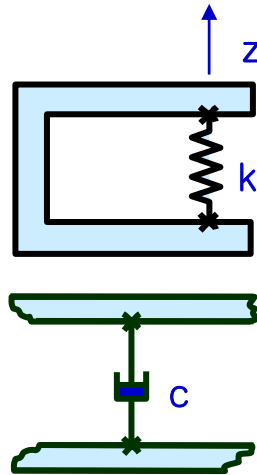
- Hardware Modifications: Stiffness and Damping
 - Between DOFs
 - No geometry is required for these modifications between DOFs
 - Between Points
 - The end coordinates are here used in the SDM program.
The element properties are transformed from local to global coordinate system.

4.4 Structural Dynamic Modification

- Hardware Modifications: Stiffness and Damping

Linear springs and viscous dampers can be added or removed between any two points or two DOFs

- Between DOFs



Add 5,000,000 units of stiffness between
DOF 14 z and DOF 19 z

Add 100 units of viscous damping
between DOF 5 x and 8 x

Useful for modelling effects in only one direction, which could be axial, shear or bending stiffness of a beam

4.4 Structural Dynamic Modification

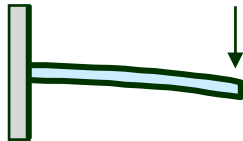
- Hardware Modifications: Modeling of Stiffeners

Spring Constants:

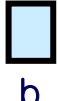


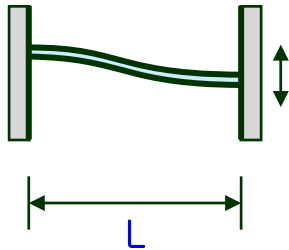
$$k = \frac{EA}{L}$$

$$m = \rho AI$$




$$k = \frac{3EI}{L^3}$$


$$I = \frac{1}{12}bh^3$$



$$k = \frac{12EI}{L^3}$$


$$I = \frac{1}{64}\pi d^4$$

Steel: $E = 2.1 \times 10^{11} \text{ [N/m}^2\text{]}$

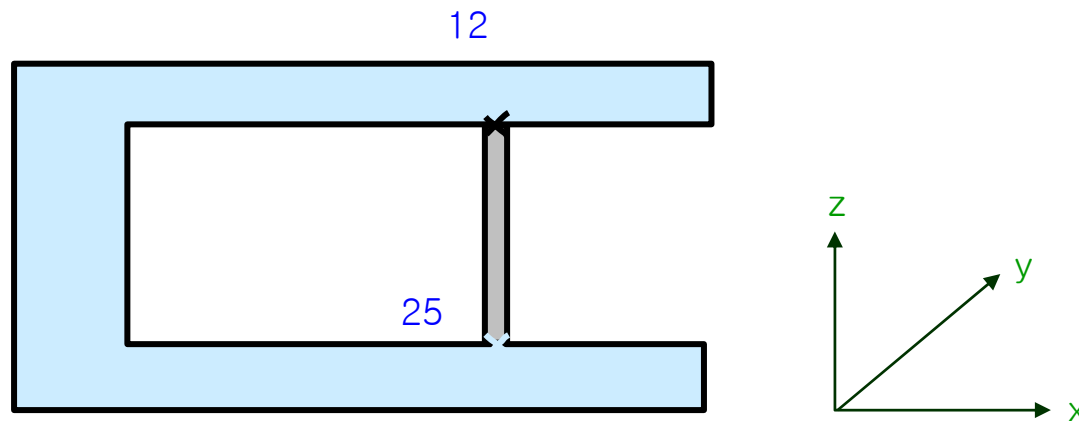
$$1 \text{ [N/m}^2\text{]} = 1 \text{ [Pa]}$$

$$\rho = 7.85 \times 10^3 \text{ [kg/m}^3\text{]}$$

4.4 Structural Dynamic Modification

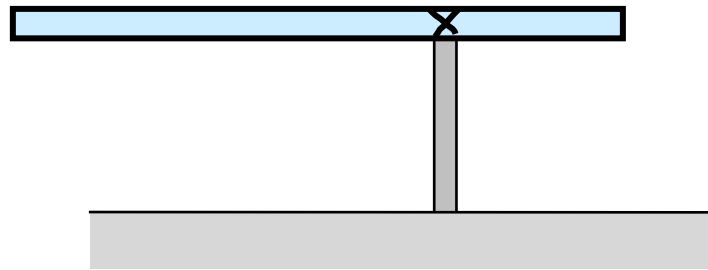
- Hardware Modifications: Rigid links

- Rigid links = Infinite stiffness
- Rigid links can be connected between any two DOF's on the structure
- Rigid links will remove one point and one mode from the model.
Rigid links can therefore *not be removed* since this information is lost



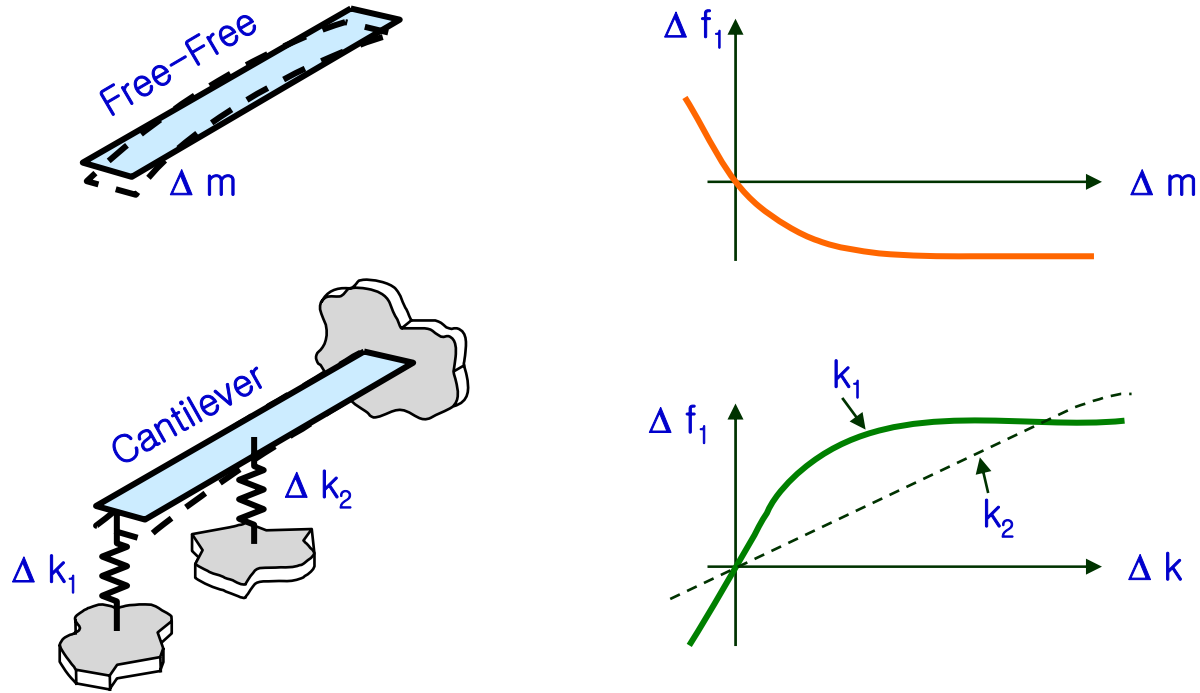
4.4 Structural Dynamic Modification

- Hardware Modifications: Connections to Ground
 - Ground is a point without motion
 - Location entered in global coordinates
 - If Point or DOF is connected to Ground with a Rigid Link, it will be a stationary point (hinged)



4.4 Structural Dynamic Modification

- Sensitivity

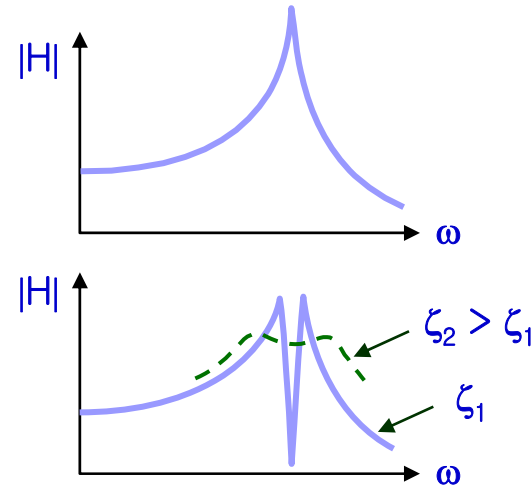
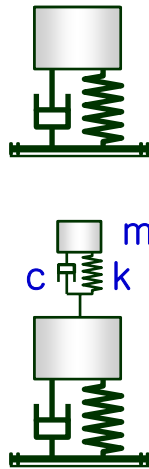


- How much does the natural frequency vary with added mass and stiffners ?

4.4 Structural Dynamic Modification

- Tuned Absorber (TA)

Purpose: To split a mode into two "side modes"



Optimal Tuned Absorber Design:

- minimum response at original resonance
- maximum response of side modes are approximately equal

obtained if:

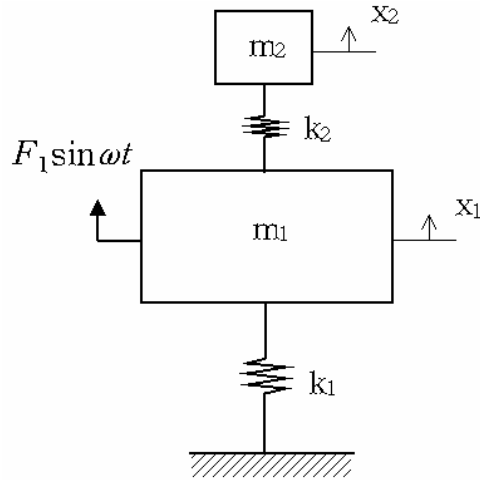
$$m_{TA} \sim 5 - 10\% \text{ of } m_A$$

$$\zeta_{TA} \sim \zeta_{\text{resonance}}$$

$$\omega_{dTA} \sim \omega_{\text{dresonance}}$$

4.4 Structural Dynamic Modification

- Dynamic Absorber



$$\begin{bmatrix} m_1 & 0 \\ 0 & m_2 \end{bmatrix} \begin{Bmatrix} \ddot{x}_1 \\ \ddot{x}_2 \end{Bmatrix} = \begin{bmatrix} k_1 + k_2 & -k_2 \\ -k_2 & k_2 \end{bmatrix} \begin{Bmatrix} x_1 \\ x_2 \end{Bmatrix} = \begin{Bmatrix} F_o \\ 0 \end{Bmatrix} \sin \omega t$$

Let $\begin{Bmatrix} x_1 \\ x_2 \end{Bmatrix} = \begin{Bmatrix} X_1 \\ X_2 \end{Bmatrix} \sin \omega t$

$$\begin{bmatrix} k_1 + k_2 - m_1 \omega^2 & -k_2 \\ -k_2 & k_2 - m_2 \omega^2 \end{bmatrix} \begin{Bmatrix} X_1 \\ X_2 \end{Bmatrix} = \begin{Bmatrix} F_o \\ 0 \end{Bmatrix}$$

$$[z(\omega)] \equiv \begin{bmatrix} k_1 + k_2 - m_1 \omega^2 & -k_2 \\ -k_2 & k_2 - m_2 \omega^2 \end{bmatrix}$$

$$\begin{Bmatrix} X_1 \\ X_2 \end{Bmatrix} = \frac{\text{adj} [z(\omega)]}{\det [z(\omega)]} \begin{Bmatrix} F_o \\ 0 \end{Bmatrix}$$

$$\det [z(\omega)] = (k_1 + k_2 - m_1 \omega^2)(k_2 - m_2 \omega^2) - k_2^2$$

$$\text{adj} [z(\omega)] = \begin{bmatrix} k_2 - m_2 \omega^2 & k_2 \\ k_2 & k_1 + k_2 - m_1 \omega^2 \end{bmatrix}$$

$$\therefore X_1 = \frac{(k_2 - m_2 \omega^2) F_o}{(k_1 + k_2 - m_1 \omega^2)(k_2 - m_2 \omega^2) - k_2^2}$$

- Design method

$$\omega = \sqrt{\frac{k_2}{m_2}} \quad + \text{ an optimal damping}$$

$$X_2 = \frac{F_o}{k_2} \quad : \text{ check point}$$

4.4 Structural Dynamic Modification

- Tuned Absorber Implementation

$$m_{Ta} \sim 5 - 10\% \text{ of } m_A$$

The apparent mass (m_A) in a specific point/direction (i) for a specific resonance (r) is:

$$\alpha_{ii}(\omega) = \sum_{r=1}^n \frac{\phi_{ir}\phi_{ir}}{K_r - \omega^2 M_r + j\omega C} = \sum_{r=0}^N \frac{r R_{ij}}{\omega_r^2 - \omega^2 + j2\zeta_r \omega_r \omega}$$

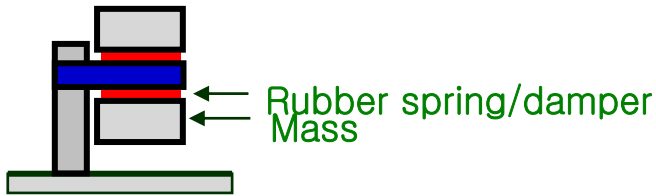
$$\alpha_{ii}(\omega) = \sum_{r=0}^N \frac{\psi_{ir}\psi_{ir}}{\omega_r^2 - \omega^2 + j2\zeta_r \omega_r \omega}$$

$$\rightarrow m_{Aii} = \frac{1}{\psi_{ir}^2} \quad \text{Approximate to 1 DOF system}$$

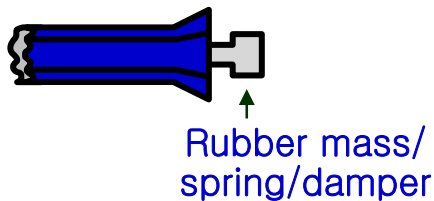
$$k_{TA} = \omega_o^2 \cdot m_{TA}$$

$$c_{TA} = \sigma \cdot 2 m_{TA} = \zeta_{TA} \cdot \omega_o^2 \cdot m_{TA}$$

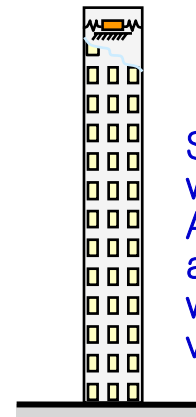
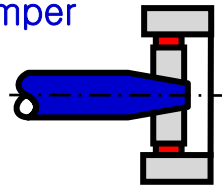
Examples:



Tennis Racket



Torsional Damper



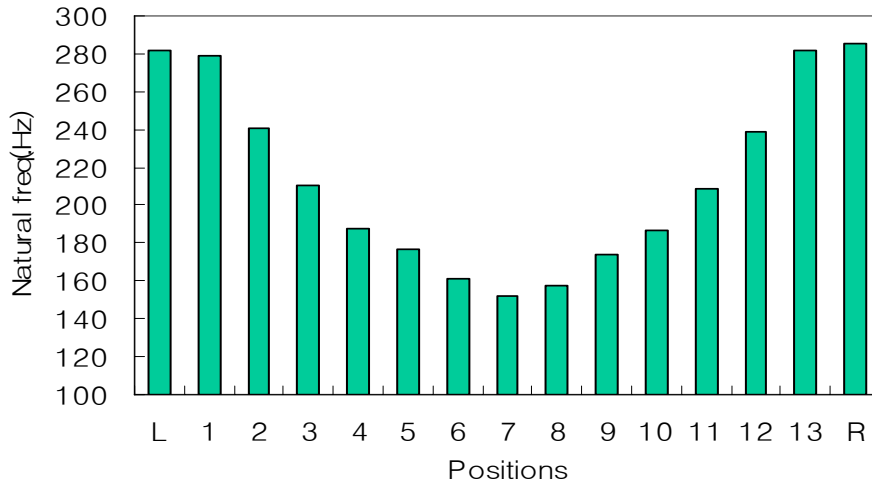
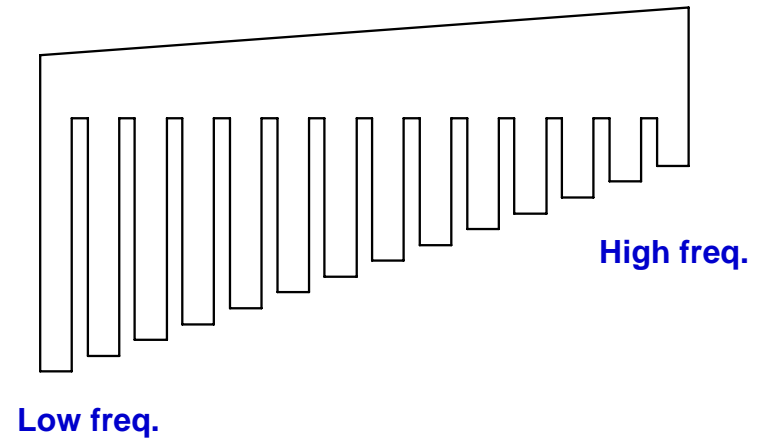
Skyscraper with Tuned Absorber for attenuation of wind excited vibrations

4.4 Structural Dynamic Modification

- MDA of Shadow-mask



Multiple Dynamic absorber



4.4 Structural Dynamic Modification

- MDA of Shadow-mask

Frequency Tuning

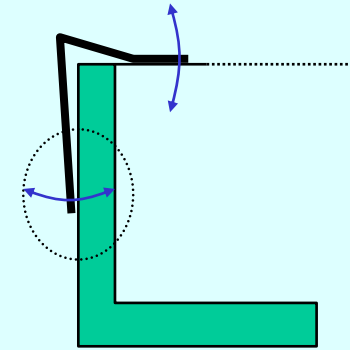
*Simple clamped – free beam
(1st mode)*

$$\omega_1 = 1.875^2 \sqrt{\frac{EI}{mL^4}} \quad (\text{rad / s})$$

, m = mass per unit length

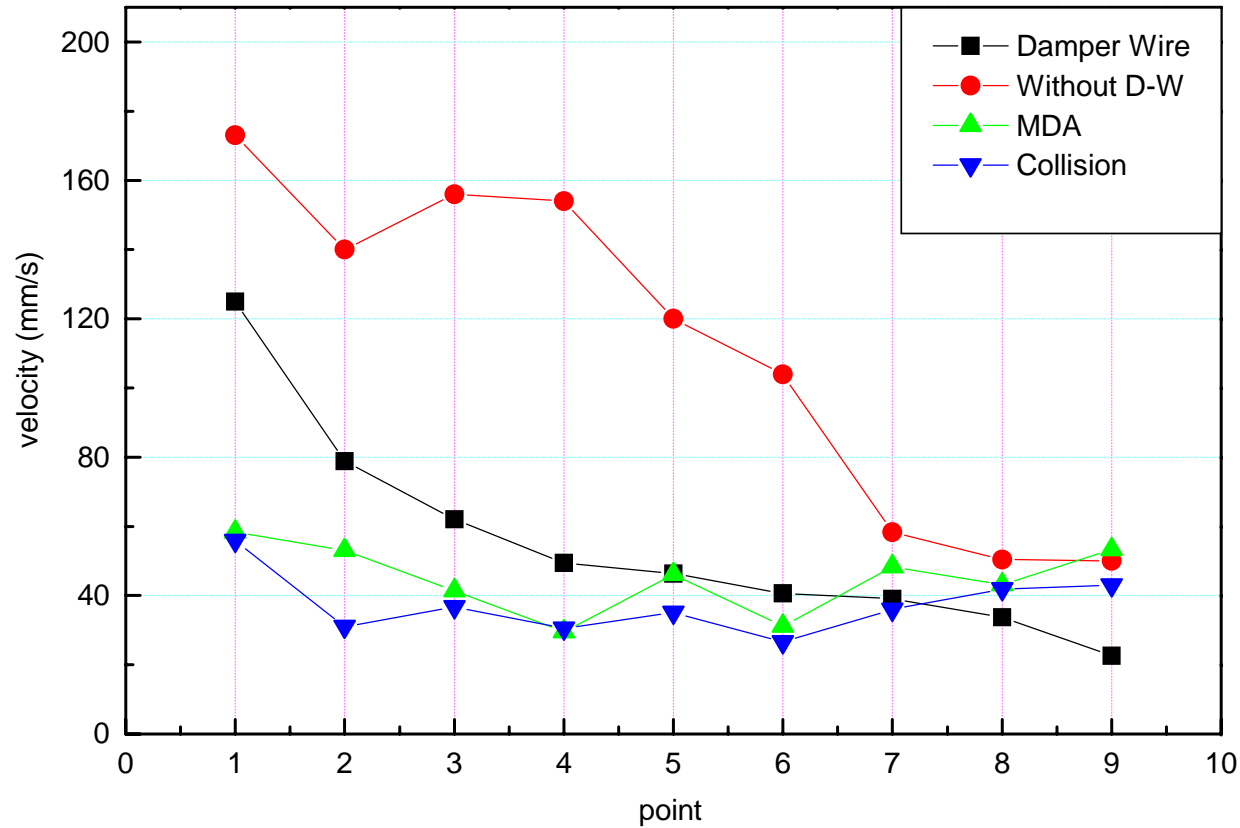
$$\therefore \omega_1 \propto \frac{1}{L^2}$$

Damping Mechanism



4.4 Structural Dynamic Modification

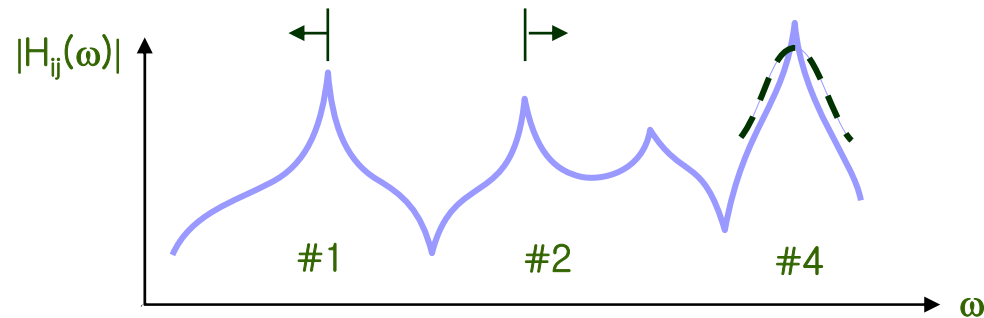
- MDA of Shadow-mask



4.4 Structural Dynamic Modification

- Resonance Specification

Situation: Want to change a resonance by a hardware modification



Specify: Resonance

Type of modification and DOF

Solution: SDM computes the amount of hardware modification

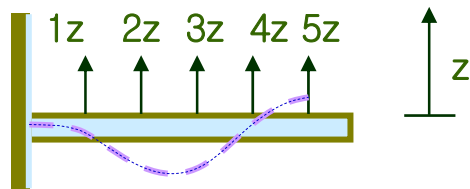
The hardware modification commands can then be applied
in order to find the new modal parameters

4.4 Structural Dynamic Modification

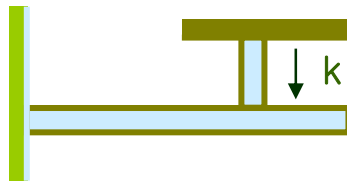
- General Limitation in SDM

We only take into account the DOFs in the modal model

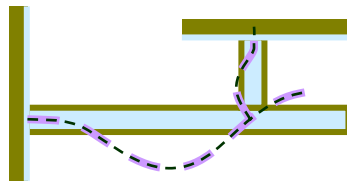
Example: Cantilever beam only translatory DOFs in z-direction



Mode #2



SDM will not change
Mode #2 in model



Mode #2 would be changed due
to constraint of Rotation at
point 4

4.5 Forced Response Simulation

- Purpose of lecture
 - To describe **the possibilities/limitations** in the use of the Response Model
 - To simulate **Operational Deflection Shapes**

4.5 Forced Response Simulation

- Response Model

- Simulate the response of the system due to specified force excitations by use of the linear Modal Model

Modal Model

$$\begin{Bmatrix} X_1(\omega) \\ \cdot \\ \cdot \\ \cdot \\ \cdot \\ X_n(\omega) \end{Bmatrix} = \begin{bmatrix} H_{11}(\omega) & H_{12}(\omega) & \cdot & \cdot & H_{1n}(\omega) \\ H_{21}(\omega) & & & & \cdot \\ \cdot & & & & \cdot \\ \cdot & & & & \cdot \\ H_{n1}(\omega) & \cdot & \cdot & \cdot & H_{nn}(\omega) \end{bmatrix} \begin{Bmatrix} F_1(\omega) \\ \cdot \\ \cdot \\ \cdot \\ F_n(\omega) \end{Bmatrix}$$

where each element in $[H(\omega)]$ is

$$H_{ij}(\omega) = \sum_{r=1}^m \frac{R_{ijr}}{j\omega - (j\omega_{dr} - \sigma_r)} + \frac{R_{ijr}^*}{j\omega - (-j\omega_{dr} - \sigma_r)}$$

i.e. given by the Modal Parameters:

$R_{ijr} = a_r \phi_{ir} \phi_{jr}$ residue for mode # r

ω_{dr} = Damped natural frequency for mode # r

σ_r = Decay rate for mode # r

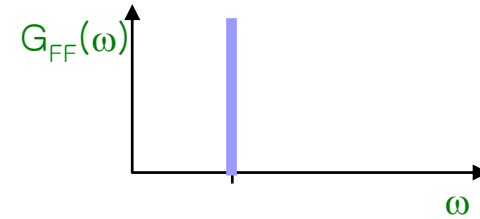
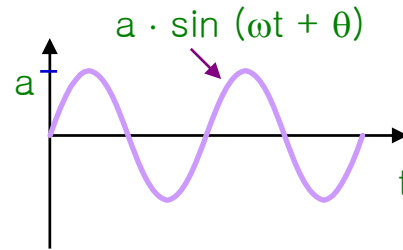
m = Number of modes in model

n = Number of DOF's (x, y, z, q_x , q_y , q_z)

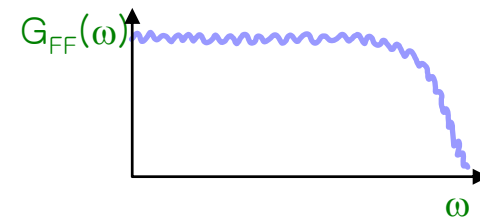
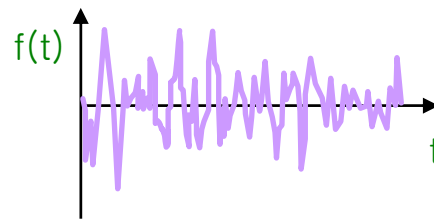
4.5 Forced Response Simulation

- Types of Excitation Forces

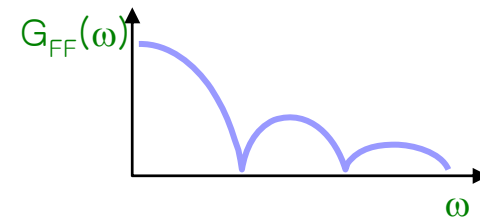
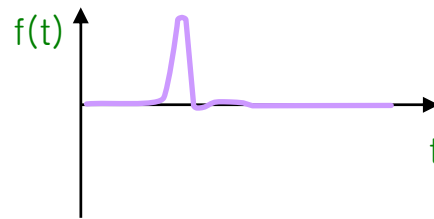
- Sinusoidal



- Broad banded

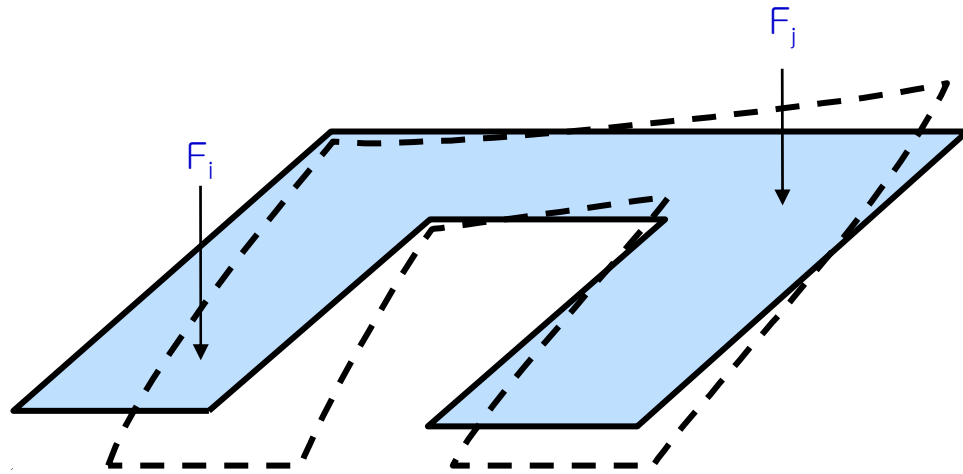


- Impulse



4.5 Forced Response Simulation

- Sinusoidal Excitation – Deflection Shape



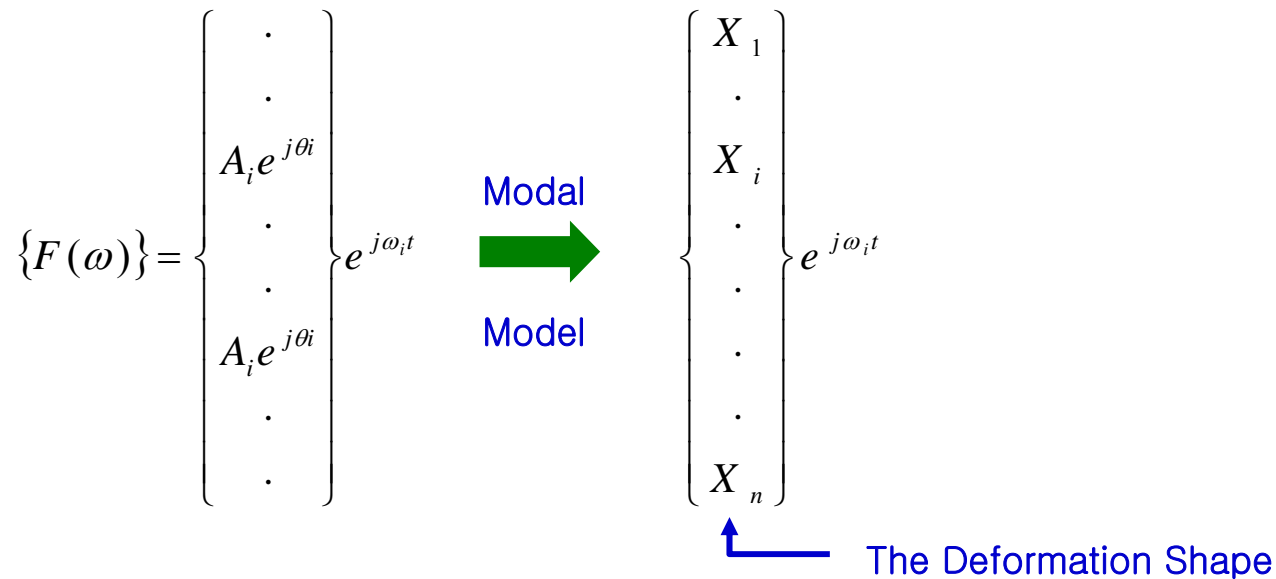
- The response to a sinusoidal excitation is a forced deflection described as a linear combination of all Mode Shapes

4.4 Forced Response Simulation

- Sinusoidal

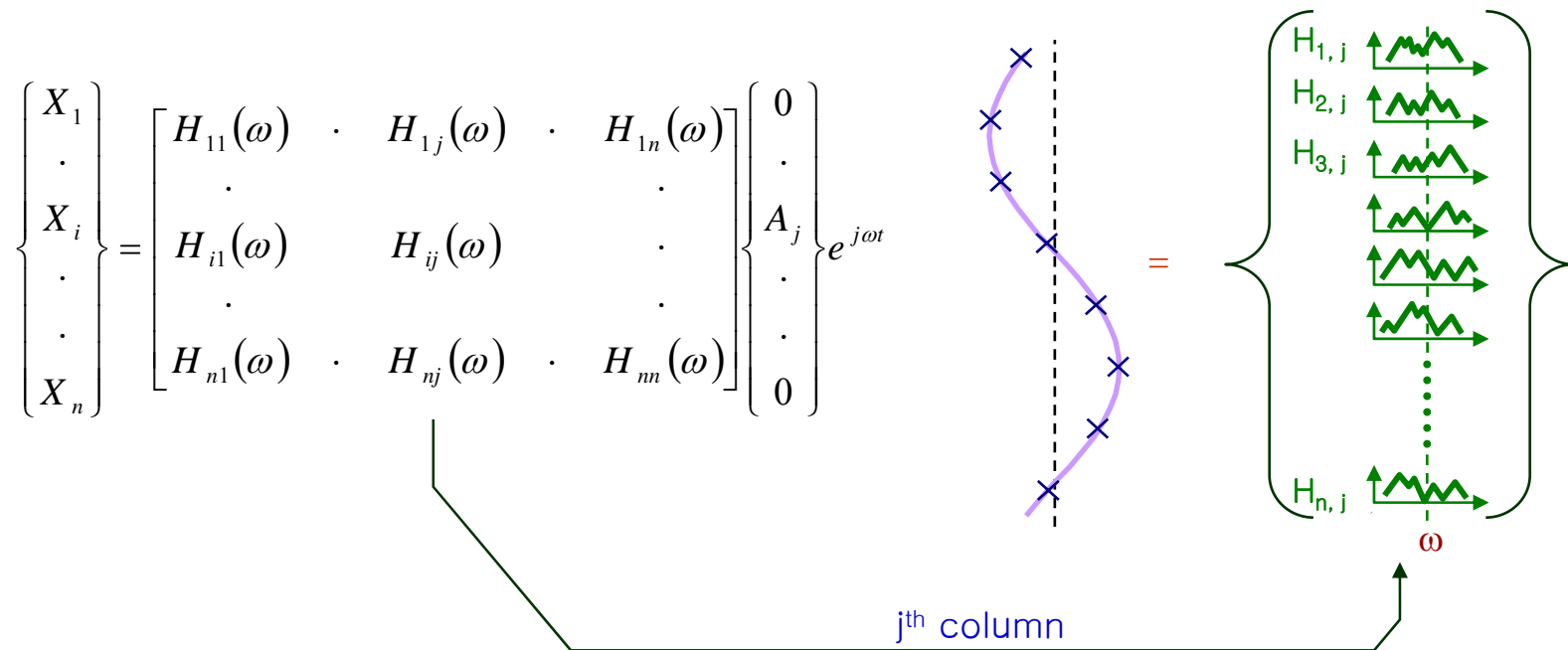
Sinusoidal excitation $A_i e^{(j\omega_i t + \theta_i)}$ at one or more DOFs

- The Deformation Shape of the structure is computed and can be animated
 - Same frequencies ω_i at all DOFs
 - A_i and θ_i no restrictions



4.5 Forced Response Simulation

- Sinusoidal Excitation at Single DOF j



- For Multiple inputs, $\{X\}$ is sequentially calculated:

$$\{X\} = \{H\}_j A_j e^{j\theta_j} + \{H\}_k A_k e^{j\theta_k} +$$

4.5 Forced Response Simulation

- Response Model
 - Single Degree of Freedom – SDOF

$$X = H \cdot F$$

- Multi Degree of Freedom – MDOF

$$\begin{aligned}\{X\} &= [H] \cdot \{F\} \\ X_1 &= H_{11} \cdot F_1 + \\ &\quad H_{12} \cdot F_2 + \\ &\quad H_{13} \cdot F_3 + \\ &\quad \dots\end{aligned}$$

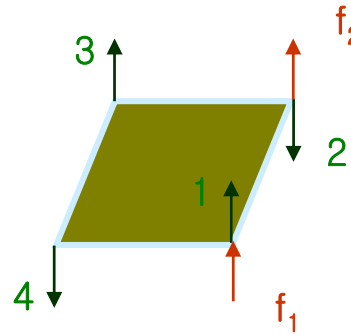
- **Operational Deflection Shape** depends on Force Distribution
i.e. the Generalized Force or Modal Force

4.5 Forced Response Simulation

- Generalized Force

- The Generalized Force Γ_r describes how efficiently a particular force distribution excites a particular mode
- Example: # 1 mode on plate

$$0 = \{1, -1, 1, -1\} \cdot \begin{Bmatrix} 1 \\ 1 \\ 0 \\ 0 \end{Bmatrix}$$



The mode is not excited !!

Generalized Force = Mode shape \times Force distribution

- Γ_r = Generalized force for mode # r

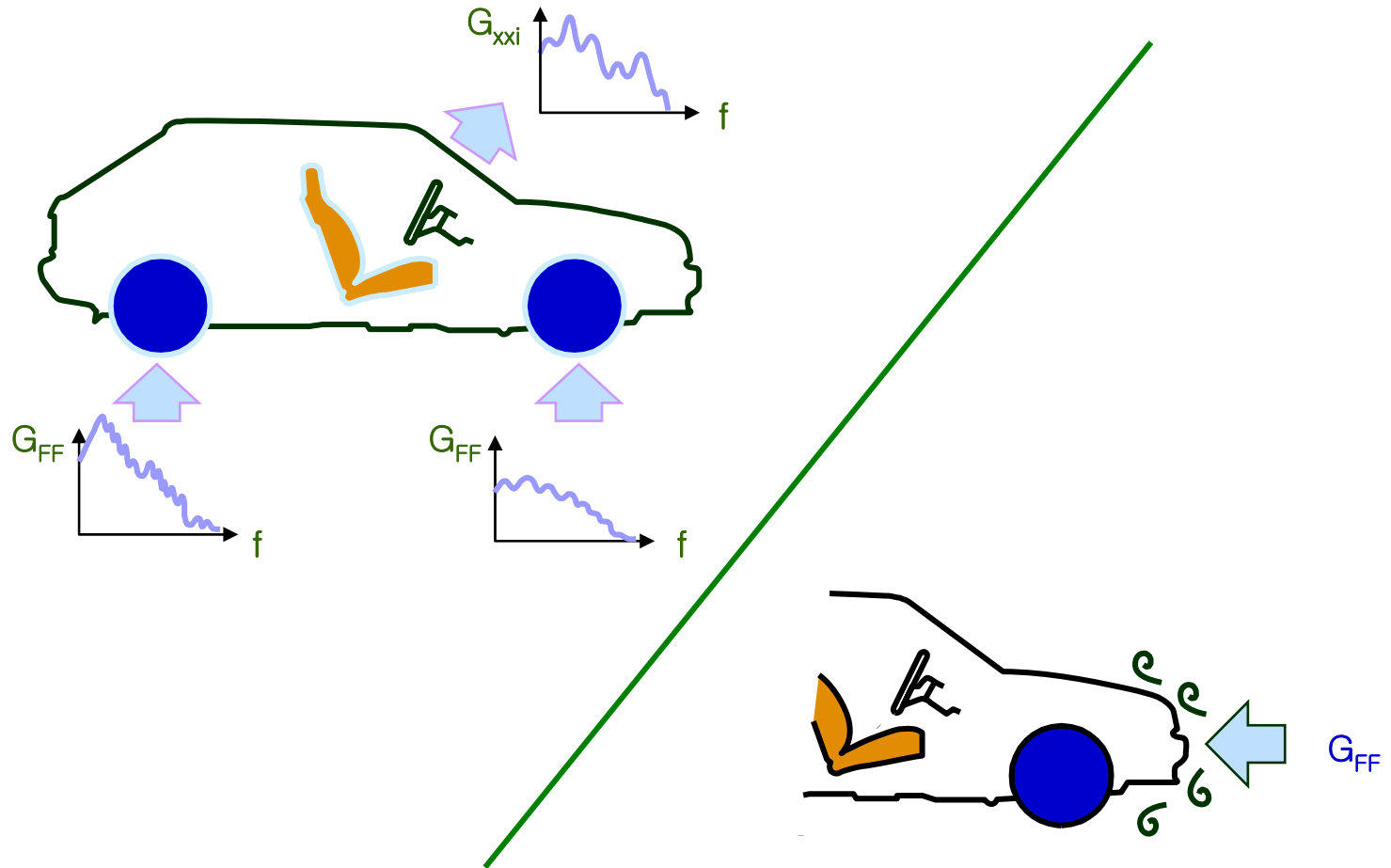
$$\Gamma_r = \sum_i \phi_{ir} \cdot f_i$$

$$\Gamma = [\phi]^T \{f\}$$

$$\Gamma = \begin{bmatrix} \phi_{11} & \phi_{21} \\ \phi_{12} & \phi_{22} \end{bmatrix} \begin{Bmatrix} f_1 \\ f_2 \end{Bmatrix}$$

4.5 Forced Response Simulation

- Broadband Excitation



4.5 Forced Response Simulation

- Broadband Excitation at More DOF's

Uncorrelated inputs

$$\mathbf{G}_{xxi}(\omega) = \sum_j |\mathbf{H}_{ij}(\omega)|^2 \mathbf{G}_{FFj}(\omega)$$

Input Spectrum (Spectra)

- Measured and transferred from analyzer
- Set by block commands

4.6 Operational Deflection Shapes

- Purpose of lecture
 - To show how to measure **the vibration pattern of a machine** in operating condition
 - To show how **Computer Aided Operational Deflection Shapes** measurements are performed

- Identifying Noise and Vibration Problems

Two approaches:

- **Signal Analysis = Operational Deflection Shapes**
- **System Analysis = Modal Analysis**

Note:

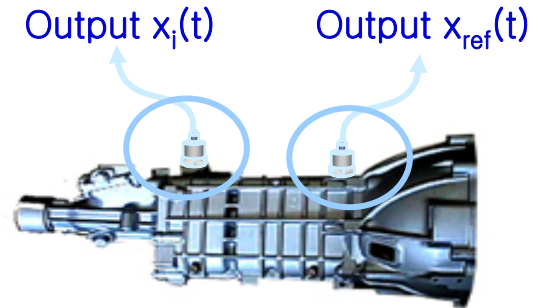
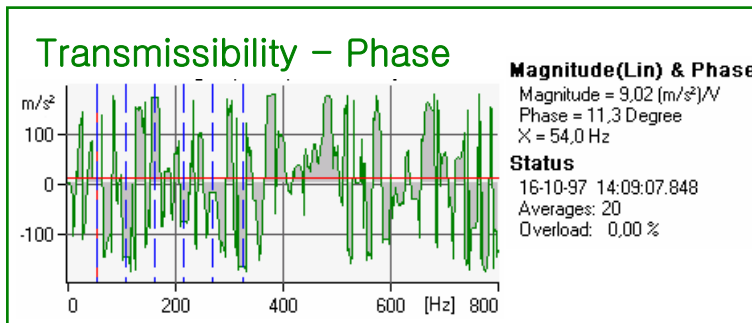
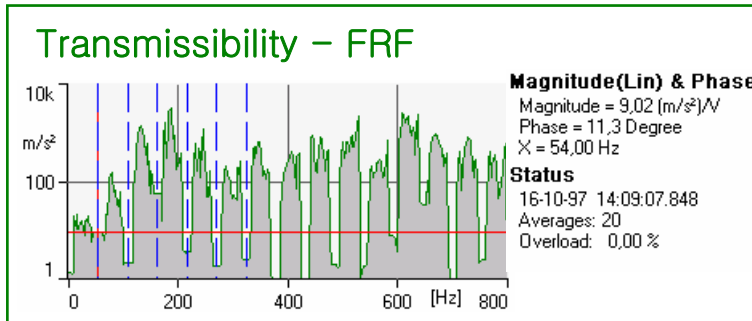
Dynamic Modal Model only from Modal Analysis

4.6 Operational Deflection Shapes

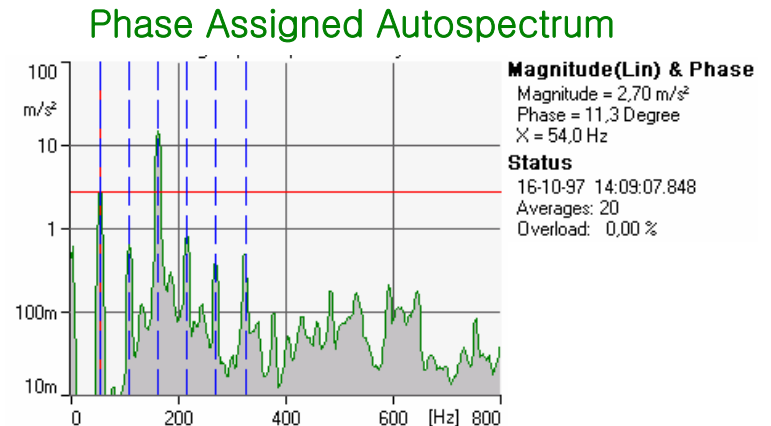
- Operational Deflection Shapes, ODS

- Two methods

1. Using Transmissibility ($T = x_i/x_{ref}$) giving a relative measurement



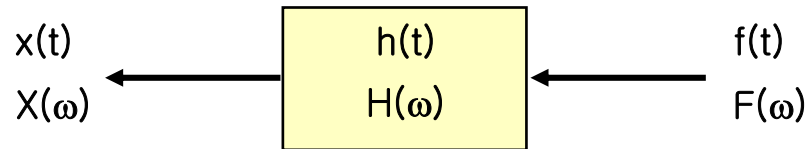
2. Using Phase Assigned Autospectrum (G_{xx}) giving an absolute measurement



4.6 Operational Deflection Shapes

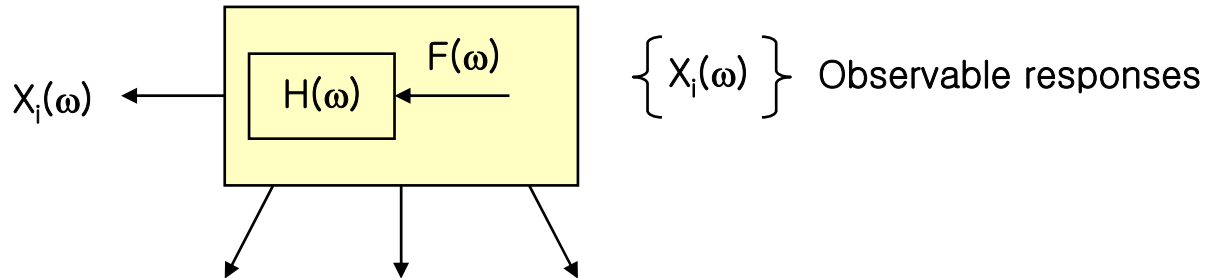
- Operational Deflection Shapes

Dynamic Model (not parametric)



$$X(\omega) = H(\omega) \cdot F(\omega)$$

System and input forces not observable

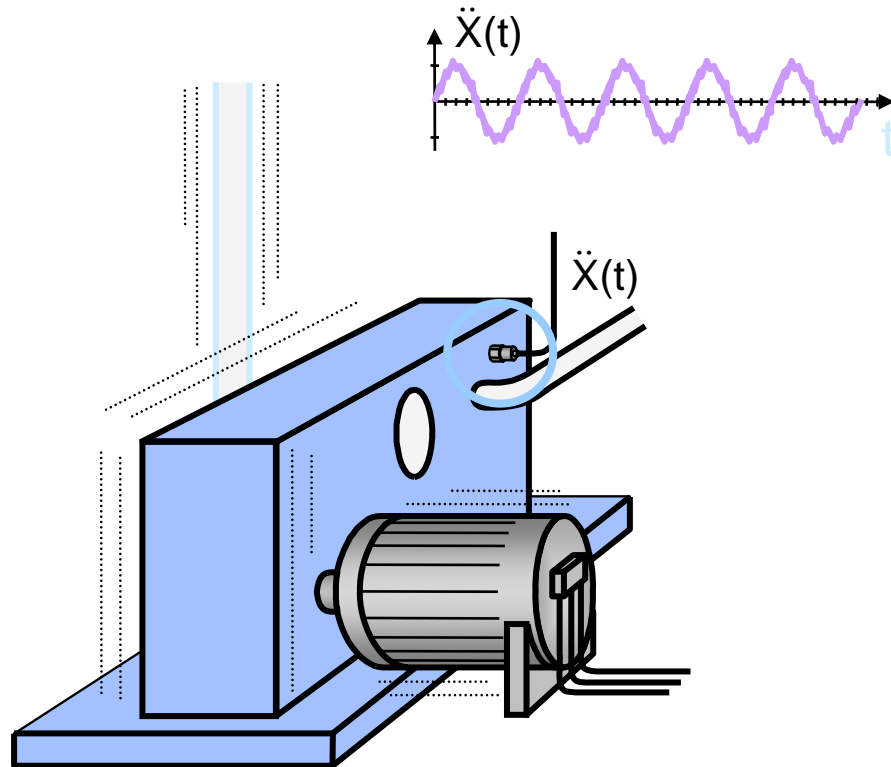


4.6 Operational Deflection Shapes

- Operational Deflection Shapes

- Operational Condition

- stationary
- almost deterministic



4.6 Operational Deflection Shapes

- Operational Deflection Shapes

- Step-by-step Experimental Procedure

- 1. Setup**

- Decide test point and directions
- Setup analyzer/transducers
- Calibrate measuring chain
- Make trial measurements
- Select references point

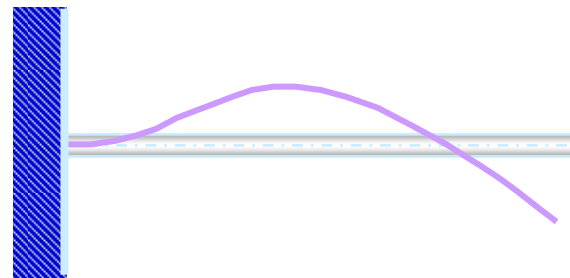
- 2. Measurements**

- Measure vibration level at reference point
- Measure transmissibility functions

- 3. Estimation of Deflection Shapes**

- Determine amplitude ratio and phase difference at running speed or harmonics for all transmissibility functions

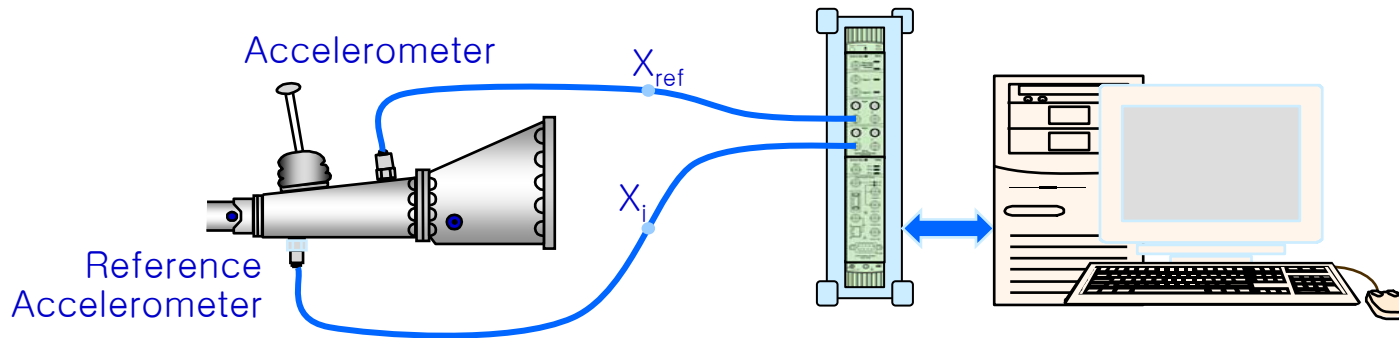
- 4. Draw Deflection Shapes**



4.6 Operational Deflection Shapes

- Operational Deflection Shapes, ODS

- Goal: Determine the forced *dynamic deflection* at the *operating speed*

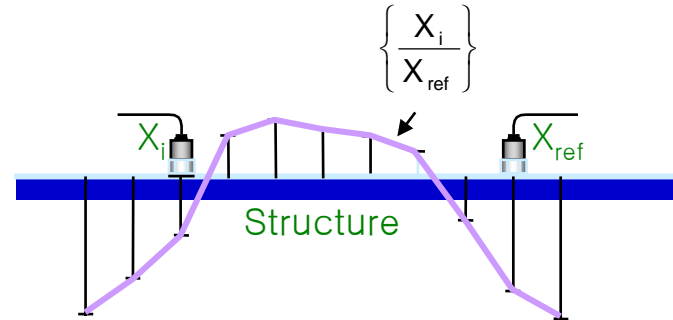


Relative

$$\left\{ \frac{X_i}{X_{ref}} \right\}_{f_0} = \begin{Bmatrix} 1.2, & 0^\circ \\ 1.1, & 0^\circ \\ 0.6, & 0^\circ \\ 0.5, & 180^\circ \\ 0.6, & 180^\circ \\ 0.4, & 180^\circ \\ 0.3, & 0^\circ \\ 1.0, & 0^\circ \\ 1.3, & 0^\circ \end{Bmatrix}$$

Absolute

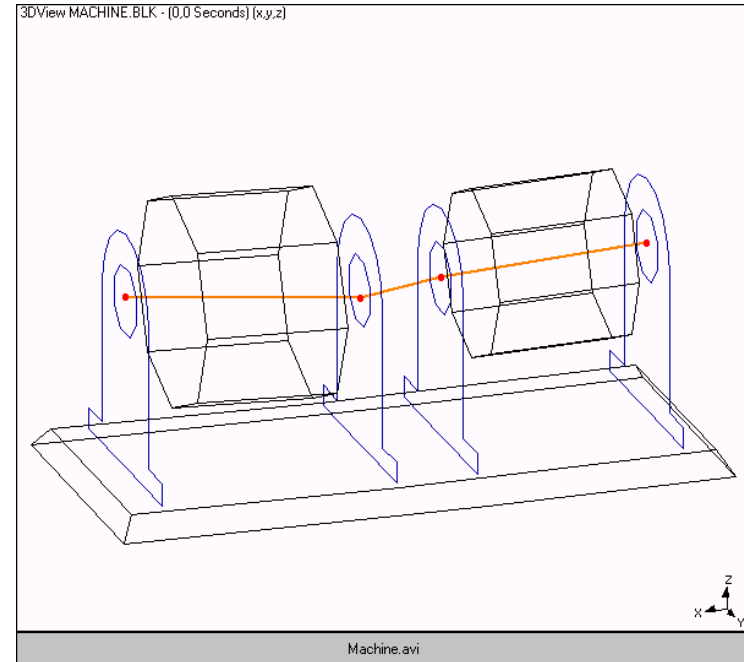
$$\{X_i\}_{f_0} = \begin{Bmatrix} X_i \\ X_{ref} \end{Bmatrix} X_{ref}$$



4.6 Operational Deflection Shapes

- Computer Aided Operational Deflection Shapes

- Use Modal or dedicated software
- Use Peak Curve Fitting
- **Animation of Deflection Shapes**
- Relative or absolute motions



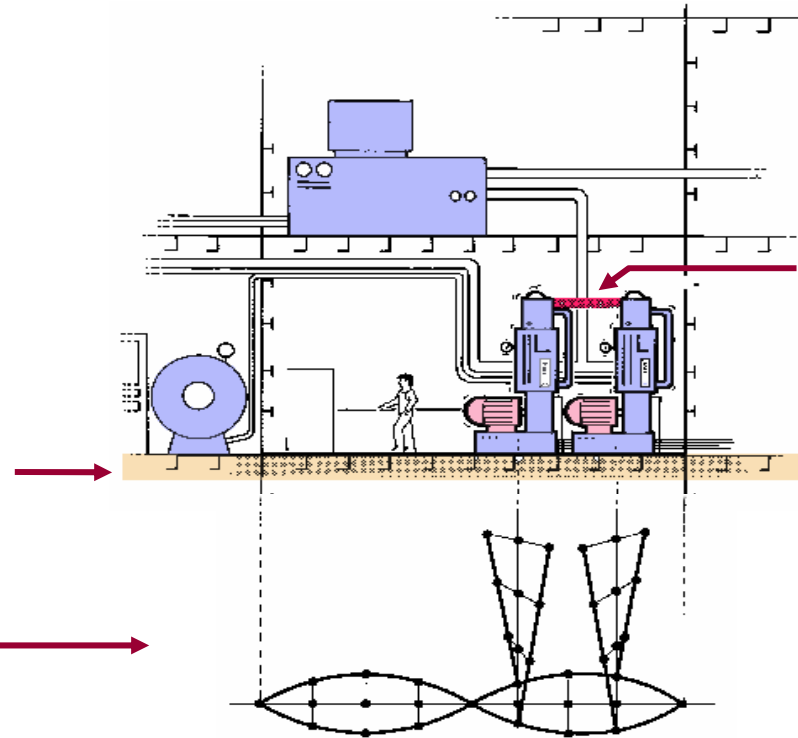
4.6 Operational Deflection Shapes

- Typical Application of ODS

- Super Tanker

1. First modification proposal: 10 tons of transverse girders for deck stiffening

2. Operational Deflection Shape at 2nd harmonic frequency



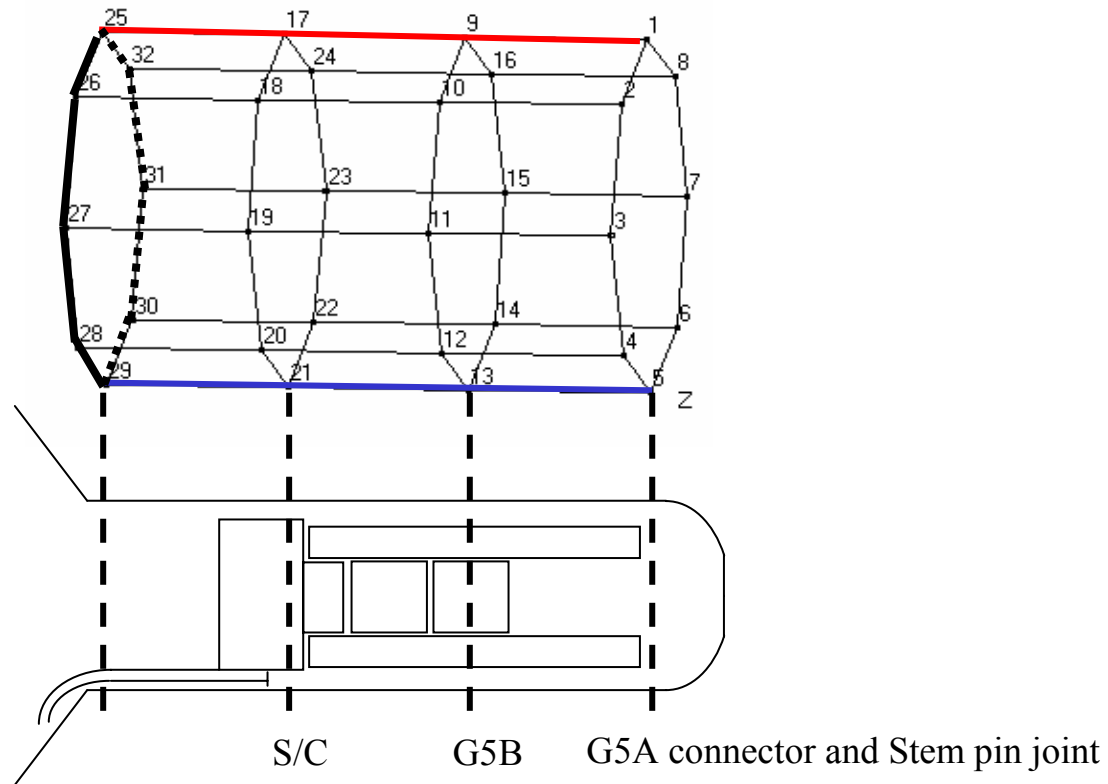
3. Optimal modification by rod connection of engine top

4.6 Operational Deflection Shapes

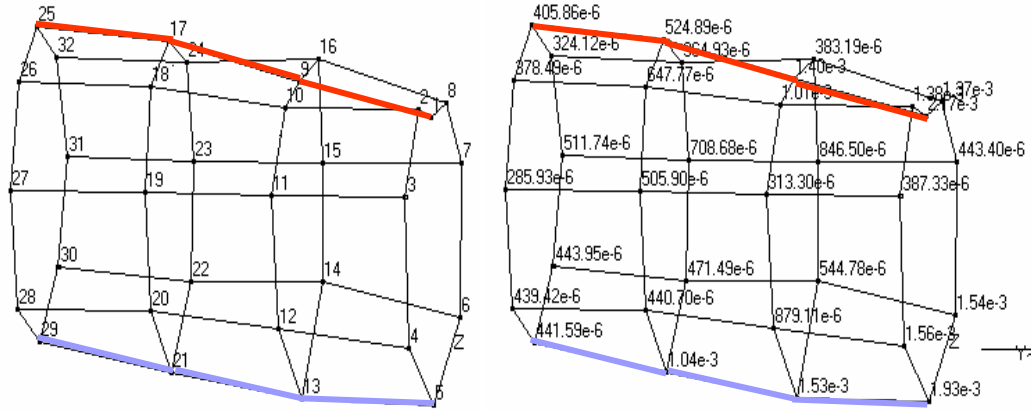
- Neck-glass of CRT

AC Excitation : 3.21 kHz

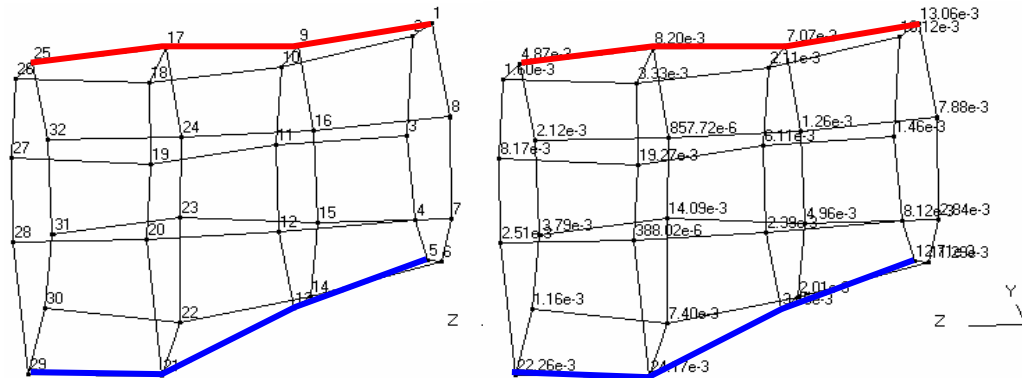
⇒ 3.21, 4.49, 6.59, 7.65 kHz (composite noise)



4.6 Operational Deflection Shapes

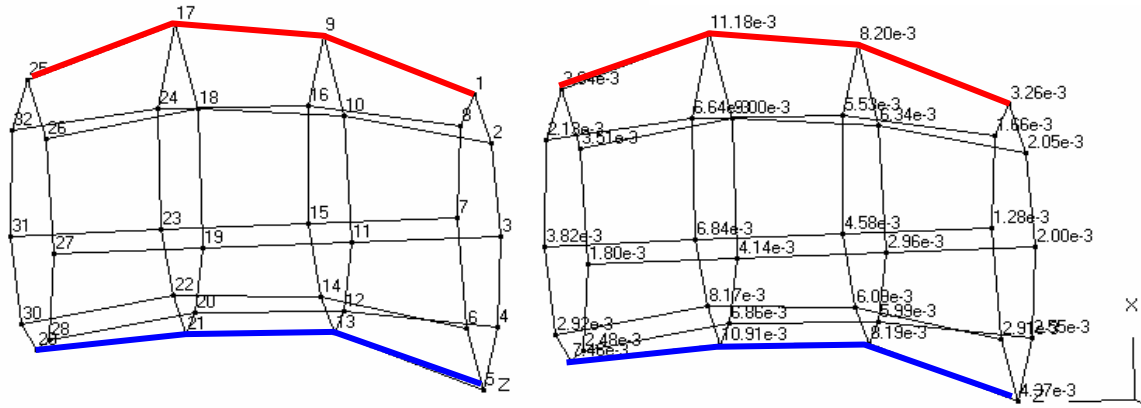


< ODS at 3.21 kHz >

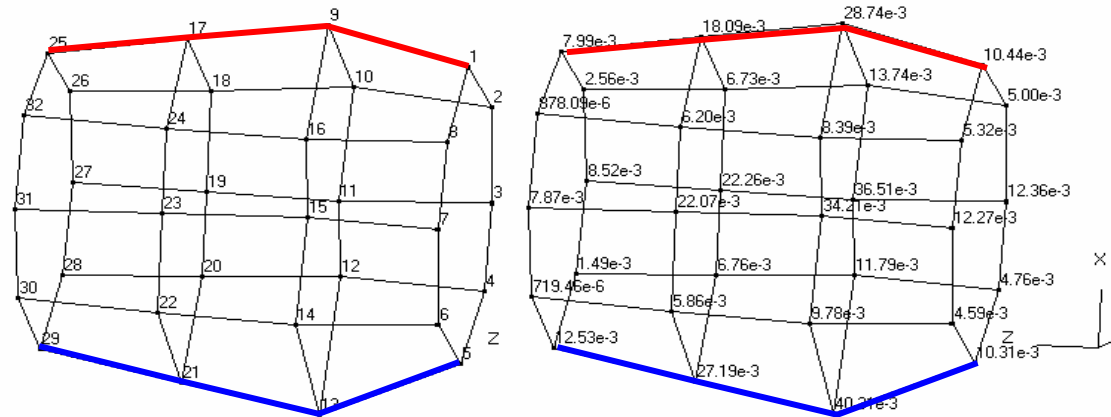


< ODS at 4.49 kHz >

4.6 Operational Deflection Shapes



< ODS at 6.59 kHz >



< ODS at 7.65 kHz >

4.6 Operational Deflection Shapes

- Operational Deflection Shapes
 - **Features:**
 - direct measurement of the deflection shape at relevant frequencies under operating conditions
 - **Advantages:**
 - actual operating forces
 - realistic boundary conditions
 - fast and accurate
 - no assumption of a linear model
 - **Benefits:**
 - gives the deformation at important frequencies
 - visualisation of deformation shape
⇒ better understanding ⇒ solution

Note: No model obtained ⇒ no prediction of responses at other conditions